COMPASS

FMCSA Portal Access for Companies

March 2009

Discussion Points

- What is FMCSA's COMPASS Program?
- Expected Benefits for Industry
- FMCSA Portal Access for Companies
  - Overview of Functionality
  - Preview of the Portal
  - Detailed Functionality Review
  - Training and Account Registration
  - What to Expect Next

COMPASS: Program Description

COMPASS is a comprehensive overhaul of the way FMCSA and its partners collect, manage, and convey safety information.

- Will leverage new technology to transform the way FMCSA does business by integrating the Agency's information technologies with its business processes and practices
- A multi-year program with multiple releases of functionality to different user groups
- A collaborative effort with stakeholders

Expected Benefits for Industry

- **One location** to retrieve verifiable information that is protected from unauthorized access and alteration
- **Enhanced access** to carrier safety and operational data through the FMCSA Portal for authorized users
- **Automated** alerts sent to the right person for action
- Increased **self-sufficiency** for users (e.g., online help and account management)

FMCSA Portal Access for Companies

Portal Access Functionality/Benefits for Companies

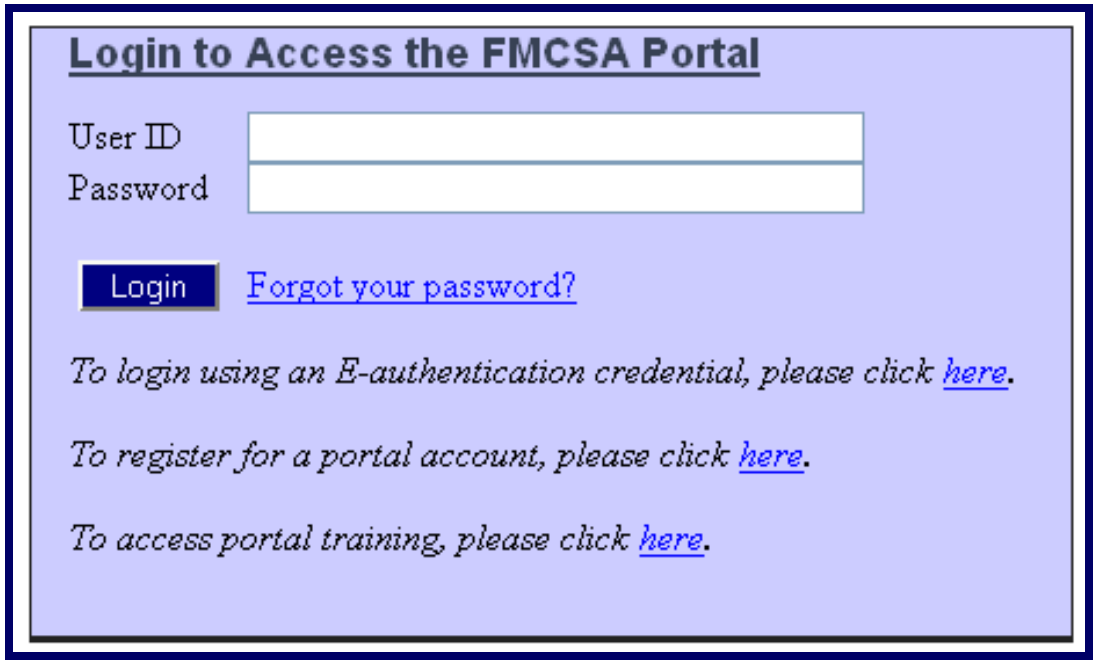
- Authorized users have access to real-time company information including crashes, inspections, reviews, and cases closed with enforcement
  - Companies control who can/cannot access their information
  - Companies can now print or download Company Safety Profiles on an as-needed basis at no charge
  - Automated password reset and account unlock
- Authorized users have access to A&I, DataQs, and/or NCCDB through the Portal without additional login
  - All users who are currently registered users of A&I, DataQs, and/or NCCDB will need to re-register for access to these systems via the Portal
  - Company officials are automatically granted access
- Context-sensitive online help is available, including access to training materials, and user feedback tools

Preview—Portal Access for Companies

- This screenshot shows the look-and-feel of the FMCSA Portal for companies

FMCSA Portal Login

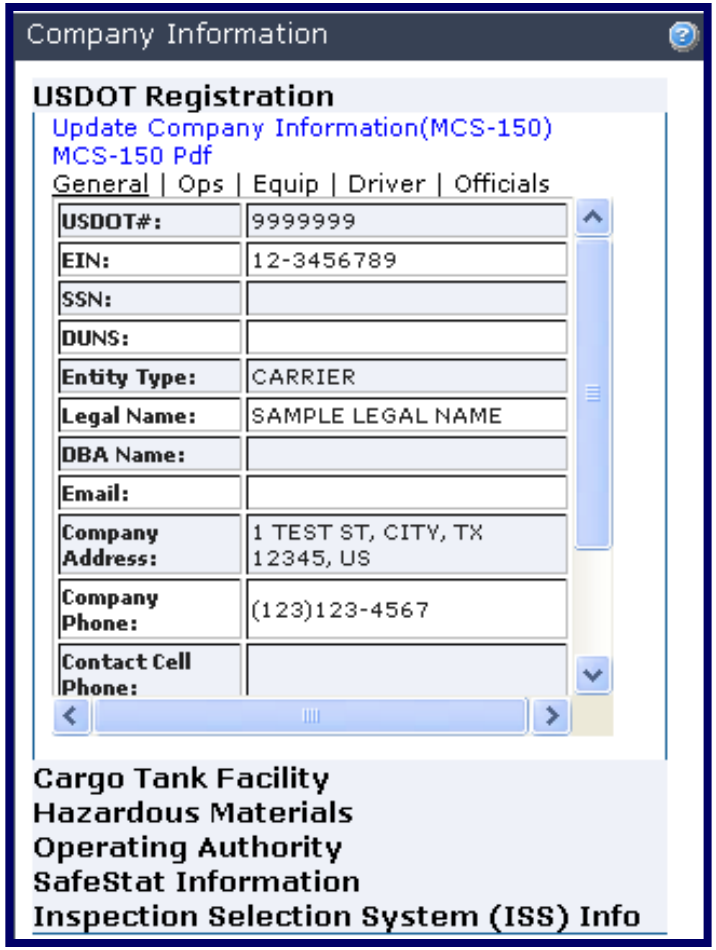
- The FMCSA Portal Login page https://portal.fmcsa.dot.gov/ provides:
  - Authentication to the Portal
  - Help with forgotten passwords
  - Online Portal Account Request Form
  - Online Portal training materials



FMCSA Portal Features

- Information in the Portal is displayed in a series of navigable windows called portlets

- Each portlet features one or more Help icons that access useful information pertaining to the information the user is viewing
- Many of the portlets utilize an accordion feature to display details
  - Each row of the accordion is clearly labeled and, when selected, expands to display detailed information
  - Underlined text within a display indicates that more information may be access by clicking on the text



Company Home Page

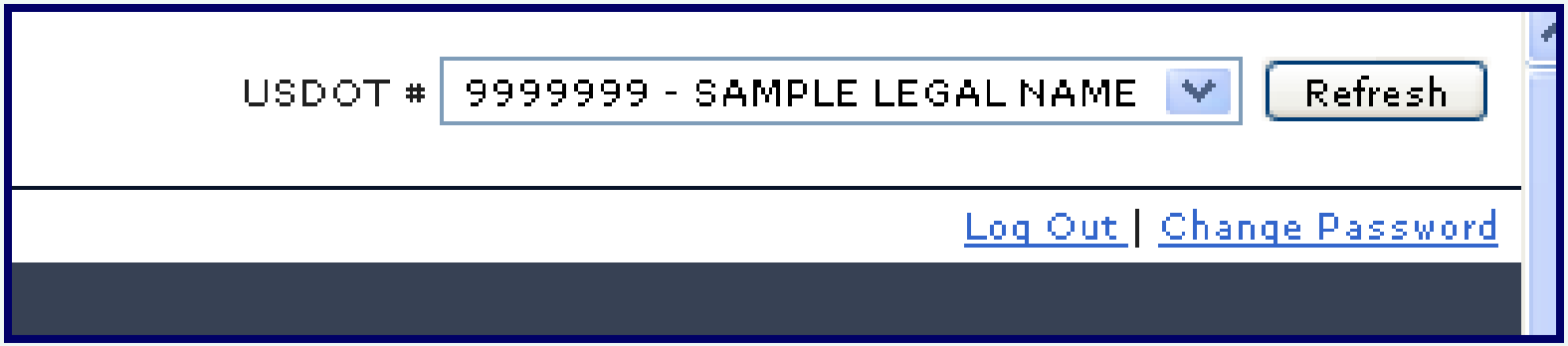
- **The Company home page provides registered companies with access to their company information as recorded by FMCSA**

Home Page Menus

- **The Company user's home page features several menu items that allow Company users to navigate to useful data**
  - **Home**
  - **Accounts**
  - **Reports**
  - **Feedback**
  - **Help**

Home Page Links

- **The Company home page contains links to important Portal functionality**
  - **Log Out**
  - **Change Password**
  - **Refresh USDOT#**

