



U.S. Department of Transportation
Federal Motor Carrier Safety Administration



FMCSA Portal and the Inspection Selection System

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Agenda

- Overview of FMCSA Portal
 - Training
 - Company information
 - Company activity
 - Company safety profile
- Overview of Inspection Selection System (ISS)
 - Current calculation based on CSA BASICs



FMCSA Portal functionality

- **The following functionality is provided to Company users:**
 - **View company information including registration, operating authority, correspondence, and crash and inspection activity**
 - **Print or download Company Safety Profiles, now free of charge, on an as needed basis**
 - **Online Portal account management and role assignment including password reset**
 - **Single sign-on access to the FMCSA Systems: Analysis & Information (A&I), DataQs, Compliance, Safety and Accountability (CSA) and National Consumer Complaint Database (NCCDB)**
 - **Context sensitive online help**
 - **Online access to training materials**
 - **Online survey and user feedback tools**



Portal Login

- <https://portal.fmcsa.dot.gov>
- Note additional links at bottom

The screenshot shows a Windows Internet Explorer browser window titled "FMCSA Login". The address bar displays "https://portal.fmcsa.dot.gov/log". The browser's menu bar includes File, Edit, View, Favorites, Tools, and Help. The Favorites bar shows links to CNN.com, Facebook, and Suggested Sites. The main content area features the U.S. Department of Transportation Federal Motor Carrier Safety Administration logo and the text "FMCSA Portal". Below this is a login form with the title "Login to Access the FMCSA Portal". The form contains two input fields: "User ID:" and "Password:". Below the password field is a "Login" button. At the bottom of the form, there are three links: "Forgot your Password?", "Forgot your User ID?", and "Unlock your Account". Below these links, there are two lines of text: "To register for a portal account, please click [here](#)." and "To access portal training, please click [here](#)." At the very bottom of the browser window, a status bar indicates "You are accessing a U.S. Government information system. This information system, including all related".

FMCSA Login - Windows Internet Explorer

https://portal.fmcsa.dot.gov/log

File Edit View Favorites Tools Help

U.S. Department of Transportation
Federal Motor Carrier Safety Administration
FMCSA Portal

Login to Access the FMCSA Portal

User ID:

Password:

Login

[Forgot your Password?](#) [Forgot your User ID?](#) [Unlock your Account](#)

To register for a portal account, please click [here](#).

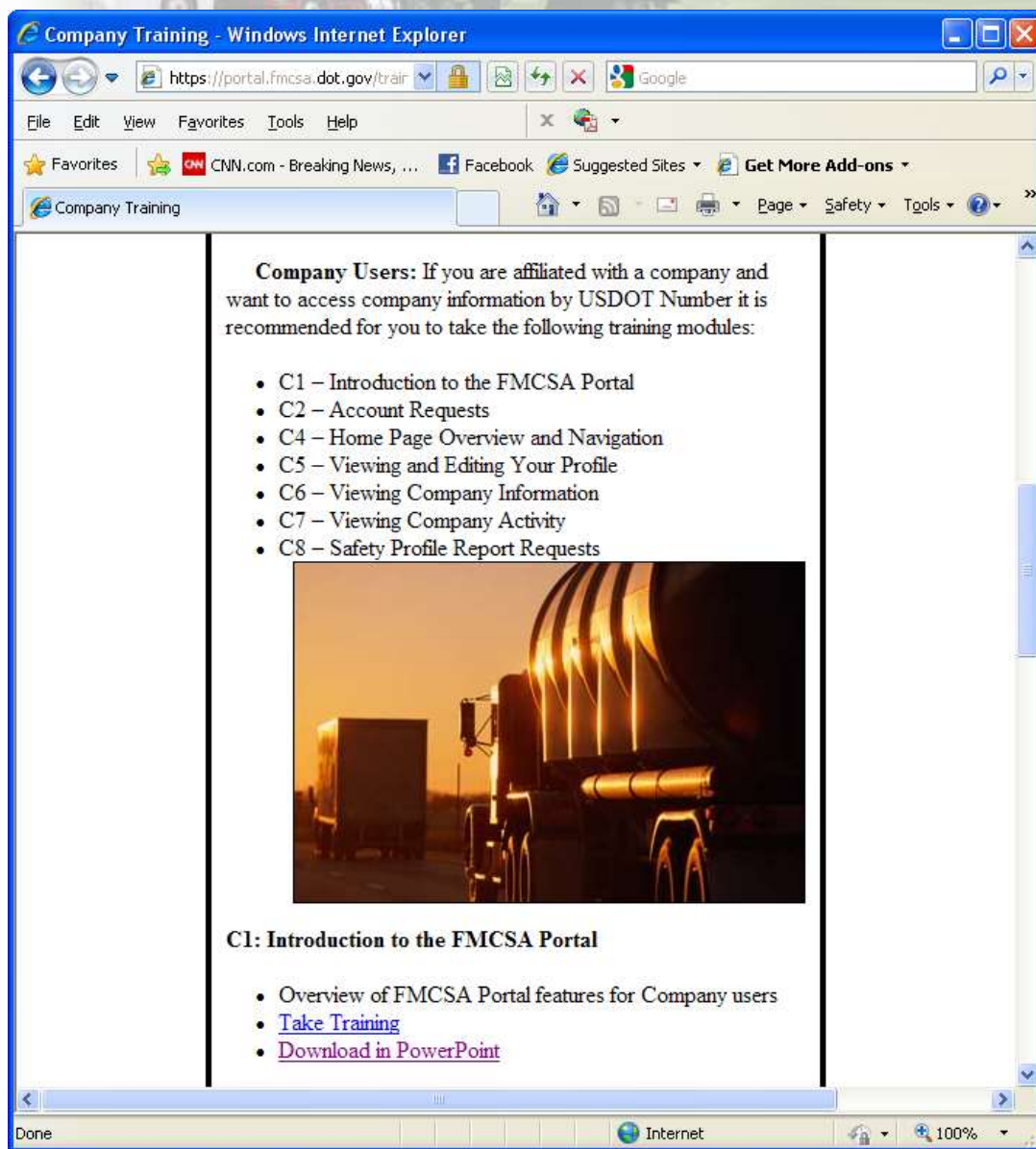
To access portal training, please click [here](#).

You are accessing a U.S. Government information system. This information system, including all related



Portal Training

- Requesting an account
- Navigation
- Viewing/editing profile





Home Page

- The Company home page allows FMCSA Portal Company users to view their company information as recorded with FMCSA

HOME | ACCOUNT MANAGEMENT | REPORTS | FEEDBACK

AVAILABLE FMCSA SYSTEMS
AM CSA2010 DataDv NCCDB

PENDING ACCOUNT REQUESTS
View Details First Name Last Name Submit Date Type
No records found.

PUBLIC LINKS
Quick Browse: CVISN L&I NCCDB NHMRR NRCME Protect Your Move Go
Url: http:// Go

ALERTS
Alert List
Biennial update due in 08-2010, Last MCS-150/MCS-150B was filed on 06/08/2009.

COMPANY INFORMATION
USDOT Registration
USDOT Registration
Update Company Information(MCS-150)
MCS-150 Pdf
General | Ops | Equip | Driver | Officials
USDOT#:
EIN:
SSN:
DUNS:
Entity Type:
Legal Name:
DBA Name:
Email:
Company Address:
Company Phone:
This list does not include letters generated today.
View Correspondence Date Sent

BROADCAST MESSAGES
You have no messages.

