Discussion Points

► What is FMCSA’s COMPASS Program?
► Expected Benefits for Industry
► FMCSA Portal Access for Companies
  • Overview of Functionality
  • Preview of the Portal
  • Detailed Functionality Review
  • Training and Account Registration
  • What to Expect Next
COMPASS is a comprehensive overhaul of the way FMCSA and its partners collect, manage, and convey safety information.

► Will leverage new technology to transform the way FMCSA does business by integrating the Agency's information technologies with its business processes and practices

► A multi-year program with multiple releases of functionality to different user groups

► A collaborative effort with stakeholders
Expected Benefits for Industry

► One location to retrieve verifiable information that is protected from unauthorized access and alteration

► Enhanced access to carrier safety and operational data through the FMCSA Portal for authorized users

► Automated alerts sent to the right person for action

► Increased self-sufficiency for users (e.g., online help and account management)
FMCSA Portal Access for Companies
Portal Access Functionality/Benefits for Companies

► Authorized users have access to real-time company information including crashes, inspections, reviews, and cases closed with enforcement
  • Companies control who can/cannot access their information
  • Companies can now print or download Company Safety Profiles on an as-needed basis at no charge
  • Automated password reset and account unlock

► Authorized users have access to DataQs through the Portal without additional login
  • All users who are currently registered users of DataQs will need to re-register for access to this system via the Portal

► Context-sensitive online help is available, including access to training materials, and user feedback tools
This screenshot shows the look-and-feel of the FMCSA Portal for companies.
The FMCSA Portal Login page [https://portal.fmcsa.dot.gov/](https://portal.fmcsa.dot.gov/) provides:

- Authentication to the Portal
- Help with forgotten passwords
- Online Portal Account Request Form
- Online Portal training materials

**Login to Access the FMCSA Portal**

User ID

Password

[Login](#) [Forgot your password?](#)

*To login using an E-authentication credential, please click [here](#).*

*To register for a portal account, please click [here](#).*

*To access portal training, please click [here](#).*
FMCSA Portal Features

► Information in the Portal is displayed in a series of navigable windows called portlets

► Each portlet features one or more Help icons that access useful information pertaining to the information the user is viewing

► Many of the portlets utilize an accordion feature to display details
  • Each row of the accordion is clearly labeled and, when selected, expands to display detailed information
  • Underlined text within a display indicates that more information may be access by clicking on the text
The Company home page provides access to detailed company information as recorded by the FMCSA.

- **Alerts**
  - Biennial update due in 05-2006. Last MCS-150/MCS-150B was filed on 06/26/2006.

- **Company Activity**
  - **Summary**
    - Crashes | Inspections | Reviews | Closed Enf.
  - **Cases Closed with Enforcement**
    - Type | Quantity
  - **Cases Closed with Enforcement**
    - NOTE: This section displays only Cases Closed with Enforcement Summary. For a list of Cases Closed with Enforcement please select the "Cases Closed with Enforcement" panel.

- **Company Information**
  - **USDOT Registration**
    - Update Company Information (MCS-150)
    - MCS-150 Pdf
    - General | Ops | Equip | Driver | Officials
    - USDOT#: 9999999
    - EIN: 12-3456789
    - SSN:
    - DUNS:
    - Entity Type: CARRIER
    - Legal Name: SAMPLE LEGAL NAME
    - DBA Name:
    - Email:
    - Company Address: 109 TEST ST, CITY, TX 12345, US
    - Company Phone: (123)123-4567
  - **Inspection Selection System (ISS) Info**
  - Cargo Tank Facility
  - Hazardous Materials
  - Operating Authority
  - Safestat Information
  - Inspection Selection System (ISS) Info
Company Home Page

- Company Officials have an additional Tasks portlet on their home page which displays a list of users who are awaiting account request approval.
Receiving Customer Account Requests

- The *Tasks* portlet on the Home Page displays a list of users who are awaiting approval for their requests to access your USDOT#
- Go to *Accounts / Manage Requests* to review the pending requests
Company Alerts

- Company Alerts will display notifications regarding any current or pending change in the company’s status

- Out of Service (OOS) Order
- Order to Cease Operation (OCO)
- Operating Authority Revocation
- Registration Revocation
- Hazardous Material Safety Permit (HMSP) Suspension or Revocation
- Mexican Provisional Authority Suspension or Revocation
- Operating Authority will be Revoked if not in Compliance
- Registration will be Revoked if Corrective Action is not Taken
- Hazardous Material Safety Permit (HMSP) will be Suspended or Revoked if Corrective Action is not Taken
- Mexican Provisional Authority will be Revoked if Corrective Action is not Taken
- Biennial Update is Due or Overdue
- Current Address is Undeliverable
Company Correspondence