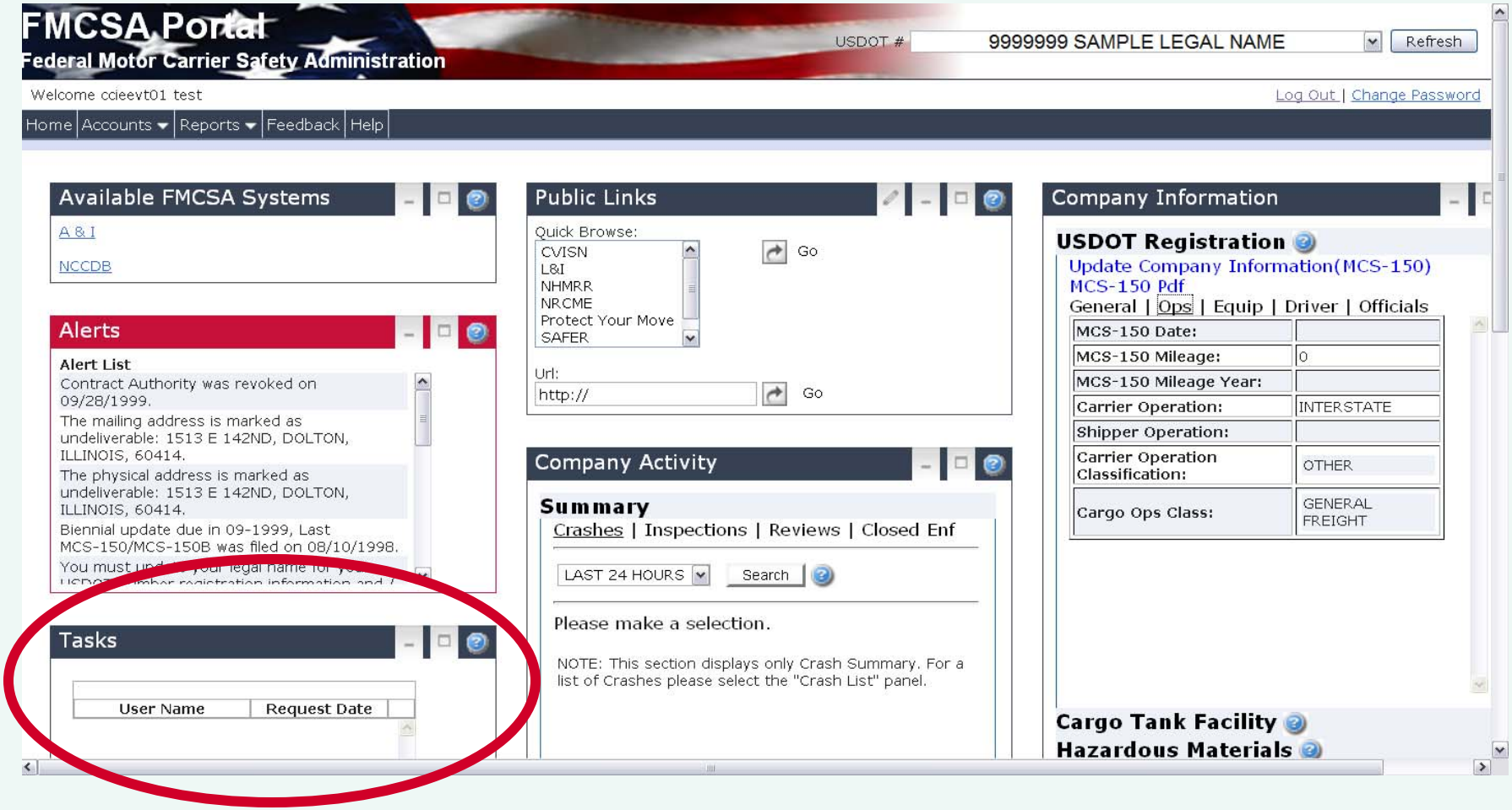


Home Page Portlets

- The Company home page provides access to functionality that allows a company to view its information and activity with FMCSA
- Portlets include Alerts, Correspondence, Company Activity, Company Information, Tasks, Available FMCSA Systems and Public Links
- Each portlet located on the Company home page can be minimized or maximized

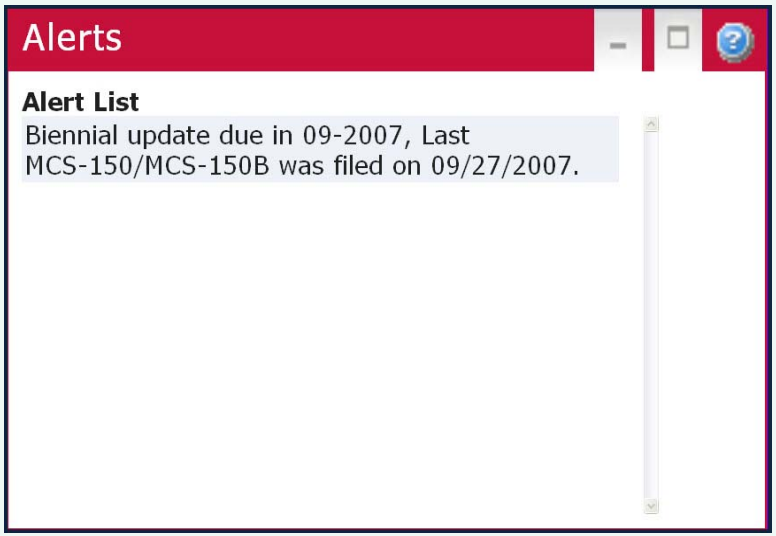
Tasks

- **Company Officials have an additional *Tasks* portlet on their home page which displays a list of users who are awaiting approval for a request to view this information about their USDOT#**



Company Alerts

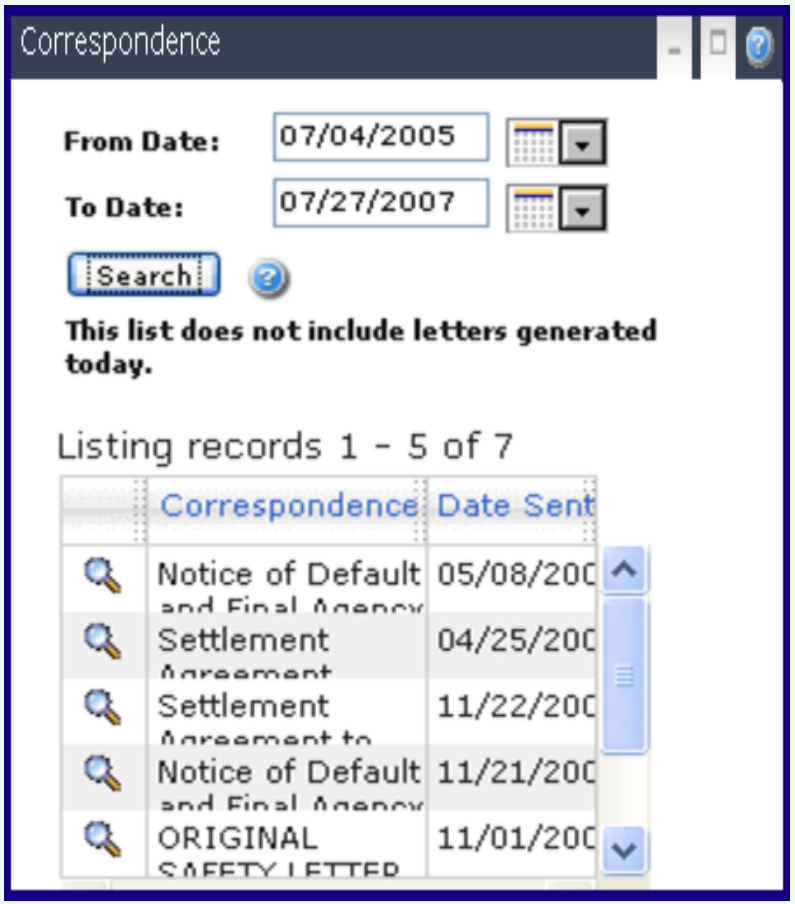
- **Company Alerts are notifications regarding any current or pending change in the company's status**



  - **Out of Service (OOS) Order**
  - **Order to Cease Operation (OCO)**
  - **Operating Authority Revocation**
  - **Registration Revocation**
  - **Hazardous Material Safety Permit (HMSP) Suspension or Revocation**
  - **Mexican Provisional Authority Suspension or Revocation**
  - **Operating Authority will be Revoked if not in Compliance**
  - **Registration will be Revoked if Corrective Action is not Taken**
  - **Hazardous Material Safety Permit (HMSP) will be Suspended or Revoked if Corrective Action is not Taken**
  - **Mexican Provisional Authority will be Revoked if Corrective Action is not Taken**
  - **Biennial Update is Due or Overdue**
  - **Current Address is Undeliverable**