COMPANY ACTIVITY
Summary
Crashes | Inspections | Reviews | Closed Enf
LAST 24 HOURS Search
Crashes posted to FMCSA Total Crashes: 0
Fatal Injury Tow
0 0 0
NOTE: This section displays only Crash Summary. For a list of Crashes please select the "Crash List" panel.



Home Page Menu Options

- **FMCSA Portal menu options are available to Company users at the top of every page**
 - **Home**
 - The Home menu allows Company users to return to the home page from any other page in the FMCSA Portal
 - **Account Management**
 - The Account Management / View My Profile menu allows users to manage their Portal user profile
 - The Account Management / Pending Account Requests and Manage User Access menus allow users with administrative roles to manage account requests and user access to their company information
 - **Reports**
 - The Reports menu allows users to request a Company Safety Profile report which contains a history of the company's safety-related information on record with FMCSA
 - **Feedback**
 - The Feedback menu allows users to provide feedback regarding the Portal to FMCSA





Introduction to Company Safety Profile Report

- **The Company Safety Profile Report contains safety-related information on an individual Company's operation, including selected items from inspection reports and crash reports and the results of any reviews or enforcement actions involving the company**
- **FMCSA Portal users can request the Company Safety Profile Report for any USDOT# that they are authorized to access**
 - **There is no \$20.00 fee for Company Safety Profile Reports requested through the FMCSA Portal**
 - **The request for a Company Safety Profile Report through the FMCSA Portal allows a user to specify parameters for the report to help reduce the size of the report**
 - **Both the PDF and the XML version of the Company Safety Profile are available**



Accessing Safety Profile

- Users may request a Company Safety Profile (or CSP) report under the Reports menu on the Company Home Page





Submitting Safety Profile Report Request

Safety Profile

Crash Information

From Date: 


To Date: 


Crash Detail: ☒ Yes ☐ No

Crash Violations: ☒ Yes ☐ No

Driver Detail: ☒ Yes ☐ No

Inspection Information

From Date: 

To Date: 

Inspection Detail: ☒ Yes ☐ No

Inspection Violations: ☒ Yes ☐ No

Max Inspections:

Cargo Tank Info: ☐ Yes ☒ No

Report Format: ☒ XML & PDF ☐ PDF

- Users can submit a request for a Safety Profile Report by entering specific search parameters and clicking *Submit Request*
- To check the status of a report request click *Check Request Availability*
- Users receive email notification when reports are completed and available
- Download completed reports in XML or PDF format



ProVu – profile viewer

Public Software Versions - Federal Motor Carrier Safety Administration - Windows Internet Explorer

http://www.fmcsa.dot.gov/about/infosys/publicsoftware/publicversionsoftware.aspx

File Edit View Favorites Tools Help

Public Software Versions - Federal Motor Carrier Safet...

U.S. Department of Transportation
Federal Motor Carrier Safety Administration

FORMS | CONTACT US | MEDIA | FMCSA PORTAL | DOT.GOV

Search All FMCSA Sites Go

HOME | RULES & REGULATIONS | REGISTRATION & LICENSING | SAFETY & SECURITY | FACTS & RESEARCH | ABOUT FMCSA

Home > About FMCSA > IT Development Division > Public Software Versions

Public Software Versions

The software listed below is provided to show how the data used by FM investigations. Except for ProVu, these applications have been modified production databases.

| Software | Version | Release Date |
|--|----------|--------------|
| ProVu | 3.2.0.12 | 12/13/2010 |
| Aspen Public | 2.10 | 10/8/2008 |
| CAPRI Public - Requires Firebird installed prior to installation | 6.7.0 | 10/8/2008 |
| Firebird for CAPRI and UFA | 1.5.1 | 12/16/2004 |
| UFA Public - Requires Firebird installed prior to installation | 3.2.0 | 10/8/2008 |

Firebird is an open source product of the Firebird Project and is furnished under the InterBase Public License.

U.S. Department of Transportation
Federal Motor Carrier Safety Administration

Public Software Versions

IT Development Division

Public Software

Information Systems

Contact Us
Mission
Strategy
Budget
Key Programs
New at FMCSA
Calendar Of Events

Media Information
Field Resources
Related Web Sites
FAQ
Organizational Chart
Careers
Chief Counsel
More Links>>

http://www.fmcsa.dot.gov/about/infosys/publicinformationssystem/infosystems.aspx

Internet 100%



Home Page Links

- **FMCSA Portal links are available to Users at the top of every page**
 - **Refresh USDOT#**
 - Company users with access to more than one USDOT# can select from a list of their USDOT#s from the drop-down at the top of the page and refresh the page to return company information for the selected USDOT#
 - **Log Out**
 - Company users can click the Log Out link at anytime time to exit the FMCSA Portal
 - **Change Password**
 - Company users may opt to change their password at any time by clicking the Change Password link
 - **Help**
 - The Help link allows Company users to access the online Help





Home Page Portlets

- **The Company home page provides “portlets” to access company information and activity recorded with FMCSA**
 - **Portlets include:**
 - Alerts
 - Correspondence
 - Company Activity
 - Company Information
 - Pending Account Requests
 - Available FMCSA Systems
 - Public Links
 - System Broadcast Messages
 - Acknowledge Rules of Behavior



Home Page Portlets Customization

- Users can customize the portlets as follows
 - Each portlet can be minimized or maximized
 - Each portlet can be rearranged to your preferred location

- To minimize a portlet

- Click the minimize button



- To maximize a portlet

- Click the maximize button



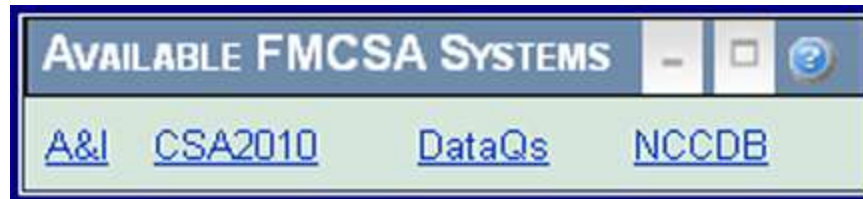
- To rearrange portlets

- Click the portlet title bar followed by dragging it to a preferred location on the home page



Available FMCSA Systems

- The Available FMCSA Systems portlet allows users with approved access to access other secure FMCSA Systems without additional sign-on
 - Analysis & Information (A&I)
 - Compliance Safety and Accountability (CSA)
 - DataQs
 - National Consumer Complaint Database (NCCDB)

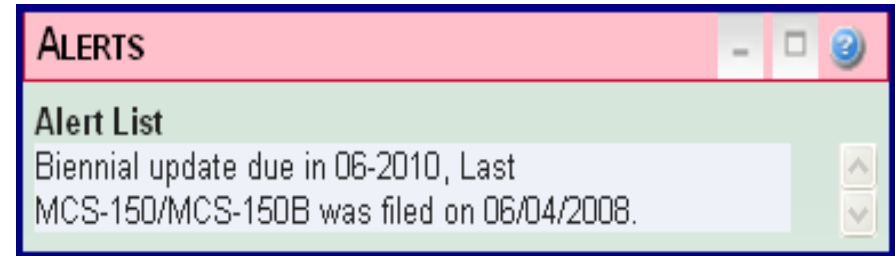




Company Alerts

- Alerts are notifications of current or pending changes in a company's status with FMCSA