- **Company Correspondence** lists letters generated by the FMCSA and sent to the company:
  - Notification Letters
  - Rating Letters
  - Safety Audit Result Letters (NE or MX)
  - No Show Safety Audit Letters (NE or MX)
  - New Entrant No Contact Letters
  - HM Permit Letters
  - Licensing and Insurance Letters
  - Cargo Tank Company Letters
  - Amended NOC Served
  - Settlement Agreement to Subject
  - UNSAT OOS Order Served
  - Relief Request Response Served
  - UNSAT Extension in Effect Until
  - OSC Served
  - OCO Order Served / Rescinded
  - Settlement Agreement Breach Letter
  - Rescind Notice of Final Agency Order
  - Notice of Default and Final Agency Order Served
Accessing Company Activities

- The Company Activity portlet is displayed on the Company Home Page
Company Activity

- **Company Activity** is displayed using an accordion view with additional drill-down options where applicable.

- **Available information includes**
  - Summary information on Crashes, Inspections, Reviews and Enforcement Activities
  - Crashes Details
  - Inspections Details
  - Reviews Details
  - Cases Closed with Enforcement

![Company Activity]

*Summary*

- Crash List
- Inspection List
- Review List
- Cases Closed with Enforcement List
Company Activities - Summary

- In the default Summary view in the Company Activity portlet users can search for summary level activity information on Crashes, Inspections, Reviews and Cases Closed with Enforcement.
Company Activities - Summary

- All searches for data under the Summary view result in high level data limited to predefined date ranges.
Company Activities - Crashes

- Use the Crashes List view to search for and display a list of crashes within a specified time frame
- Each entry includes detailed data that can be accessed by clicking the magnifying glass next to its entry
Crashes – Full Report View

- The Full Report view allows users to conduct searches using more specific data parameters, including State and date type.
- Search results can be downloaded in an XML file format.
The Crash Detail View contains complete data for a selected crash. The detailed view also features a Dispute button to access DataQs.
Company Activities - Inspections

- Use the Inspection List view to search for and display a list of inspections within a specified time frame.

- Each entry includes detailed data that can be accessed by clicking the magnifying glass next to its entry.
The Full Report view allows users to conduct searches using more specific data parameters, including State and date type.

Search results can be downloaded in an XML format.

**Inspection List for USDOT #: 9999999**

- **Status**: Full
- **Report #:** 05A30ACX01
- **Inspection Date**: 12/29/2005
- **FMCSA Post Date**: 01/06/2006
- **Rpt State**: TX
- **Inspection Level**: FULL
- **# OCS Violations**: 1
- **Driver**: NAME M LNAME
- **VIN**: 81

- **Status**: Full
- **Report #:** 056X0ABS07
- **Inspection Date**: 09/06/2005
- **FMCSA Post Date**: 05/15/2005
- **Rpt State**: TX
- **Inspection Level**: FULL
- **# OCS Violations**: 1
- **Driver**: NAME M LNAME
- **VIN**: 81

- **Status**: Full
- **Report #:** 05SM0AQR0B
- **Inspection Date**: 08/26/2005
- **FMCSA Post Date**: 09/09/2005
- **Rpt State**: TX
- **Inspection Level**: FULL
- **# OCS Violations**: 1
- **Driver**: NAME D LNAME
- **VIN**: 81

- **Status**: Full
- **Report #:** 0005AL2043
- **Inspection Date**: 06/30/2005
- **FMCSA Post Date**: 07/06/2005
- **Rpt State**: TX
- **Inspection Level**: FULL
- **# OCS Violations**: 1
- **Driver**: NAME M LNAME
- **VIN**: 81

- **Status**: Full
- **Report #:** LABS9D3783
- **Inspection Date**: 04/21/2005
- **FMCSA Post Date**: 04/24/2006
- **Rpt State**: LA
- **Inspection Level**: FULL
- **# OCS Violations**: 2
- **Driver**: NAME M LNAME
- **VIN**: 1FD6F36F1XEE281420

- **Status**: Full
- **Report #:** LABS7C06455
- **Inspection Date**: 02/04/2005
- **FMCSA Post Date**: 02/06/2006
- **Rpt State**: LA
- **Inspection Level**: FULL
- **# OCS Violations**: 1
- **Driver**: NAME L LNAME
- **VIN**: 1GB7H1CXY3J508539

- **Status**: Full
- **Report #:** 06O1DAQE05
- **Inspection Date**: 01/10/2006
- **FMCSA Post Date**: 01/24/2006
- **Rpt State**: TX
- **Inspection Level**: FULL
- **# OCS Violations**: 2
- **Driver**: NAME M LNAME
- **VIN**: 81
The Inspections Detail View contains complete data for a selected inspection. The detailed view also features a Dispute button to access DataQs.
Company Activities – Reviews

- Use the Reviews List view to search for and display a list of reviews conducted within a specified time frame.
Reviews – Full Report View

- The Full Report view allows users to conduct searches using more specific date parameters
Company Activities – Enforcement

- Use the Cases Closed With Enforcement List view to search for and display a list of enforcement cases adjudicated within a specified time frame.