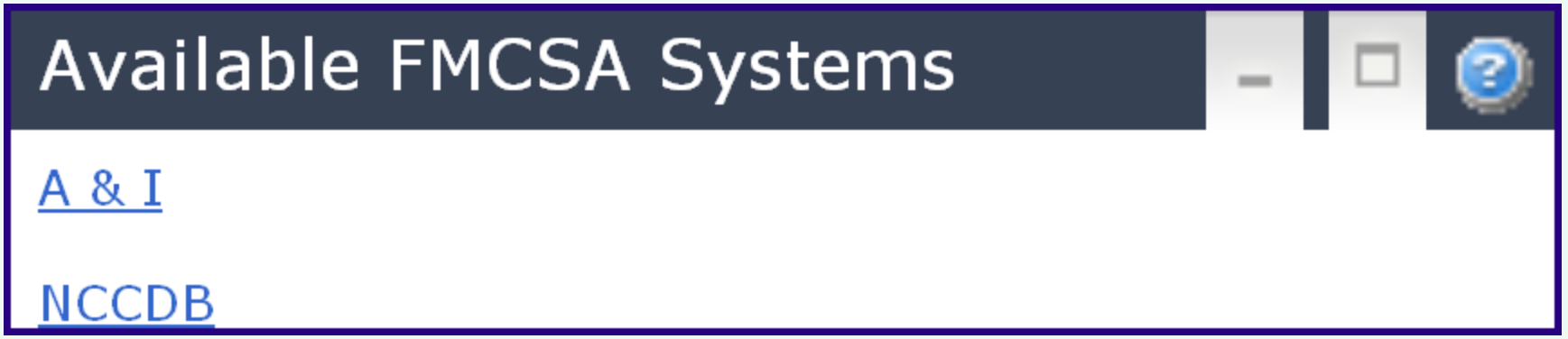
Company Correspondence

- **Company Correspondence are letters generated by FMCSA and sent to the company**
  - Notification Letters
  - Rating Letters
  - Safety Audit Result Letters (NE or MX)
  - No Show Safety Audit Letters (NE or MX)
  - New Entrant No Contact Letters
  - HM Permit Letters
  - Licensing and Insurance Letters
  - Cargo Tank Company Letters
  - Amended NOC Served
  - Settlement Agreement to Subject
  - UNSAT OOS Order Served
  - Relief Request Response Served
  - UNSAT Extension in Effect Until
  - OSC Served
  - OCO Order Served / Rescinded
  - Settlement Agreement Breach Letter
  - Rescind Notice of Final Agency Order
  - Notice of Default and Final Agency Order Served



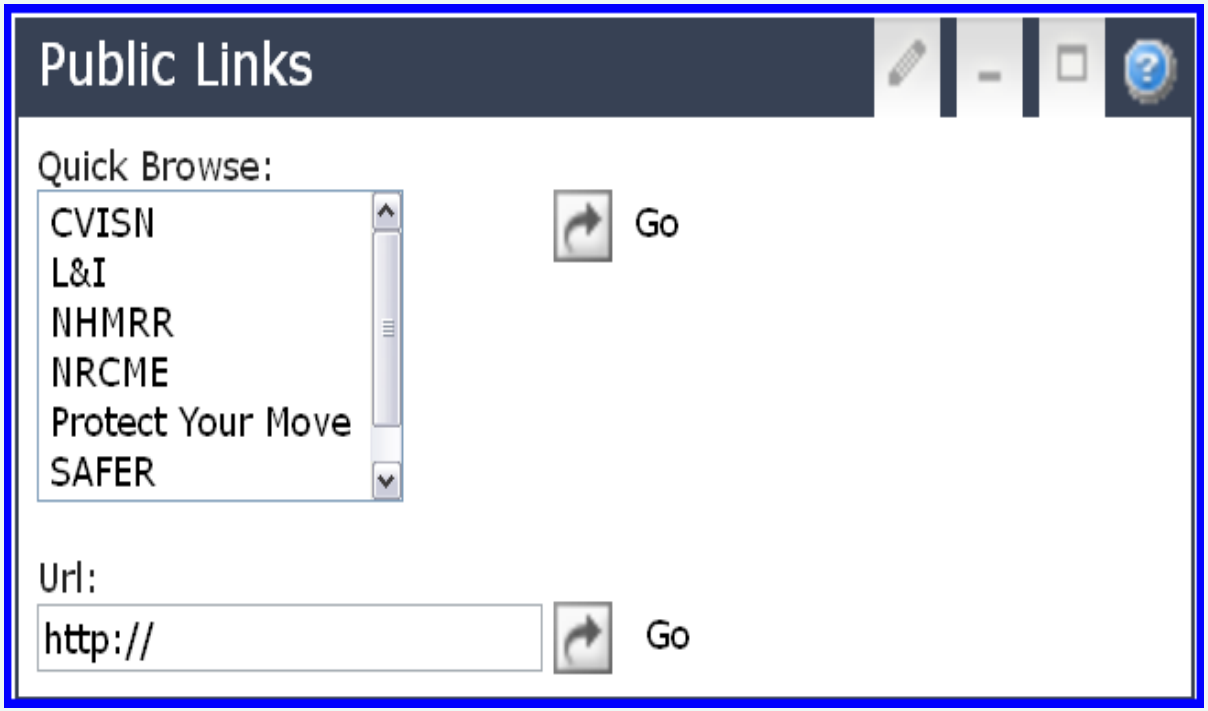
Available FMCSA Systems

- **The Available FMCSA Systems portlet provides Company users with single sign-on access to authorized users of FMCSA Systems:**
  - **A&I**
  - **NCCDB**
  - **DataQs**



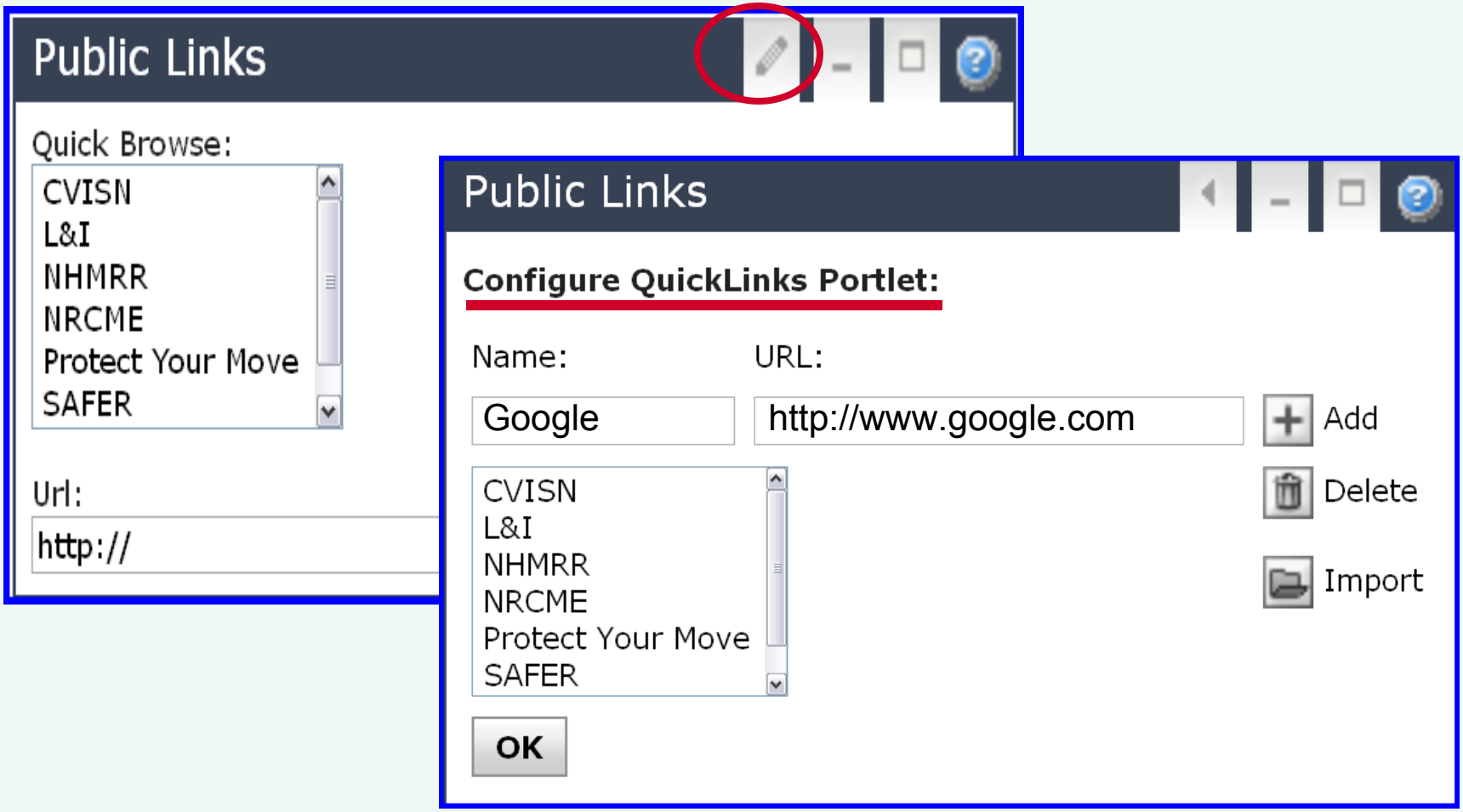
Public Links

- **The Public Links portlet provides Company users access to the public functionality of commonly used FMCSA systems**
- **By default Company Users will have access to the public functionality of the following FMCSA Systems:**
  - **L&I**
  - **CVISN**
  - **Protect Your Move**
  - **Share the Road Safely**
  - **NHMRR**
  - **SAFER**



Public Links (continued)