- Out of Service (OOS) Order
- Order to Cease Operation (OCO)
- Operating Authority Revocation
- Registration Revocation
- Hazardous Material Safety Permit (HMSP) Suspension or Revocation
- Mexican Provisional Authority Suspension or Revocation
- Operating Authority will be Revoked if not in Compliance
- Registration will be Revoked if Corrective Action is not Taken
- Hazardous Material Safety Permit (HMSP) will be Suspended or Revoked if Corrective Action is not Taken
- Mexican Provisional Authority will be Revoked if Corrective Action is not Taken
- Biennial Update is Due or Overdue
- Current Address is Undeliverable





Company Correspondence

Correspondence are letters generated by FMCSA and sent to the company

- Warning Letters
- Rating Letters
- Safety Audit Result Letters (NE or MX)
- No Show Safety Audit Letters (NE or MX)
- New Entrant No Contact Letters
- HM Permit Letters
- Licensing and Insurance Letters
- Cargo Tank Company Letters
- Amended NOC Served
- Settlement Agreement to Subject
- UNSAT OOS Order Served
- Relief Request Response Served
- UNSAT Extension in Effect Until
- OSC Served
- OCO Order Served / Rescinded
- Settlement Agreement Breach Letter
- Rescind Notice of Final Agency Order
- Notice of Default and Final Agency Order Served

Click Document icon to view correspondence



CORRESPONDENCE

From Date: 1/1/2008

To Date: 11/2/2010

Search

This list does not include letters generated today.

| View | Correspondence | Date Sent |
|---|--|------------|
|  | CSA WARNING LETTER | 10/15/2010 |
|  | ON-DEMAND NOTIFICATION LETTER | 01/16/2009 |
|  | BIENNIAL UPDATE LETTER (ONLINE FILERS) | 05/23/2008 |



Company Information

- In the Company Information portlet information is displayed using an accordion view with additional drill-down options where applicable
- Company users can view their census information recorded with FMCSA including:
 - USDOT# Registration
 - Cargo Tank Facility
 - Hazardous Materials
 - Operating Authority
 - BASICs Summary Information
 - ISS Information

COMPANY INFORMATION

USDOT Registration

USDOT Registration ?

[Update Company Information\(MCS-150\)](#)

[MCS-150 Pdf](#)

[General](#) | [Ops](#) | [Equip](#) | [Driver](#) | [Officials](#)

| | |
|------------------|--|
| USDOT#: | |
| EIN: | |
| SSN: | |
| DUNS: | |
| Entity Type: | |
| Legal Name: | |
| DBA Name: | |
| Email: | |
| Company Address: | |
| Company Phone: | |

[Cargo Tank Facility](#)

[Hazardous Materials](#)

[Operating Authority](#)

[BASICs Safety Measurement Summary](#)

[Inspection Selection System \(ISS\) Info](#)



Introduction to Company Information

- **The FMCSA Portal provides a real-time view of all company data currently on file with FMCSA**
- **Company Information consists of:**
 - **Registration Information from MCS-150/MCS-150B Applications**
 - General Information, including: Company Officials, Contact and Equipment Details
 - **Registration Information about Cargo Tank Facilities**
 - General Information, including: Cargo Tank Facility ID, Cargo Tank Facility Name and Location
 - **Hazardous Material Information**
 - Hazardous Materials Carried or Shipped Details and Status of Hazardous Materials Safety Permit
 - **Registration Information from Operating Authority Applications**
 - Scope of Operating Authority and Insurance Details
 - **BASICs Safety Measurement Summary Information**
 - BASICs Scores based on on-road performance, Investigation Date when Serious Violations were detected and BASICs Status
 - **Inspection Selection System (ISS) Information**
 - ISS Inspection Score, ISS Recommendation and Basis for Recommendation



USDOT Information - General



The screenshot displays the 'COMPANY INFORMATION' window. The 'USDOT Registration' tab is selected and highlighted with a red border. Below the tab, the 'USDOT Registration' section is active, showing a form for updating company information (MCS-150). The form includes fields for USDOT#, EIN, SSN, DUNS, Entity Type, Legal Name, DBA Name, Email, Company Address, Company Phone, and Contact Cell. The 'General' tab is selected, and other tabs like 'Ops', 'Equip', 'Driver', and 'Officials' are visible. Below the form, there are links to 'Cargo Tank Facility', 'Hazardous Materials', 'Operating Authority', 'BASICS Safety Measurement Summary', and 'Inspection Selection System (ISS) Info'.

- Company **USDOT Registration** information view contains read-only MCS-150 information including:
 - General information
 - Operations information
 - Equipment information
 - Driver information
 - Officials
- The first tab under USDOT# Registration displays **General** information about the company



USDOT Information - Operations

- The *Operations tab* on the USDOT Registration view displays the company's operation classification information.

COMPANY INFORMATION

USDOT Registration

USDOT Registration

[Update Company Information\(MCS-150\)](#)
[MCS-150 Pdf](#)
General | **Ops** | Equip | Driver | Officials

| | |
|-----------------------------------|--|
| Regulated Entity Program: | |
| Program Entry Date: | |
| Program Exit Date: | |
| MCS-150 Date: | 06/04/2008 |
| MCS-150 Mileage: | 1,010,054,620 |
| MCS-150 Mileage Year: | 2007 |
| Carrier Operation: | INTERSTATE |
| Shipper Operation: | |
| Carrier Operation Classification: | AUTHORIZED FOR HIRE U. S. MAIL OTHER |

[Cargo Tank Facility](#)

[Hazardous Materials](#)

[Operating Authority](#)

[BASICS Safety Measurement Summary](#)

[Inspection Selection System \(ISS\) Info](#)



USDOT Information - Equipment

COMPANY INFORMATION

USDOT Registration

USDOT Registration ⓘ

[Update Company Information\(MCS-150\)](#)
[MCS-150 Pdf](#)

[General](#) | [Ops](#) | [Equip](#) | [Driver](#) | [Officials](#)

| | Owned | Term Lease | Trip Lease |
|----------------------------|-------|------------|------------|
| STRAIGHT TRUCKS | 0 | 0 | 0 |
| TRUCK TRACTORS | 10612 | 1262 | 0 |
| TRAILERS | 30436 | 20069 | 0 |
| HAZMAT CARGO TANK TRAILERS | 0 | 0 | 0 |
| HAZMAT CARGO TANK TRUCKS | 0 | 0 | 0 |
| MOTOR COACH | 0 | 0 | 0 |
| SCHOOL BUS 16+ | 0 | 0 | 0 |
| MINI-BUS 16+ | 0 | 0 | 0 |
| LIMOUSINE 16+ | 0 | 0 | 0 |
| SCHOOL BUS 1-8 | 0 | 0 | 0 |
| SCHOOL BUS 9-15 | 0 | 0 | 0 |

[Cargo Tank Facility](#)

[Hazardous Materials](#)

[Operating Authority](#)

[BASICS Safety Measurement Summary](#)

[Inspection Selection System \(ISS\) Info](#)

- The *Equipment* tab on the USDOT Registration view displays a count of each type of vehicle the company operates including whether they are owned, term leased or trip leased



USDOT Information - Driver

- The *Driver* tab on the USDOT Registration view displays the number interstate and intrastate drivers the company uses on average

COMPANY INFORMATION

USDOT Registration

USDOT Registration ⓘ

[Update Company Information\(MCS-150\)](#)
[MCS-150 Pdf](#)

General | Ops | Equip | **Driver** | Officials

| | Interstate | Intrastate |
|------------------|------------|------------|
| Within 100 Miles | 2475 | 0 |
| Beyond 100 Miles | 9051 | 0 |

| Total | |
|------------------|-------|
| # of Drivers | 11526 |
| # of CDL Drivers | 11526 |

▶ Cargo Tank Facility

▶ Hazardous Materials

▶ Operating Authority

▶ BASICs Safety Measurement Summary

▶ Inspection Selection System (ISS) Info



USDOT Information - Officials

- The *Officials* tab on the USDOT Registration view lists the registered company officials

COMPANY INFORMATION

☒ USDOT Registration

USDOT Registration ?