- Only cases closed with Enforcement are displayed in the Company Activity portlet.
The Full Report view allows users to conduct searches using more specific date parameters.
Accessing Company Information

- The Company Information portlet is displayed on the Company Home Page
Company Information

- In the Company Information portlet users can access detailed information about general company data.

- Available information includes:
  - USDOT# Registration
  - Cargo Tank Facility
  - Hazardous Materials
  - Operating Authority
  - SafeStat Information
  - Inspection Selection System (ISS) Information
USDOT Information - General

• Company USDOT Registration information contains read-only MCS-150 information including:
  - General information
  - Operations information
  - Equipment information
  - Driver information
  - Officials

• The first tab under USDOT# Registration displays General information about the company
The Operations tab on the USDOT Registration view displays the company’s operation classification information.
• The Equipment tab on the USDOT Registration view displays a count of each type of vehicle the company operates.
The Driver tab on the USDOT Registration view displays the number interstate and intrastate the company uses on average.
The Officials tab on the USDOT Registration view lists the registered company officials.
The Cargo Tank Facility view provides information on all Cargo Tank facilities owned by the company.
• The Details tab on the Hazardous Materials view lists the full scope of hazardous materials that are carried or shipped by the company and whether the material is carried or shipped and in bulk or non-bulk form.
Hazardous Materials – Safety Permit

- The Safety Permit tab on the Hazardous Materials view displays the relevant data and dates for the company’s Hazardous Materials Safety Permit.
The **HMSP Materials** tab on the **Hazardous Materials** view provides a description of specific hazardous materials.

A Yes/No column indicates whether the company is allowed to carry specific hazardous materials under its permit.
The Operating Authority view lists all MC/MX numbers associated with the company.

Click the magnifying glass next to each entry to access a Detail View for the MC/MX number.
The *Operating Authority* view features an available detailed view with additional information.

---

### Operating Authority - Detail View for: MC 999999

<table>
<thead>
<tr>
<th>General Info</th>
<th>Scope of Authority and Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>USDOT Number: 9999999</td>
<td>Property: YES Authority Type: Common Authority Status: NONE Application Pending: NO</td>
</tr>
<tr>
<td>MC/MX Number: MC 9999998</td>
<td>Passenger: NO Authority Type: Contract Authority Status: NONE Application Pending: NO</td>
</tr>
<tr>
<td>Legal Name: SAMPLE LEGAL NAME</td>
<td>Household Goods: NO Authority Type: Broker Authority Status: NONE Application Pending: NO</td>
</tr>
<tr>
<td>SSN:</td>
<td>Private: NO</td>
</tr>
<tr>
<td>EIN: 12-0450789</td>
<td>Enterprise: NO</td>
</tr>
<tr>
<td>BBA Name:</td>
<td></td>
</tr>
<tr>
<td>Telephone: (123) 123-0067</td>
<td>Business Address: 1 TEST ST, CITY, TX 12345</td>
</tr>
<tr>
<td>Mailing Address: P O BOX 1, CITY, TX 12346</td>
<td>RFC Number:</td>
</tr>
</tbody>
</table>

### Insurance Details

<table>
<thead>
<tr>
<th>BOC-3</th>
<th>No</th>
<th>Insurance Type</th>
<th>Insurance Required</th>
<th>Insurance on File</th>
</tr>
</thead>
<tbody>
<tr>
<td>Blanket Company Name</td>
<td></td>
<td>BPD</td>
<td>$750,000</td>
<td>30</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Cargo</td>
<td>$50,000</td>
<td>NO</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Bond</td>
<td>NO</td>
<td>NO</td>
</tr>
</tbody>
</table>

Please Note

If a carrier is in compliance, the amount of coverage will always be shown as the required Federal minimum ($5,000 per vehicle, $10,000 per occurrence for cargo insurance, and $10,000 for bond/trust fund). The carrier may actually have higher levels of coverage.
The *SafeStat Information* view lists the calculated values for the company based on their FMCSA activities such as inspections and crashes.
The **Inspection Selection System (ISS) Info** view displays the company’s ISS score, the resulting ISS Recommendation and the Basis for Recommendation.
Users may request a Company Safety Profile (or CSP) report under the Reports menu on the Company Home Page.
Submitting Safety Profile Report Request

- Users can submit a request for a Safety Profile Report by entering specific search parameters and clicking **Submit Request**

- To check the status of a report request click **Check Request Availability**

- Users receive email notification when reports are completed and available

- Download completed reports in XML or PDF format
Training and Account Registration for Portal Access