- **Company users will be able to add, modify, and delete URLs they wish to access from their home page with the Public Links portlet**

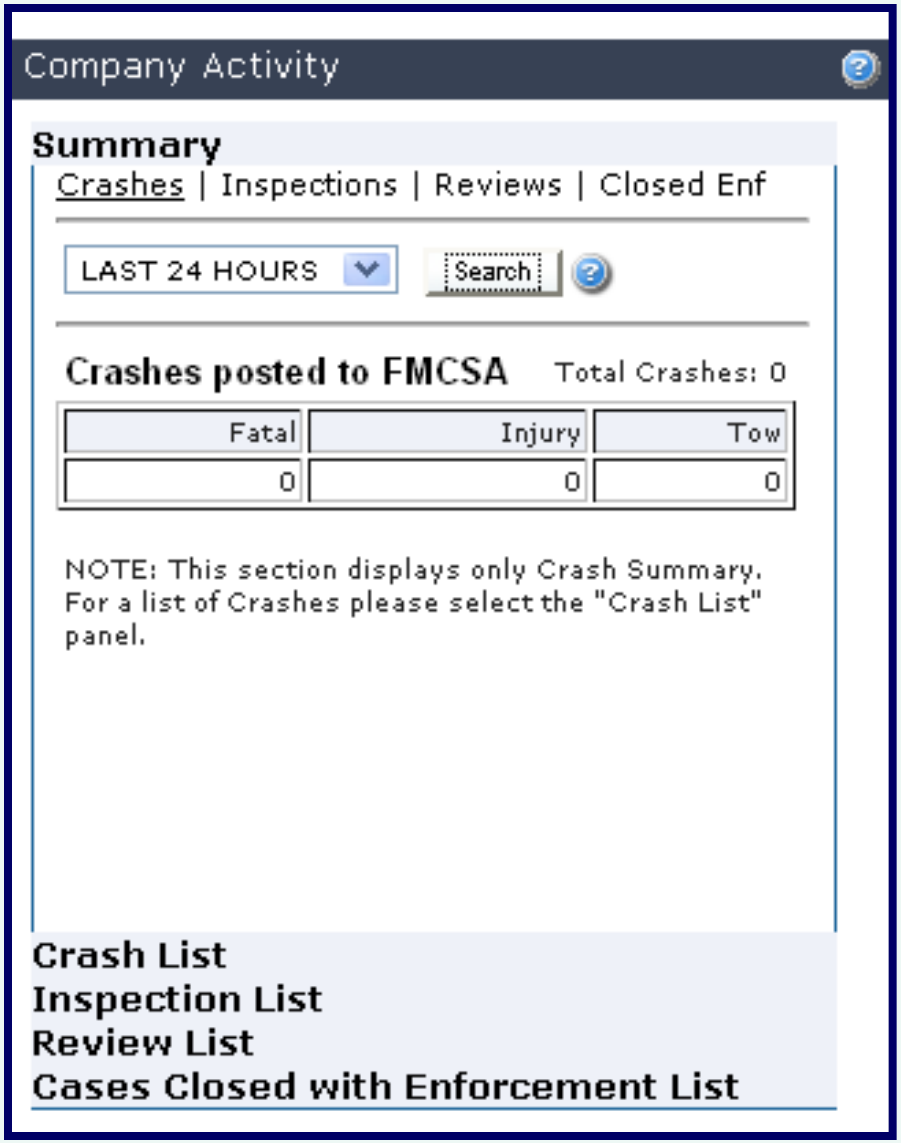


Company Activity Portlet

Company Activity

- **In the Company Activity portlet information is displayed using an accordion view with additional drill-down options where applicable**
- **Available information includes:**
  - **Summary information on Crashes, Inspections, Reviews and Enforcement Activities**
  - **Crashes Details**
  - **Inspections Details**
  - **Reviews Details**
  - **Cases Closed with Enforcement**

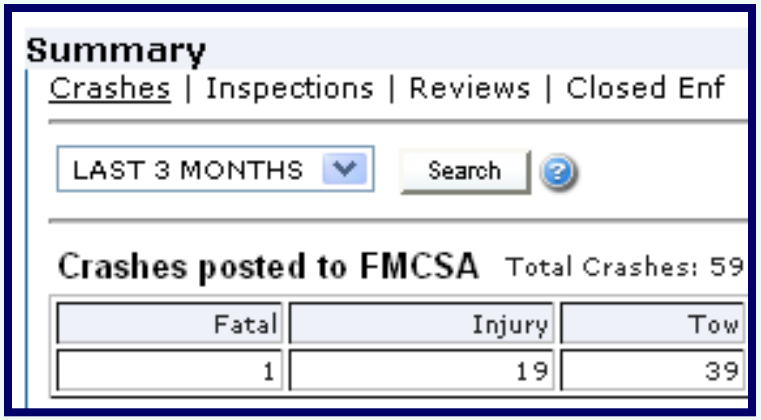
Company Activities - Summary

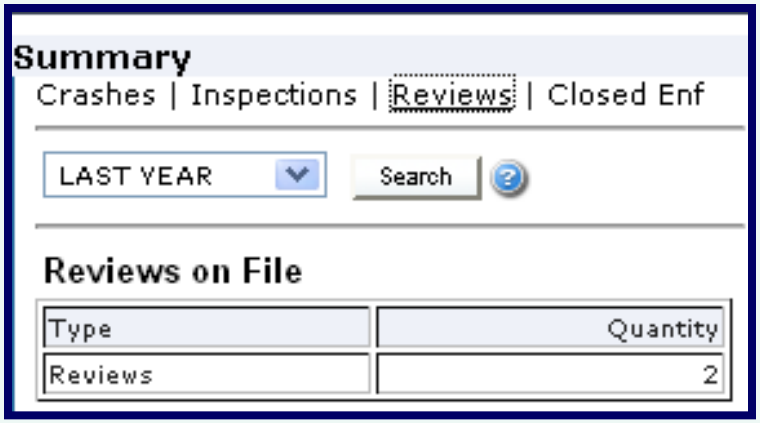


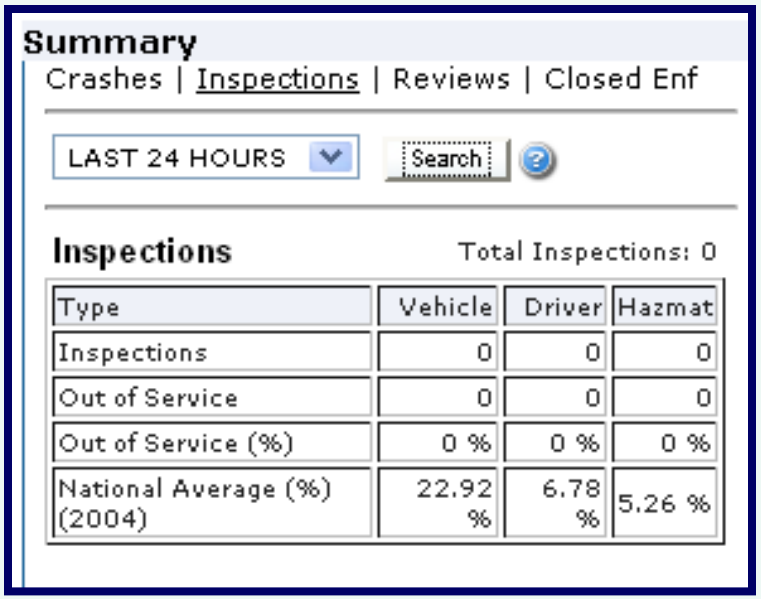
- **In the default Summary view in the Company Activity portlet users can search for summary level activity information on Crashes, Inspections, Reviews and Cases Closed with Enforcement**