[Update Company Information\(MCS-150\)](#)
[MCS-150 Pdf](#)

General | Ops | Equip | Driver | Officials

| Company Officer | Job Title |
|-----------------|-----------------|
| JOHN THOMPSON | PRESIDENT & CEO |
| | |

| Authorizing Official | Authorizing Official Title |
|----------------------|----------------------------|
| CHRISTINA BLICK | SENIOR COMPLIANCE MANAGER |

Cargo Tank Facility

Hazardous Materials

Operating Authority

BASICS Safety Measurement Summary

Inspection Selection System (ISS) Info



Cargo Tank Facility

- The *Cargo Tank Facility* view provides information on all Cargo Tank facilities owned by the company



COMPANY INFORMATION

- ▶ USDOT Registration
- ▶ **Cargo Tank Facility**

Cargo Tank Facility ?

| Cargo Tank Facility # | Facility Name | Facility Address | Facility Mail |
|-----------------------|---------------|------------------|---------------|
| | | | |
| | | | |

- ▶ Hazardous Materials
- ▶ Operating Authority
- ▶ BASICs Safety Measurement Summary
- ▶ Inspection Selection System (ISS) Info



Hazardous Materials - Details

COMPANY INFORMATION

- USDOT Registration
- Cargo Tank Facility
- Hazardous Materials**

Hazardous Materials ⓘ

Details | Safety Permit | HMSP Materials

| | Carries | Shipped | Bulk | Non-Bulk |
|-----------------|---------|---------|------|----------|
| DIV 1.4 | ✓ | | | ✓ |
| DIV 1.6 | ✓ | | | ✓ |
| DIV 2.1 | ✓ | | | ✓ |
| DIV 2.1 LPG | ✓ | | | ✓ |
| DIV 2.1 METHANE | ✓ | | | ✓ |
| DIV 2.2 | ✓ | | | ✓ |
| CLASS 3 | ✓ | | | ✓ |
| COM LIQ | ✓ | | | ✓ |
| DIV 4.1 | ✓ | | | ✓ |

- Operating Authority
- BASICs Safety Measurement Summary
- Inspection Selection System (ISS) Info

- The *Details* tab on the Hazardous Materials view lists the full scope of hazardous materials that are carried or shipped by the company and whether the material is carried or shipped and in bulk or non-bulk form



Hazardous Materials – Safety Permit

COMPANY INFORMATION

- USDOT Registration
- Cargo Tank Facility
- Hazardous Materials**

Hazardous Materials ?

Details | Safety Permit | HMSP Materials

| | |
|------------------------------|--|
| HMSP ID: | |
| HMSP Type: | |
| Operating Under Appeal: | |
| HMSP Effective Date: | |
| HMSP Expiration Date: | |
| PHMSA Reg Exp Date: | |
| HMSP Current Status: | |
| HMSP Date of Current Status: | |
| HMSP Filing Date: | |
| Current HMSP Issues: | |

- Operating Authority
- BASICs Safety Measurement Summary
- Inspection Selection System (ISS) Info

- The *Safety Permit* tab on the Hazardous Materials view displays the relevant data and dates for the company's Hazardous Materials Safety Permit



Hazardous Materials – HMSP Materials

COMPANY INFORMATION

- USDOT Registration
- Cargo Tank Facility
- Hazardous Materials**

Hazardous Materials ⓘ

Details | Safety Permit | HMSP Materials

| Description | YN |
|---|----|
| Highway Route Controlled Quantities (HRCQ) of Radioactive materials | NO |
| More than 25 kg (55 pounds) of a Division 1.1, 1.2, or 1.3, or a quantity of Division 1.5 material that requires placarding | NO |
| For materials that meet the definition of "material poisonous by inhalation" (TIH) as defined in 49 CFR 171.8; More than 1 liter (1.08 quarts) per package of a material meeting the definition of a Hazard Zone A TIH material, a material meeting the definition of a Hazard Zone B TIH material in a bulk package (capacity greater than 450 liters (119 gallons), or a material meeting the definition of a Hazard Zone C or D TIH material in a bulk | NO |

- Operating Authority
- BASICs Safety Measurement Summary
- Inspection Selection System (ISS) Info

- The *HMSP Materials* tab on the Hazardous Materials view provides a description of specific hazardous materials
- A Yes/No column indicates whether the company is allowed to carry specific hazardous materials under its permit




Operating Authority

COMPANY INFORMATION - □ ?

- ▶ USDOT Registration
- ▶ Cargo Tank Facility
- ▶ Hazardous Materials
- ▶ Operating Authority**

Operating Authority ?

| View | MC/MX # | Legal Name | DBA Name |
|---|---------|-----------------|----------|
|  | 1234567 | ABC DEF COMPANY | |

- ▶ BASICs Safety Measurement Summary
- ▶ Inspection Selection System (ISS) Info

- The *Operating Authority* view lists all MC/MX numbers associated with the company
- Click the magnifying glass next to each entry to access a Detailed View for the MC/MX number



Operating Authority Details

- The *Operating Authority* view features an available detailed view with additional information.

Operating Authority - Detail View for: MC 999999

General Info

USDOT Number: 9999999
 MC/MX Number: MC 999999
 Legal Name: SAMPLE LEGAL NAME
 SSN:
 EIN: 12-3456789
 DBA Name:
 Telephone: (123) 123-4567
 Business Address: 1 TEST ST, CITY, TX 12345
 Mailing Address: P O BOX 1, CITY, TX 12345
 RFC Number:

Scope of Authority and Status

| | | | | |
|------------------|-----|----------------|------------------|---------------------|
| Property: | YES | Authority Type | Authority Status | Application Pending |
| Passenger: | NO | Common | NONE | NO |
| Household Goods: | NO | Contract | NONE | NO |
| Private: | NO | Broker | NONE | NO |
| Enterprise: | NO | | | |

Insurance Details

| | | | | | |
|---------|--|--------------|----------------|--------------------|-------------------|
| BOC-3 | | No | Insurance Type | Insurance Required | Insurance on File |
| Blanket | | Company Name | BPID | \$750,000 | \$0 |
| | | | Cargo | \$5000 | NO |
| | | | Bond | NO | NO |

| | | | | | | | | |
|------|------|-------------------|---------------|-----------|--------------|--------------|----------------|-------------------|
| Form | Type | Insurance Carrier | Policy/Surety | Post Date | Coverage Min | Coverage Max | Effective Date | Cancellation Date |
|------|------|-------------------|---------------|-----------|--------------|--------------|----------------|-------------------|

Please Note












If a carrier is in compliance, the amount of coverage will always be shown as the required Federal minimum (\$5,000 per vehicle, \$10,000 per occurrence for cargo insurance, and \$10,000 for bond/trust fund). The carrier may actually have higher levels of coverage.