► Self-paced training is highly recommended for both the Company Official and Company Access roles

► By the time company users register for a Portal account, your company should have designated a Company Official to administer access to company safety records

• This Company Official will process account registration requests online
Requesting an Account

► An FMCSA Company Official in possession of their USDOT Number PIN may request a Portal account as the authorizing official for their company’s FMCSA data
  • Company Officials are responsible for approving or denying account request for access to the FMCSA data stored under their company’s USDOT Number

► Any employee (or affiliate) of a company (that is registered with the FMCSA) may request a Portal account as a Company User
  • Company Users can request access to the FMCSA data stored under their company’s USDOT Number(s)

► Users do not need to be actual employees of a company, but must be an individual who the company official will approve access rights
FMCSA Portal Account Requests Overview

• All Company Officials may request a Portal account via the FMCSA Portal login page

Login to Access the FMCSA Portal

User ID
Password

Login  Forgot your password?

To login using an E-authentication credential, please click here.

To register for a portal account, please click here.

To access portal training, please click here.
In step one of the account request process Customer users identify themselves as a type of Company User

- Users that will administer the access to their company safety information and are in rightful possession of the officially designated PIN for a company's USDOT# identify themselves by selecting Company Official.
In step two of the account request process users are required to enter their own security information, including their own Username and Password.
In step three of the account request process users enter their User Information and Contact Information.

<table>
<thead>
<tr>
<th>Personal Information</th>
<th>Your Contact Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>* First Name: Clark</td>
<td>* E-Mail: <a href="mailto:ckent@dot.gov">ckent@dot.gov</a></td>
</tr>
<tr>
<td>* Middle Name:</td>
<td>* Verify E-Mail: <a href="mailto:ckent@dot.gov">ckent@dot.gov</a></td>
</tr>
<tr>
<td>* Last Name: Kent</td>
<td>* Country: UNITED STATES</td>
</tr>
<tr>
<td>* Phone Area Code: 1-</td>
<td>* Address 1: 23 Farm Way</td>
</tr>
<tr>
<td>123</td>
<td>Address 2:</td>
</tr>
<tr>
<td>* Phone Number: 1234567</td>
<td>* City: Smallville</td>
</tr>
<tr>
<td>Extension:</td>
<td>* State: KANSAS</td>
</tr>
<tr>
<td>Fax Area Code: 1-</td>
<td>* Postal Code: 12345</td>
</tr>
<tr>
<td>Fax Number:</td>
<td>* Preferred Method of Contact: Email</td>
</tr>
</tbody>
</table>

* Indicates Required Information
In step four of the account request process enter the USDOT# and PIN for the company whose information you wish to administer user access.
Each company can only have one Company Official.

If a user requests administrative access to a USDOT# that already has a Company Official registered in the Portal, a USDOT Number Error message will appear.

USDOT Number Error

We’re sorry, but we are unable to process this request.

FMCSA Portal rules allow for only one administrative account per USDOT number. The USDOT number already has an administrator held by:

Joe Somebody
1234 Some Place
Somewhere, AK 123456
303-123-4567

Would you like to contest this with the FMCSA Chief Accounts Officer?

[Yes] [No]
Request an Account (User) – Step 4

- In step four of the account request process users may request access to view the company safety information for a registered USDOT #

<table>
<thead>
<tr>
<th>USDOT #</th>
<th>Legal Name</th>
<th>DEA Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>80605</td>
<td>J B HUNT TRANSPORT INC</td>
<td></td>
</tr>
</tbody>
</table>
Request an Account – Step 5

• In step five of the account request process all users must certify that they have reviewed the FMCSA Portal training materials and must read and agree to the FMCSA Portal Rules of Behavior.

• As administrative users, Company Officials must also read and acknowledge the Portal Responsibilities document.

Rules of Behavior

By checking this box, I certify that I have reviewed the FMCSA Portal Responsibility document.

By checking this box, I certify that I have reviewed the FMCSA Portal Overview Training Materials.

By checking this box, I agree to the terms and conditions stated in the Rules of Behavior.
An Account Request Confirmation will appear at the end of the request process

Thank You

Your FMCSA Portal Account Request has been confirmed. You will receive an e-mail notifying you of your account request status once it has been reviewed.
What to Expect Next

► Information regarding the Portal will be available from the FMCSA home page, and the SAFER, A&I, and L&I Web sites.

► Information will also be distributed through trucking associations and articles in trucking publications.

► Visit the main FMCSA Web site for more information on COMPASS and the FMCSA Portal Access release.

- [http://www.fmcsa.dot.gov/compass](http://www.fmcsa.dot.gov/compass)
Contact Information

Brenda Lantz
COMPASS Systems Engineering Team
brenda.lantz@ndsu.edu
(720) 238-0070
compass@dot.gov