Company Activities - Summary

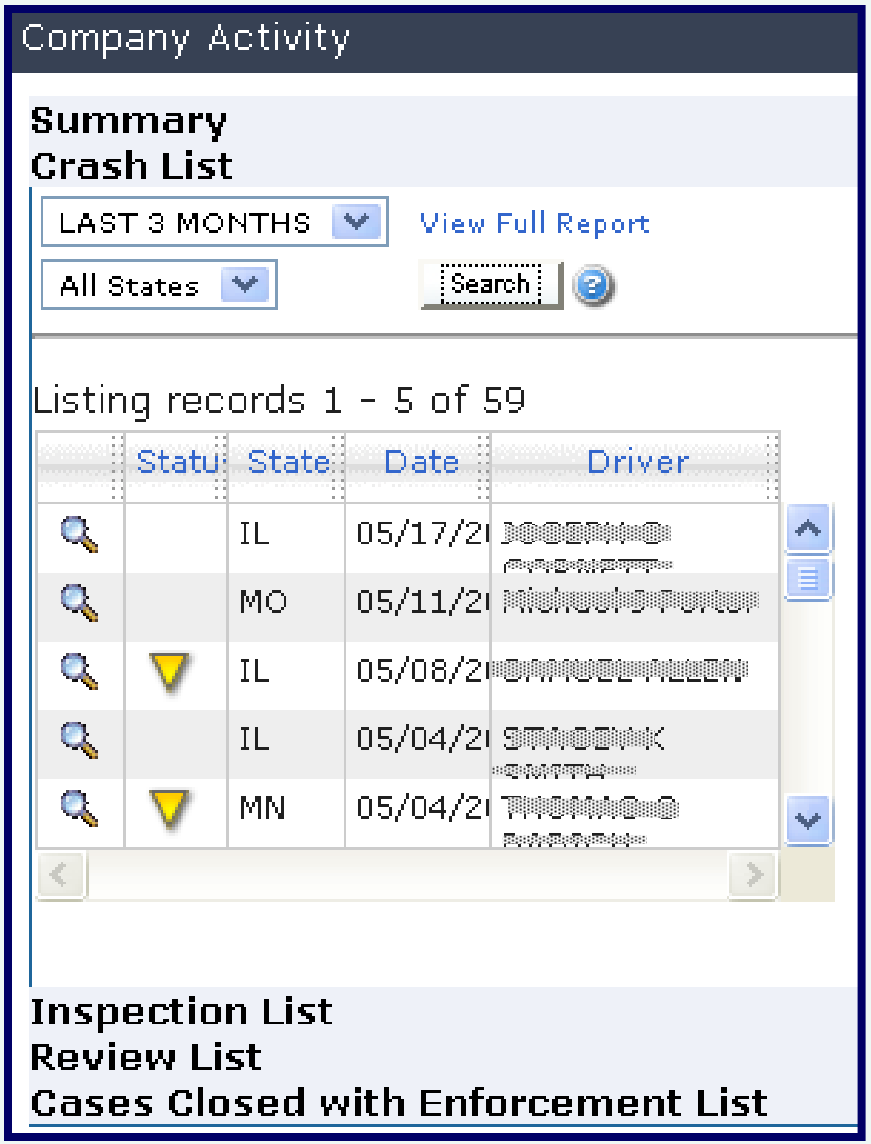
- **All searches for data under the Summary view result in high level data limited to predefined date ranges**







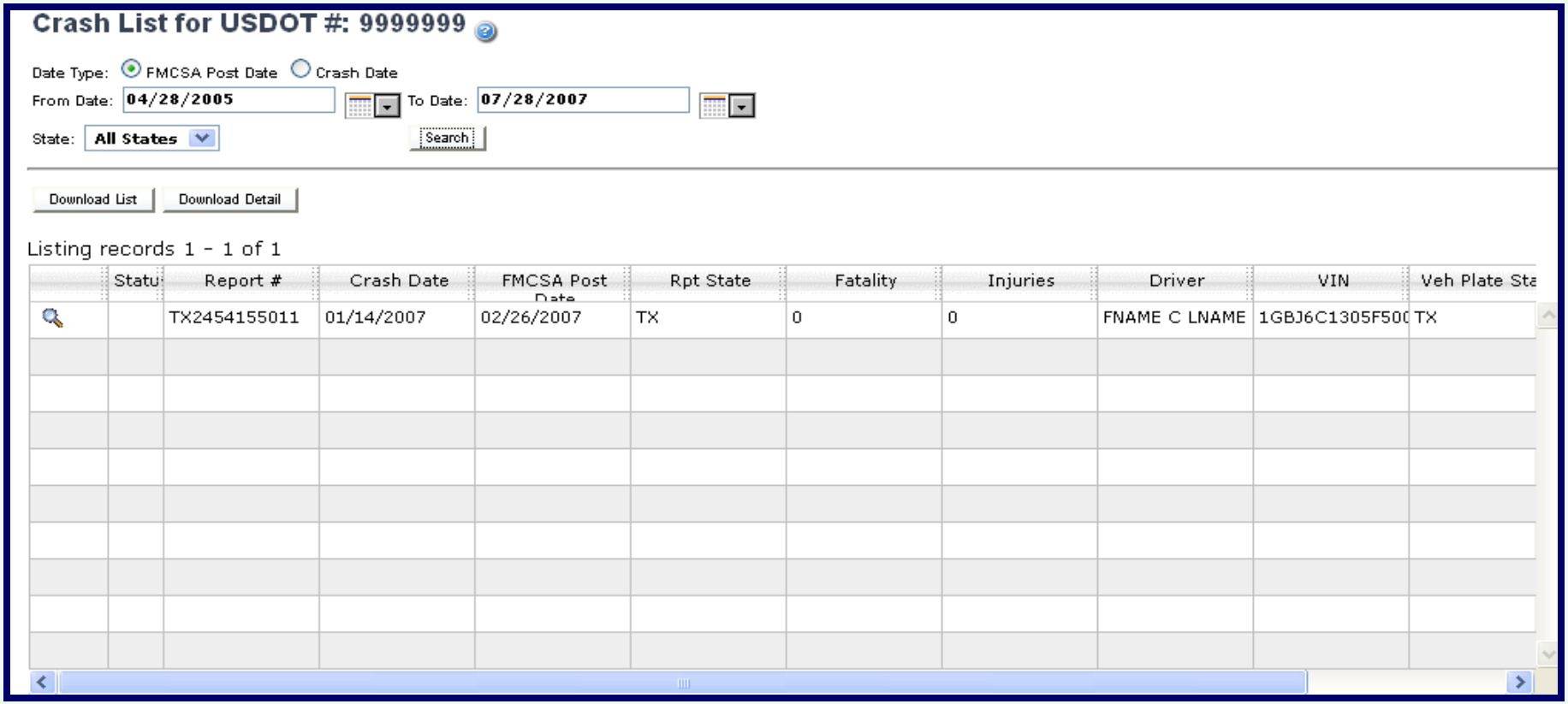
Company Activities - Crashes



- Use the Crashes List view to search for and display a list of crashes within a specified time frame
- Each entry includes detailed data that can be accessed by clicking the magnifying glass next to its entry

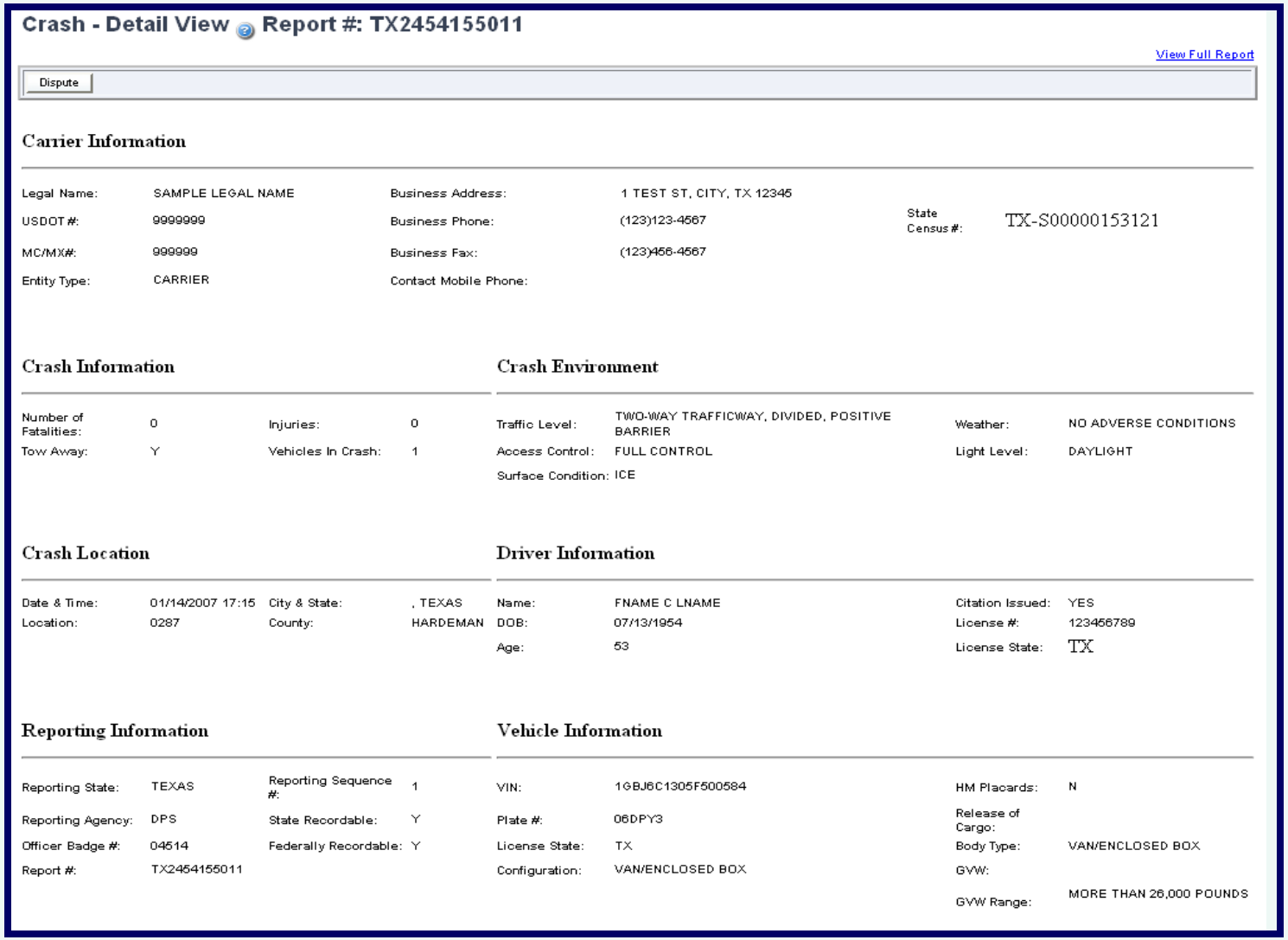
Crashes –Full Report View

- The Full Report view allows users to conduct searches using more specific data parameters, including State and date type
- Search results can be downloaded in an XML file format