Close



BASICS Safety Measurement Summary Information

- The ***BASICS Safety Measurement Summary*** view lists the relative status based on their FMCSA activities such as roadside performance and violations cited detected during Investigations

| BASICS Safety Measurement Summary | | | | |
|---|----------------------|---|---|---|
| BASICS Safety Measurement Summary ⓘ BASICS Details and History Intervention Thresholds (Company Safety Measurement Snapshot Date 04/13/2012) | | | | |
| BASIC | ON-ROAD ⁺ | INVESTIGATION ⁺ | | BASICS STATUS |
| Unsafe Driving ¹ | No Violations |  | = |  |
| Fatigued Driving (HOS) | 56 % |  | = |  |
| Driver Fitness | Inconclusive |  | = |  |
| Controlled Substances and Alcohol | N/A |  | = |  |
| Vehicle Maintenance | 78 % |  | = | |
| Cargo Related | 87 % |  | = |  |

Accessing BASICS Details and History

- Users have the ability to view the detailed information regarding their BASICS scores in the Analysis and Information System (A&I)

BASICS Safety Measurement Summary

BASICS Safety Measurement Summary

BASICS Details and History

BASIC

Unsafe Driving ¹

Fatigued Driving (HOS)

Driver Fitness

Controlled Substances and Alcohol

Vehicle Maintenance

Cargo Related

Crash Indicator ²

Insurance / Other



The screenshot shows the FMCSA BASICS Safety Measurement System interface. At the top, there's a navigation bar with links like 'AS I LOGIN', 'CONTACTS', 'FEEDBACK', 'FMCSA.GOV', 'FMCSA PORTAL', and 'DOT.GOV'. Below this is a search bar and a 'Search All FMCSA Sites' button. The main content area is titled 'Welcome to the Safety Measurement System' and includes a brief description of the system's purpose. Below the welcome message, there's a section titled 'Select a BASIC below to view details' which lists various BASIC categories and their corresponding performance scores. The categories include Unsafe Driving (16.5%), Fatigued Driving (Hours-of-Service) (36.5%), Driver Fitness (56.7%), Controlled Substances and Alcohol (0.0%), Vehicle Maintenance (30.8%), Cargo Related (45.8%), Crash Indicator (23.6%), and Insurance and Other (Not Applicable). Each category has a corresponding icon and a 'History' button. To the right of the BASIC list, there's a 'View Complete Measurement Profile' button. Below this, there's a 'SUMMARY OF ACTIVITIES' section with a 'RECENT INTERVENTIONS' table. The table lists the following interventions: Non-Ratable Review (3/29/2007), Compliance Review (2/27/1997), Compliance Review (1/31/1992), Compliance Review (8/10/1990), and Compliance Review (11/9/1989). At the bottom, there's a 'DATA DOWNLOADS' section.

| PERFORMANCE | | | |
|-------------------------------------|----------------|----------------|-----------|
| | On-Road | Investigation | OVERALL |
| Unsafe Driving | 16.5% | | NOT RATED |
| Fatigued Driving (Hours-of-Service) | 36.5% | | NOT RATED |
| Driver Fitness | 56.7% | | NOT RATED |
| Controlled Substances and Alcohol | 0.0% | | NOT RATED |
| Vehicle Maintenance | 30.8% | | NOT RATED |
| Cargo Related | 45.8% | | NOT RATED |
| Crash Indicator | 23.6% | Not Applicable | NOT RATED |
| Insurance and Other | Not Applicable | | NOT RATED |

| RECENT INTERVENTIONS | |
|----------------------|-----------|
| Intervention Type | Date |
| Non-Ratable Review | 3/29/2007 |
| Compliance Review | 2/27/1997 |
| Compliance Review | 1/31/1992 |
| Compliance Review | 8/10/1990 |
| Compliance Review | 11/9/1989 |



Viewing BASICS Intervention Thresholds


- Users have the ability to view the current BASIC Percentiles Intervention Threshold values used to determine the BASICS categories that require their attention

 **BASICS Safety Measurement Summary**

BASICS Safety Measurement Summary

[BASICS Details and History](#) [Intervention Thresholds](#)

| Intervention Thresholds | |
|--|---|
| BASIC Percentiles Intervention Thresholds (\geq) | |
| BASIC Percentiles | Carriers of General Freight Carriers of Hazardous Materials Carriers of Passenger |
| Unsafe Driving ¹ | 72% 67% 50% |
| Fatigued Driving (HOS) | 72% 67% 50% |
| Driver Fitness | 77% 72% 55% |
| Controlled Substances and Alcohol | 77% 72% 55% |
| Vehicle Maintenance | 77% 72% 55% |
| Cargo Related | 77% 72% 55% |
| Crash Indicator ² | 72% 67% 50% |
| Insurance / Other | |





Inspection Selection System (ISS) Information

COMPANY INFORMATION

- ▶ USDOT Registration
- ▶ Cargo Tank Facility
- ▶ Hazardous Materials
- ▶ Operating Authority
- ▶ BASICs Safety Measurement Summary
- ▶ Inspection Selection System (ISS) Info**

Inspection Selection System (ISS) Info

| | |
|---------------------------|--------|
| ISS Inspection Score: | 62 |
| ISS Recommendation: | Safety |
| Basis for Recommendation: | SAFETY |

- The *Inspection Selection System (ISS) Info* view displays the company's ISS score, the resulting ISS Recommendation and the Basis for Recommendation



Inspection Selection System (ISS)

- First introduced Fall 1995 (ISS-1)
 - Two goals – prioritize carriers with poor prior safety history or no/little data relative to their size
- ISS based on SafeStat (ISS-2)
 - Added driver conviction indicator (ISS-D)
- ISS based on CSA BASICs (ISS-CSA)

ai.fmcsa.dot.gov/sms/helpfiles/iss_algorithm.pdf



ISS calculation

| BASIC | Description |
|-------|---|
| 1 | Unsafe Driving |
| 2 | Fatigued Driving – Impactable |
| 3 | Driver Fitness – Impactable |
| 4 | Controlled Substance and Alcohol – Impactable |
| 5 | Vehicle Maintenance – Impactable |
| 6 | Cargo Related (to become “HM”) – Impactable |
| 7 | Crash Involvement (Crash Indicator) |



ISS calculation – cont.

| Group | Description | ISS Recommendation |
|-------|---|--------------------|
| 1 | OOS carriers | Inspect |
| 2 | High Risk | Inspect |
| 3 | Multiple Deficient BASICs – 3+ Impactable | Inspect |
| 4 | Multiple Deficient BASICs – 2 Impactable | Inspect |
| 5 | Multiple Deficient BASICs – 1 Impactable | Inspect |
| 6 | Fatigued Driving Deficient BASIC | Inspect |



ISS calculation – cont.

| Group | Description | ISS Recommendation |
|-------|---|--------------------|
| 7 | Carriers with only an Insurance / Other serious violation | Optional |
| 8 | Vehicle Maintenance Deficient BASIC | Optional |
| 9 | Cargo Related Deficient BASIC | Optional |
| 10 | Driver Fitness Deficient BASIC | Optional |
| 11 | Controlled Substance and Alcohol Deficient BASIC | Optional |
| 12 | Unsafe Driving and Crash Deficient BASIC | Optional |
| 13 | Single Crash or Unsafe Driving Deficient BASIC | Optional |
| 14 | No deficient BASICs | Pass |



ISS calculation – cont.

- Note that serious violations found during an investigation can cause a BASIC to be deficient
- ISS values range from 1 to 100
 - 75 to 100 is Inspect
 - 1 to 49 is Pass



ISS calculation – cont.