Crashes – Detailed View

- The Crash Detail View contains complete data for a selected crash
- The detailed view also features a Dispute button to access DataQs



Crash - Detail View Report #: TX2454155011

View Full Report

Dispute

Carrier Information

Legal Name:	SAMPLE LEGAL NAME	Business Address:	1 TEST ST, CITY, TX 12345		
USDOT #:	9999999	Business Phone:	(123)123-4567	State Census #:	TX-S00000153121
MC/MX#:	999999	Business Fax:	(123)456-4567		
Entity Type:	CARRIER	Contact Mobile Phone:			

Crash Information

Number of Fatalities:	0	Injuries:	0
Tow Away:	Y	Vehicles In Crash:	1

Crash Environment

Traffic Level:	TWO-WAY TRAFFICWAY, DIVIDED, POSITIVE BARRIER	Weather:	NO ADVERSE CONDITIONS
Access Control:	FULL CONTROL	Light Level:	DAYLIGHT
Surface Condition:	ICE		

Crash Location

Date & Time:	01/14/2007 17:15	City & State:	, TEXAS
Location:	0287	County:	HARDEMAN

Driver Information

Name:	FNAME C LNAME	Citation Issued:	YES
DOB:	07/13/1954	License #:	123456789
Age:	53	License State:	TX

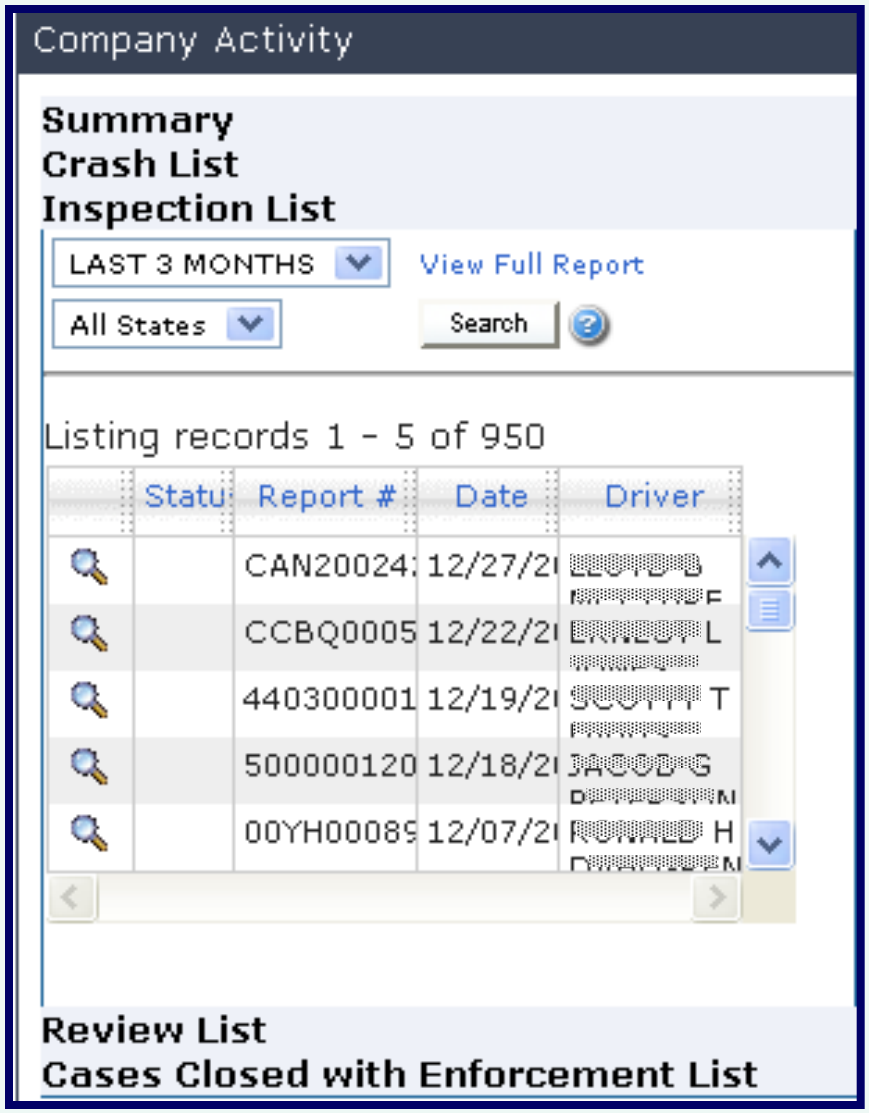
Reporting Information

Reporting State:	TEXAS	Reporting Sequence #:	1
Reporting Agency:	DPS	State Recordable:	Y
Officer Badge #:	04514	Federally Recordable:	Y
Report #:	TX2454155011		

Vehicle Information

VIN:	1GBJ6C1305F500584	HM Placards:	N
Plate #:	06DPY3	Release of Cargo:	
License State:	TX	Body Type:	VAN/ENCLOSED BOX
Configuration:	VAN/ENCLOSED BOX	GVW:	
		GVW Range:	MORE THAN 26,000 POUNDS

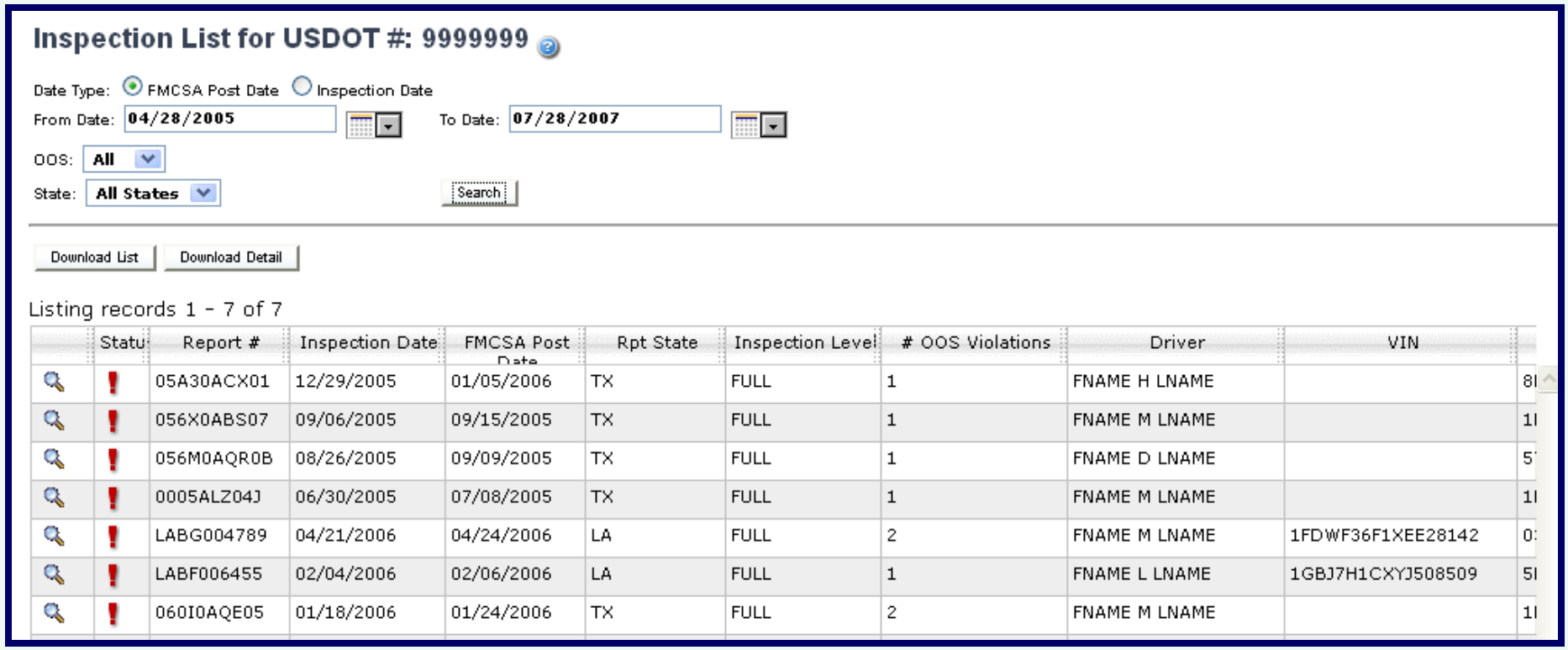
Company Activities - Inspections



- Use the Inspection List view to search for and display a list of inspections within a specified time frame
- Each entry includes detailed data that can be accessed by clicking the magnifying glass next to its entry

Inspections - Full Report View

- The Full Report view allows users to conduct searches using more specific data parameters, including State and date type
- Search results can be downloaded in an XML format



Inspection List for USDOT #: 9999999

Date Type: (•) FMCSA Post Date ( ) Inspection Date
From Date: 04/28/2005 To Date: 07/28/2007
OOS: All
State: All States Search

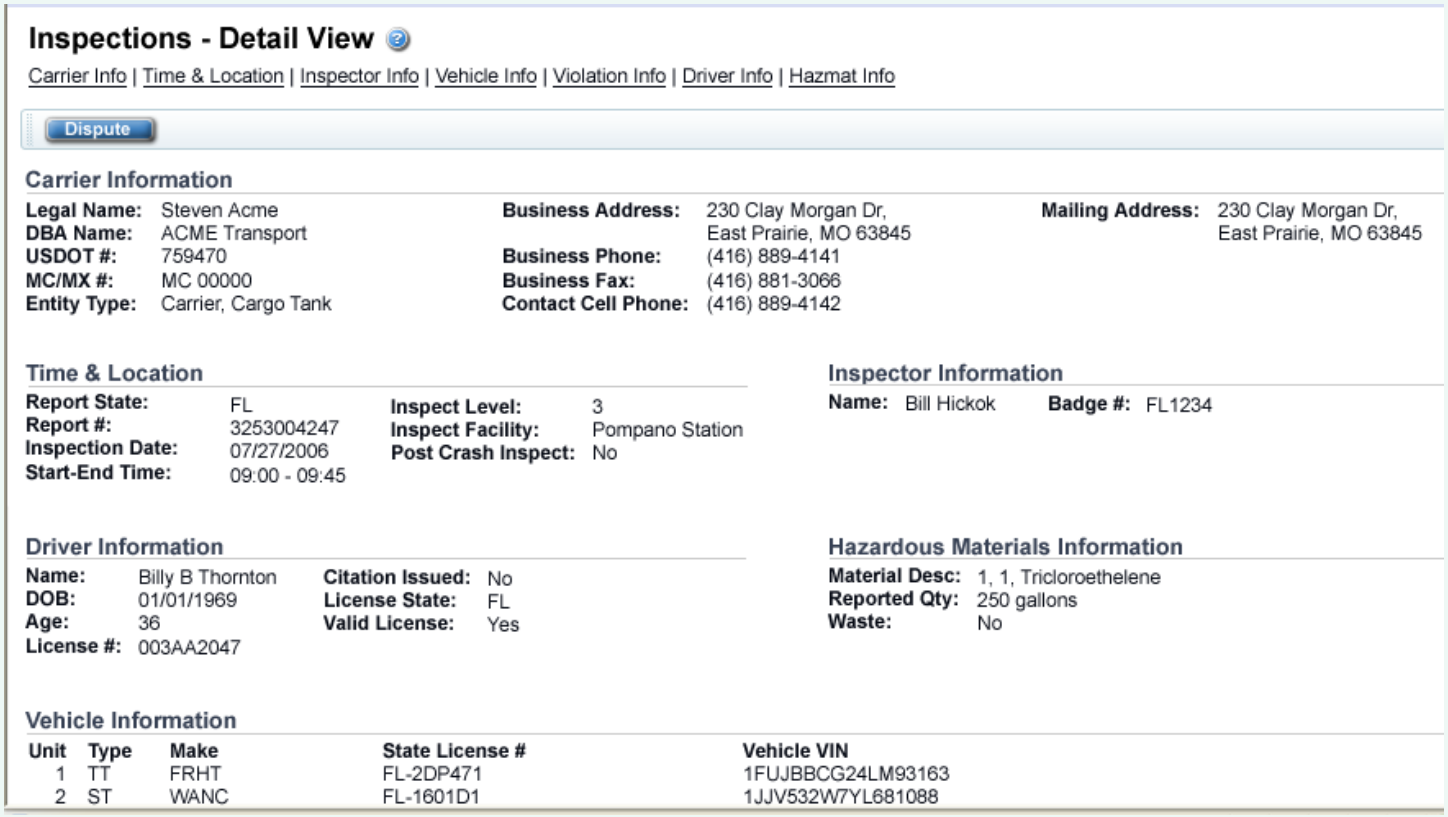
Download List | Download Detail

Listing records 1 - 7 of 7

	Statu	Report #	Inspection Date	FMCSA Post Date	Rpt State	Inspection Level	# OOS Violations	Driver	VIN	
	!	05A30ACX01	12/29/2005	01/05/2006	TX	FULL	1	FNAME H LNAME		8I
	!	056X0ABS07	09/06/2005	09/15/2005	TX	FULL	1	FNAME M LNAME		1I
	!	056M0AQR0B	08/26/2005	09/09/2005	TX	FULL	1	FNAME D LNAME		5
	!	0005ALZ04J	06/30/2005	07/08/2005	TX	FULL	1	FNAME M LNAME		1I
	!	LABG004789	04/21/2006	04/24/2006	LA	FULL	2	FNAME M LNAME	1FDWF36F1XEE28142	0
	!	LABF006455	02/04/2006	02/06/2006	LA	FULL	1	FNAME L LNAME	1GBJ7H1CXYJ508509	5I
	!	060I0AQE05	01/18/2006	01/24/2006	TX	FULL	2	FNAME M LNAME		1I

Inspections – Detailed View

- The Inspections Detail View contains complete data for a selected inspection
- The detailed view also features a Dispute button to access DataQs



Inspections - Detail View

Carrier Info | Time & Location | Inspector Info | Vehicle Info | Violation Info | Driver Info | Hazmat Info

Dispute

Carrier Information

Legal Name:	Steven Acme	**Business Address:**	230 Clay Morgan Dr, East Prairie, MO 63845	**Mailing Address:**	230 Clay Morgan Dr, East Prairie, MO 63845
DBA Name:	ACME Transport				
USDOT #:	759470	**Business Phone:**	(416) 889-4141		
MC/MX #:	MC 00000	**Business Fax:**	(416) 881-3066		
Entity Type:	Carrier, Cargo Tank	**Contact Cell Phone:**	(416) 889-4142		

Time & Location

Report State:	FL	**Inspect Level:**	3
Report #:	3253004247	**Inspect Facility:**	Pompano Station
Inspection Date:	07/27/2006	**Post Crash Inspect:**	No
Start-End Time:	09:00 - 09:45		

Inspector Information

Name: Bill Hickok **Badge #:** FL1234

Driver Information

Name:	Billy B Thornton	**Citation Issued:**	No
DOB:	01/01/1969	**License State:**	FL
Age:	36	**Valid License:**	Yes
License #:	003AA2047		

Hazardous Materials Information

Material Desc:	1, 1, Tricloroethelene
Reported Qty:	250 gallons
Waste:	No

Vehicle Information

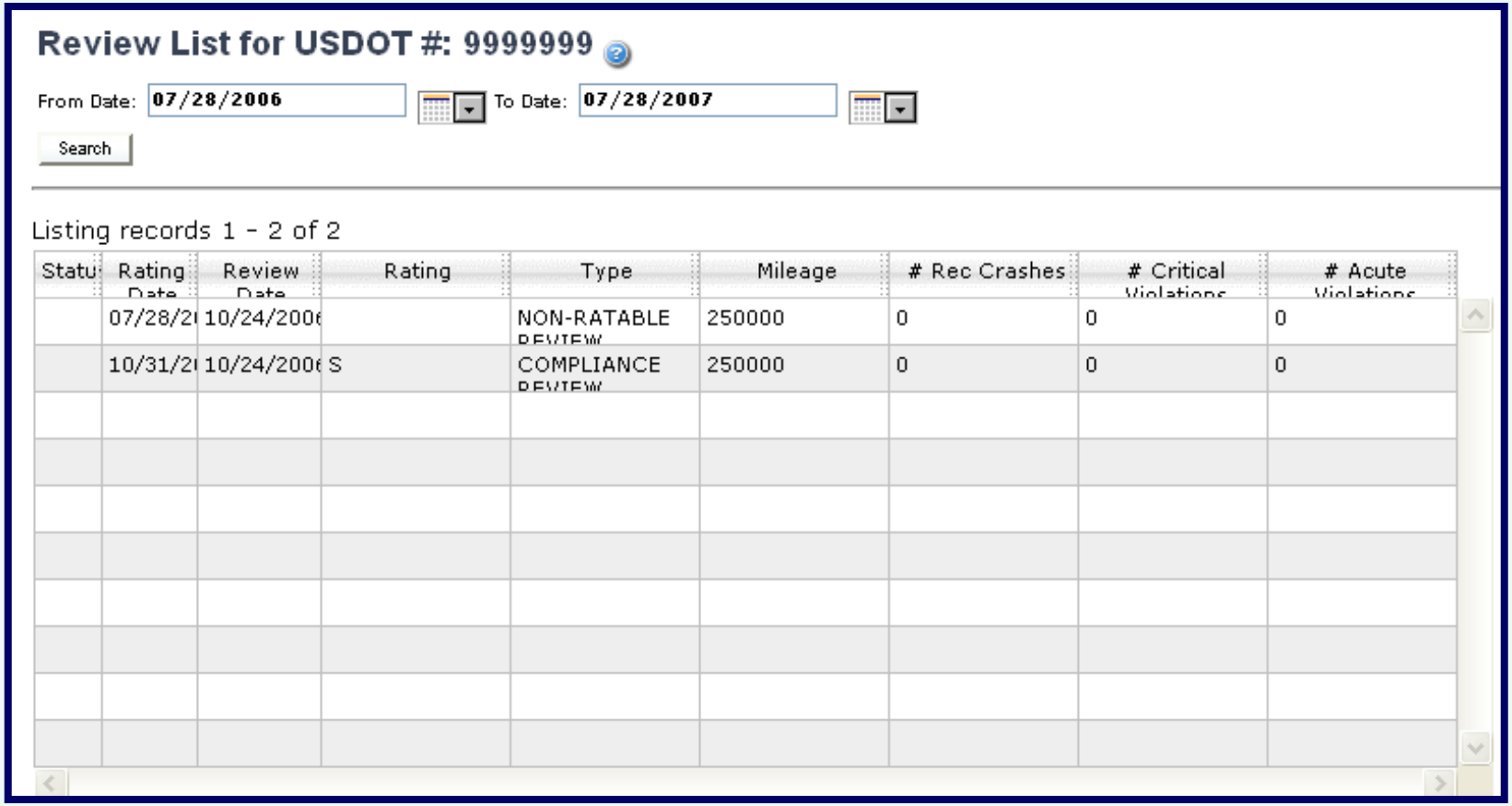
Unit	Type	Make	State License #	Vehicle VIN
1	TT	FRHT	FL-2DP471	1FUJBBCG24LM93163
2	ST	WANC	FL-1601D1	1JJV532W7YL681088