- Insufficient data
 - Values primarily based on number of prior inspections relative to size, with small safety component
 - Values currently 50-100, but will become 50-74, except
 - OOS carriers will be 100
 - Small random percent will be 99



Company Activity

- In the Company Activity portlet information is displayed using an accordion view with additional drill-down options where applicable
- Company users can view totals for selected timeframes for crashes, inspections, reviews, and enforcement cases
- Company users can query crash, inspection, review, and enforcement case information and view the details of a specific instance of an activity

The screenshot shows the 'COMPANY ACTIVITY' portlet. It features an accordion view with the 'Summary' section expanded. Below the summary, there are tabs for 'Crashes', 'Inspections', 'Reviews', and 'Closed Enf'. A dropdown menu is set to 'LAST 24 HOURS' with a 'Search' button. A table displays 'Crashes posted to FMCSA' with columns for 'Fatal', 'Injury', and 'Tow', all showing a count of 0. A note states: 'NOTE: This section displays only Crash Summary. For a list of Crashes please select the "Crash List" panel.' At the bottom, there are links to 'Crash List', 'Inspection List', 'Review List', and 'Cases Closed with Enforcement List'.

| Crashes posted to FMCSA | | | Total Crashes: 0 |
|-------------------------|--------|-----|------------------|
| Fatal | Injury | Tow | |
| 0 | 0 | 0 | |



Company Activities - Summary

- In the default Summary view in the Company Activity portlet users can search for summary level activity information on Crashes, Inspections, Reviews and Cases Closed with Enforcement

COMPANY ACTIVITY

Summary

Summary

Crashes | Inspections | Reviews | Closed Enf

LAST 3 MONTHS Search

Crashes posted to FMCSA

Total Crashes: 0

| Fatal | Injury | Tow |
|-------|--------|-----|
| 0 | 0 | 0 |

NOTE: This section displays only Crash Summary. For a list of Crashes please select the "Crash List" panel.

Crash List

Inspection List

Review List

Cases Closed with Enforcement List



Company Activities - Summary

- All searches for data under the Summary view result in high level data limited to predefined date ranges

Crashes posted to FMCSA Total Crashes: 101

| Fatal | Injury | Tow |
|-------|--------|-----|
| 5 | 43 | 53 |

NOTE: This section displays only Crash Summary. For a list of Crashes please select the "Crash List" panel.

Reviews on File

| Type | Quantity |
|---------|----------|
| Reviews | 1 |

NOTE: This section displays only Review Summary. For a list of Reviews please select the "Review List" panel.

Inspections

Total Inspections: 1

| Type | Vehicle | Driver | Hazmat |
|-------------------------------------|---------|--------|--------|
| Inspections | 1 | 1 | 0 |
| Out of Service | 0 | 0 | 0 |
| Out of Service (%) | 0 % | 0 % | 0 % |
| National Average (%) (2007-2008) | 33.33% | 9.09% | 4.76% |

NOTE: This section displays only Inspection Summary. For a list of Inspections please select the "Inspection List" panel.

Cases Closed with Enforcement

| Type | Quantity |
|-------------------------------|----------|
| Cases Closed with Enforcement | 0 |

NOTE: This section displays only Cases Closed with Enforcement Summary. For a list of Cases Closed with Enforcement please select the "Cases Closed with Enforcement" panel.



Company Activities - Crashes

- Use the Crash List view to search for and display a list of crashes within a specified time frame
- Each entry includes detailed data that can be accessed by clicking the magnifying glass next to its entry
- The View Full Report link provides more search and download options

COMPANY ACTIVITY

Summary

Crash List

LAST 24 HOURS [View Full Report](#)

All States [Search](#)

| View | Status | State | Date | Driver |
|------|--------|-------|------------|--------|
| | | CA | 10/05/2010 | |
| | | GA | 07/14/2010 | |

Inspection List

Review List

Cases Closed with Enforcement List



Crashes – Full Report View

- The Full Report view allows users to conduct searches using more specific data parameters, including State and date type
- Search results can be downloaded to an Excel file and XML

Date Type: ☒ FMCSA Post Date ☐ Crash Date

From Date: To Date:

First Name: CDL#:

Last Name: CDL State:

State:

[Download List](#) [Download Detail](#) [Print List](#)

| View | Status | Report # | Crash Date | FMCSA Post Date | Rpt State | Fatalities | Injuries | Driver | VIN | Veh Plate State | Veh Plate |
|------|--------|------------|------------|-----------------|-----------|------------|----------|---------------|------------|-----------------|-----------|
| | | XXXXXXXXXX | 11/11/2010 | 11/11/2010 | TX | 0 | 0 | CLAUDE CRIBBY | XXXXXXXXXX | TX | XXXXXX |
| | | XXXXXXXXXX | 11/11/2010 | 11/11/2010 | TX | 0 | 0 | DALE WILSON | XXXXXXXXXX | TX | XXXXXX |
| | | XXXXXXXXXX | 11/11/2010 | 11/11/2010 | TX | 0 | 0 | XXXXXXXXXX | XXXXXXXXXX | TX | XXXXXX |



Crashes – Detailed View

- The Crash Details View contains complete data for a selected crash
- The detailed view also features a Dispute button to access DataQs

[View Full Report](#)

Dispute **Print**

Carrier Information

| | | | |
|---------------------|---------|------------------------------|--|
| Legal Name: | | Business Address: | |
| USDOT #: | | Business Phone: | |
| MC/MX#: | | Business Fax: | |
| Entity Type: | CARRIER | Contact Mobile Phone: | |

| Crash Information | | Crash Environment | |
|------------------------------|---|---------------------------|---------------------------------|
| Number of Fatalities: | 0 | Injuries: | 0 |
| Tow Away: | Y | Vehicles In Crash: | 2 |
| | | Traffic Level: | TWO-WAY TRAFFICWAY, NOT DIVIDED |
| | | Access Control: | NO CONTROL |
| | | Surface Condition: | DRY |
| | | Weather: | NO ADVERSE CONDITIONS |
| | | Light Level: | DAYLIGHT |



Company Activities - Inspections

- Use the Inspection List view to search for and display a list of inspections within a specified time frame
- Each entry includes detailed data that can be accessed by clicking the magnifying glass next to its entry
- The View Full Report link provides more search and download options

COMPANY ACTIVITY

- Summary
- Crash List
- Inspection List**
- Review List
- Cases Closed with Enforcement List

Inspection List

LAST 24 HOURS [View Full Report](#)

Search

| View | Status | Report # | Date | Driver |
|------|--------|------------|------------|------------------|
| | | SPT0031911 | 11/09/2010 | THOMAS J. HARRIS |
| | | SPD0082275 | 11/09/2010 | THOMAS J. HARRIS |
| | | SPD0102012 | 11/09/2010 | THOMAS J. HARRIS |
| | | 439C000599 | 11/09/2010 | THOMAS J. HARRIS |
| | | OGGR001199 | 11/04/2010 | THOMAS J. HARRIS |



Inspections - Full Report View

- The Full Report view allows users to conduct searches using more specific data parameters, including State and date type
- Search results can be downloaded to an Excel file and XML

Date Type: ☒ FMCSA Post Date ☐ Inspection Date

From Date: To Date:

First Name: CDL#:

Last Name: CDL State:

Violation:

State:

| View | Status | Report # | Inspection Date | FMCSA Post Date | Rpt State | Inspection Level | # OOS Violations | Driver | VIN | Veh Plate # | HM |
|------|--------|----------|-----------------|-----------------|-----------|------------------|------------------|--------|-----|-------------|----|
| | | | | | | | | | | | |



Inspections – Detailed View

- The Inspections Details View contains complete data for a selected inspection
- The detailed view also features a Dispute button to access DataQs

[View Full Report](#)

Dispute **Print**

Carrier Information

| | | | |
|---------------------|------------|----------------------------|------------|
| Legal Name: | [REDACTED] | Business Address: | [REDACTED] |
| USDOT #: | [REDACTED] | Business Phone: | [REDACTED] |
| MC/MX#: | [REDACTED] | Business Fax: | [REDACTED] |
| Entity Type: | CARRIER | Contact Cell Phone: | |

| | | | |
|------------------------------------|--|--------------|-----------------|
| Time & Location | Inspector Information | | |
| Report State: MD | Inspection Level: WALK-AROUND | Name: | Badge #: |
| Report #: [REDACTED] | Inspection Facility: FIXED SITE | | |
| Inspection Date: 11/11/2010 | Post Crash Inspection: N | | |
| Start-End Time: 1341-1352 | | | |



Company Activities – Reviews

- Use the Reviews List view to search for and display a list of reviews conducted within a specified time frame
- The View Full Report link provides more search and download options

COMPANY ACTIVITY

▶ Summary

▶ Crash List

▶ Inspection List

▶ Review List

Review List

LAST YEAR ▼ [View Full Report](#)

Search ?

| Status | Date | Violations | Type |
|--------|------------|------------|--------------------|
| | 03/29/2007 | 0 | NON-RATABLE REVIEW |

▶ Cases Closed with Enforcement List



Reviews – Full Report View

- The Full Report view allows users to conduct searches using more specific date parameters

| From Date: | <input type="text" value="1/1/2000"/> | To Date: | <input type="text" value="11/12/2010"/> | | | | | |
|---|---------------------------------------|-------------|---|--------------------|------------|---------------|-----------------------|--------------------|
| <input type="button" value="Search"/> | | | | | | | | |
| <input type="button" value="Print List"/> | | | | | | | | |
| Status | Rating Date | Review Date | Rating | Type | Mileage | # Rec Crashes | # Critical Violations | # Acute Violations |
| | | 03/29/2007 | | NON-RATABLE REVIEW | 1183324276 | 0 | 0 | 0 |



Company Activities – Enforcement Cases

- **Use the Cases Closed With Enforcement List view to search for and display a list of enforcement cases adjudicated within a specified time frame**
- **Only cases closed with Enforcement are displayed in the Company Activity portlet**
- **The View Full Report link provides more search and download options**



Enforcement Cases – Full Report View

- The Full Report view allows users to conduct searches using more specific date parameters

From Date: **To Date:**

| Investigation Number | Date | Source | Counts Settled | Amount | Violations | Descriptions |
|----------------------|------------|-----------|----------------|----------|------------|---|
| | 05/28/1997 | SafetyNet | 116 | \$58,000 | 395.8(e) | False reports of records of duty status |
| | | | 8 | \$3,600 | 396.9 | 396.9 |



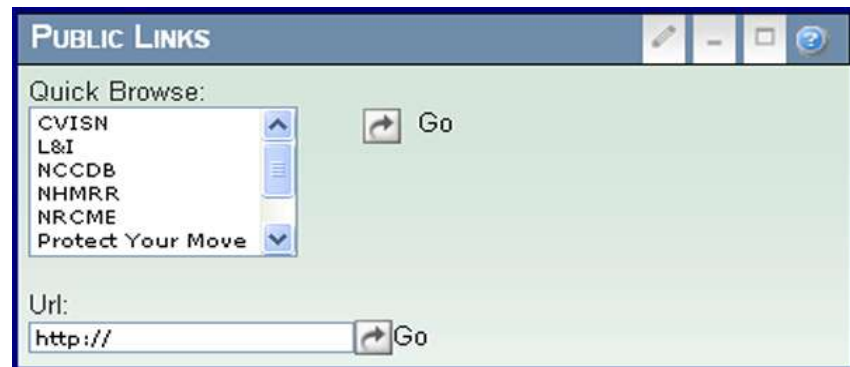
Pending Account Requests

- **Company Officials have an additional Pending Account Requests portlet on their home page which displays a list of users who are awaiting approval for a request to view information about their USDOT#**



Public Links

- The Public Links portlet allows users to access commonly used public FMCSA sites
- By default Company users will have access to the public functionality of the following FMCSA Systems:
 - National Hazardous Materials Route Registry (NHMRR)
 - Safety and Fitness Electronic Records (SAFER)
 - Commercial Vehicle Information Systems and Networks (CVISN)
 - National Registry of Certified Medical Examiners (NRCME)
 - Commercial Vehicle Information Systems and Networks (CVISN)
 - Licensing & Insurance (L&I)
 - Protect Your Move
 - Share the Road Safely





Public Links (cont'd)

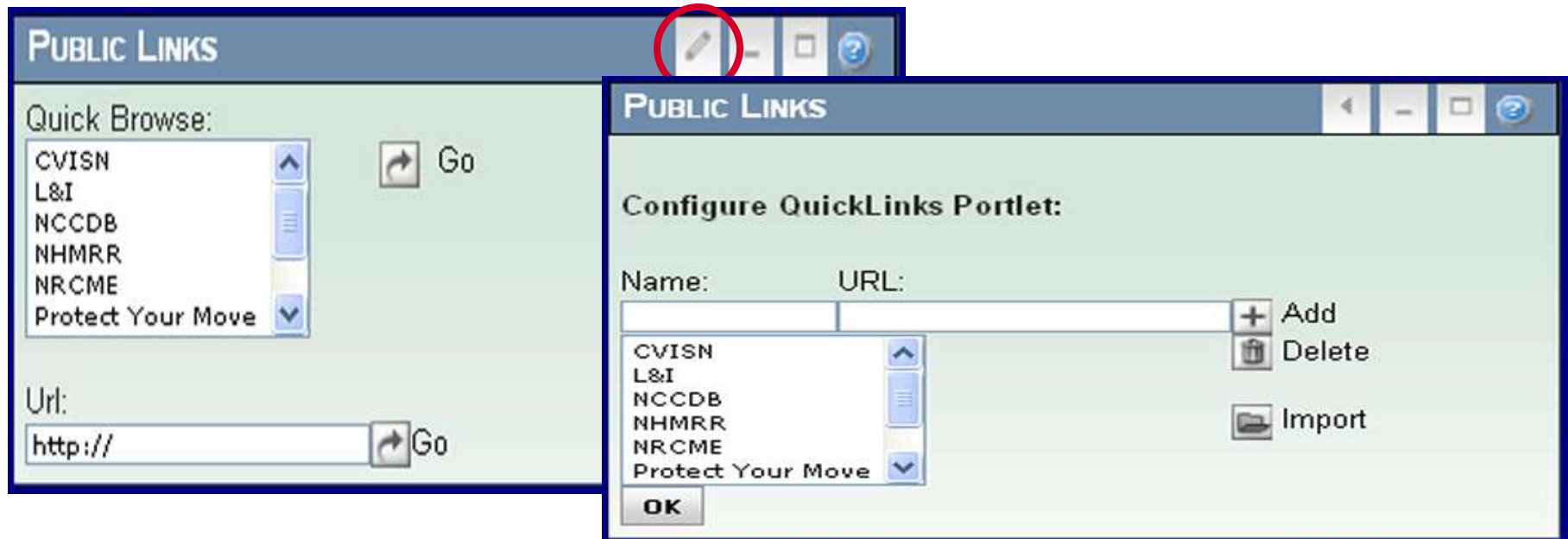
- To access FMCSA Systems within the Public Links portlet
 - Select the name of the FMCSA System from the list
 - Click the Go button
 - A new browser window opens to the selected FMCSA System

The screenshot shows two overlapping browser windows. The left window is the 'PUBLIC LINKS' portlet, featuring a 'Quick Browse' section with a list of links: CVISN (highlighted), L&I, NCCDB, NHMRR, NRCME, and Protect Your Move. To the right of this list is a 'Go' button with a circular arrow icon, which is circled in red. Below the list is a 'Url:' field with the text 'http://' and another 'Go' button. The right window displays the 'Commercial Vehicle Information Systems and Networks (CVISN)' page from the U.S. Department of Transportation's FMCSA Portal. The page includes a navigation bar with links like HOME, RULES & REGULATIONS, and REGISTRATION & LICENSING. The main content area is titled 'Commercial Vehicle Information Systems and Networks (CVISN)' and contains sections for 'About Commercial Vehicle Information Systems and Networks (CVISN)', 'Overall CVISN Goals', and 'Objectives'. A left sidebar lists various resources like 'Facts Research', 'CVISN', 'Architecture', 'Deployment', and 'User Help'.



Public Links (cont'd)

- Users are able to add, modify, and delete URLs they wish to access from their home page with the Public Links portlet
- To personalize the Public Links portlet
 - Click the Pencil (Personalize) button
 - The Public Links Configure QuickLinks Portlet will appear





Public Links (cont'd)

- To personalize the Public Links portlet by adding URLs
 - Enter a Name and URL
 - Click the **Add** button
 - For example to add Google to your Public Links portlet enter 'Google' in the Name field and the Google URL in the URL field 'http://www.google.com'
 - '*http://*' needs to be inserted when adding URLs to the QuickLinks portlet



Public Links (cont'd)

- To personalize the Public Links portlet by deleting URLs
 - Highlight the Name of the URL to be removed
 - Click the *Delete* button

The screenshot shows a web portlet titled "PUBLIC LINKS" with standard window controls (back, forward, search). Below the title is the heading "Configure QuickLinks Portlet:". There are two input fields: "Name:" containing "Google" and "URL:" containing "http://www.google.com/". To the right of these fields are three buttons: "Add" (with a plus icon), "Delete" (with a trash can icon and circled in red), and "Import" (with a folder icon). Below the "Name:" field is a list box containing the following items: CVISN, Google (highlighted with a blue background and a red border), L&I, NCCDB, NHMRR, and NRCME. At the bottom left of the portlet is an "OK" button.



Public Links (cont'd)

- To personalize your Public Links portlet by importing all of the URLs saved as Favorites in your browser
 - First export the saved URLs to a file
 - For instructions on exporting your Favorites to a file please refer to your internet browser's online help
 - Click the *Import* button
 - Browse to the exported Favorites file on your computer
 - Click *OK* to begin the import process
 - Once the import process is complete, you will see the URLs that you have saved as Favorites in your browser are now populated in the Public Links portlet

PUBLIC LINKS

Configure QuickLinks Portlet:

Name: URL:

+ Add
- Delete
Import
OK

CVISN
L&I
NCCDB
NHMRR
NRCME
Protect Your Move

PUBLIC LINKS

File Name: Browse...
OK Cancel



Public Links (cont'd)

- To return to the Public Links Home page view
 - Click the **OK** button

PUBLIC LINKS

Configure QuickLinks Portlet:

Name: URL:

CVISN
L&I
NCCDB
NHMRR
NRCME
Protect Your Move

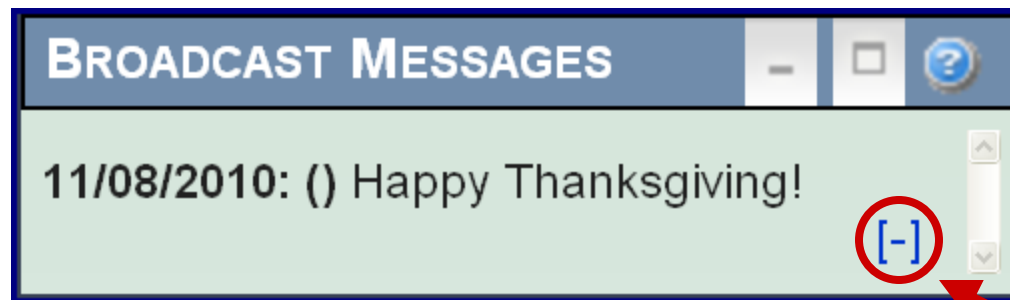
+ Add
Delete
Import

OK



System Broadcast Message

- The System Broadcast Message portlet allows users to view informational messages from FMCSA

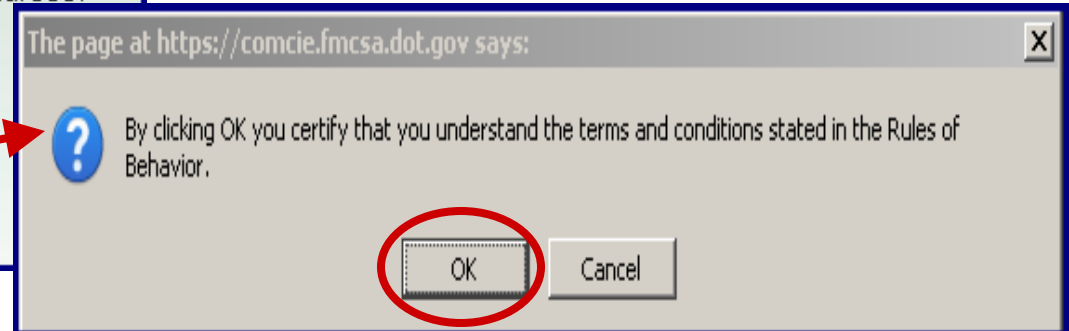
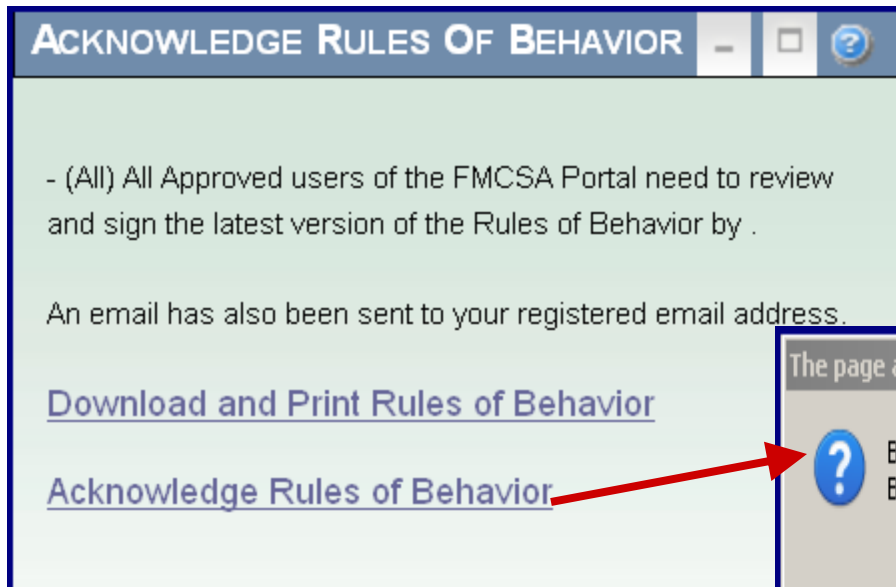


Click this link to
close a message



Acknowledge Rules of Behavior

- A portlet named Acknowledge Rules of Behavior will display on a User's home page when a new version of the Rules of Behavior is available and requires their acknowledgement





Discussion / Questions?

- We encourage feedback
 - Use the Feedback link from the Portal
 - Participate in a CVSA conference
- Contact information
brenda.lantz@ndsu.edu