Company Activities – Reviews

- Use the Reviews List view to search for and display a list of reviews conducted within a specified time frame

Reviews – Full Report View

- **The Full Report view allows users to conduct searches using more specific date parameters**

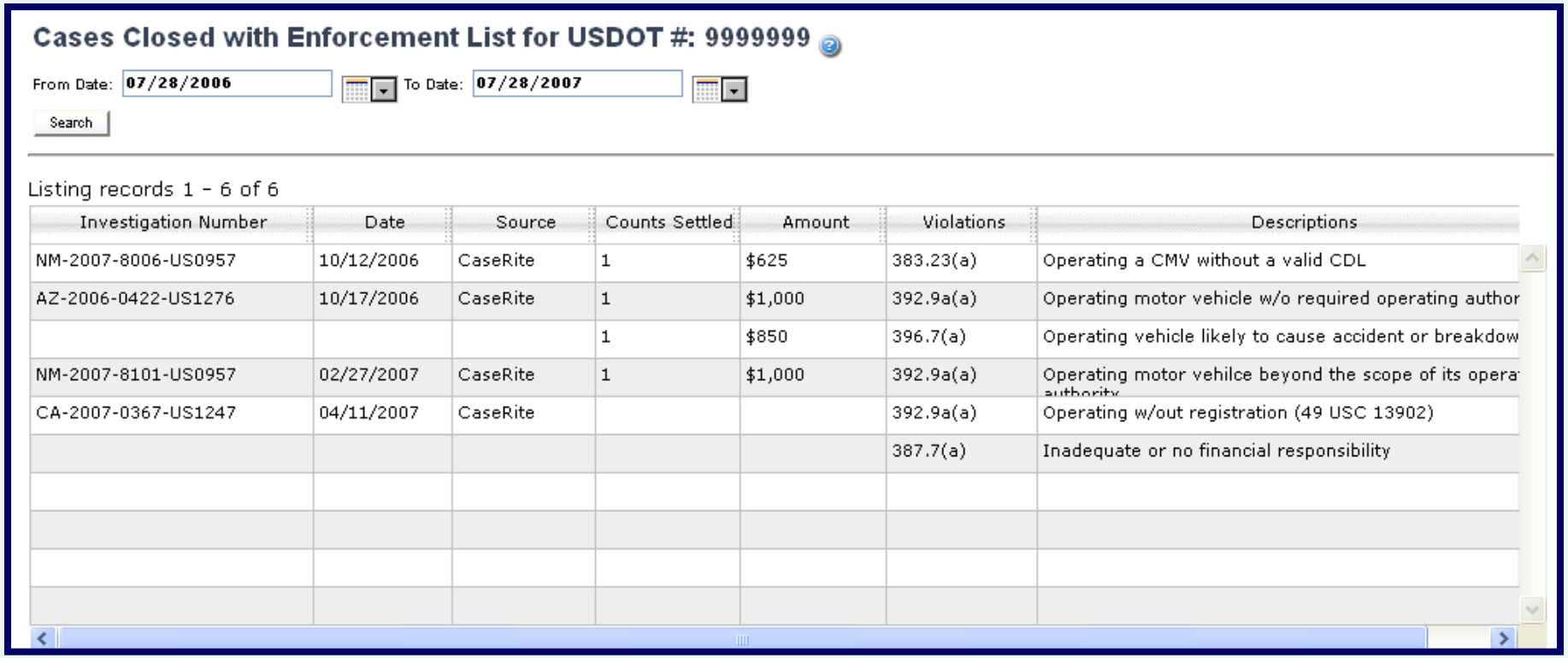


Company Activities – Enforcement

- **Use the Cases Closed With Enforcement List view to search for and display a list of enforcement cases adjudicated within a specified time frame**
- **Only cases closed with Enforcement are displayed in the Company Activity portlet**

Enforcement –Full Report View

- **The Full Report view allows users to conduct searches using more specific date parameters**



Cases Closed with Enforcement List for USDOT #: 9999999

From Date: 07/28/2006 To Date: 07/28/2007

Search

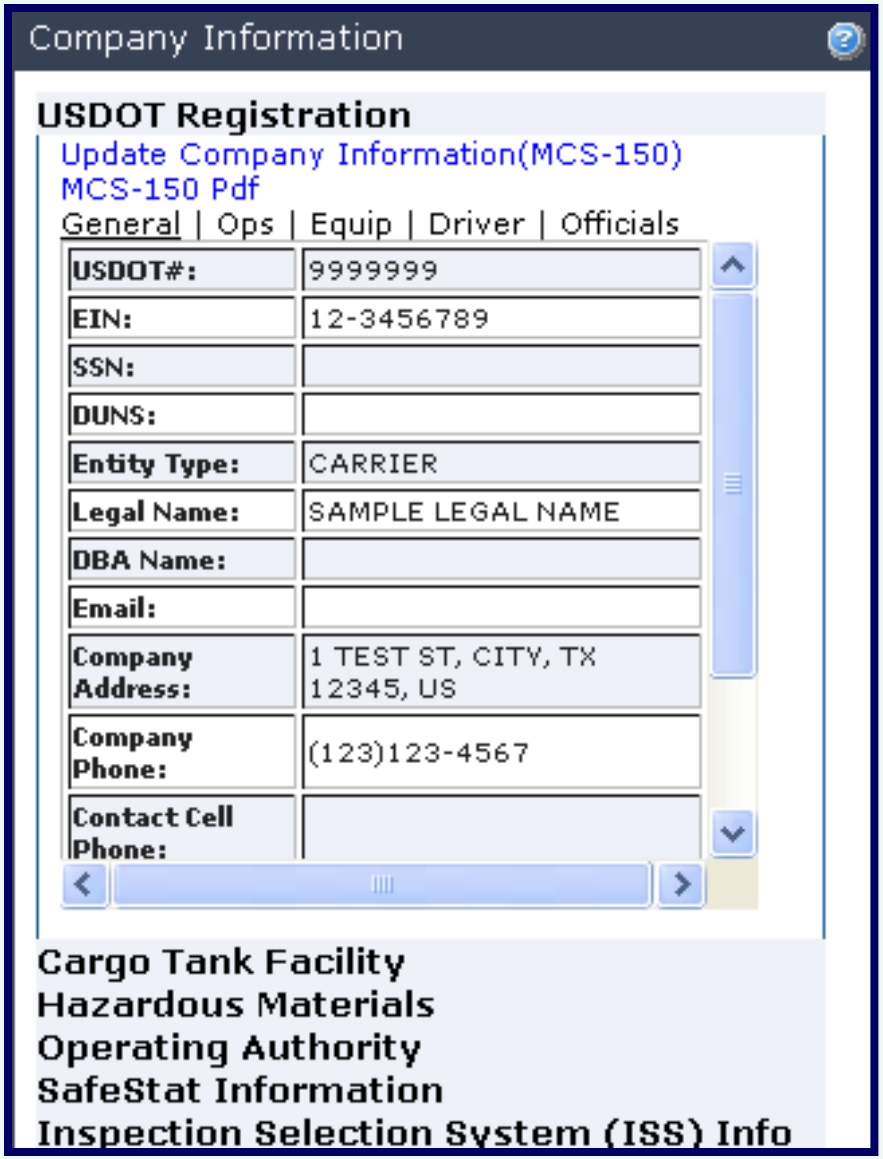
Listing records 1 - 6 of 6

Investigation Number	Date	Source	Counts Settled	Amount	Violations	Descriptions
NM-2007-8006-US0957	10/12/2006	CaseRite	1	$625	383.23(a)	Operating a CMV without a valid CDL
AZ-2006-0422-US1276	10/17/2006	CaseRite	1	$1,000	392.9a(a)	Operating motor vehicle w/o required operating author
			1	$850	396.7(a)	Operating vehicle likely to cause accident or breakdow
NM-2007-8101-US0957	02/27/2007	CaseRite	1	$1,000	392.9a(a)	Operating motor vehilce beyond the scope of its opera authority
CA-2007-0367-US1247	04/11/2007	CaseRite			392.9a(a)	Operating w/out registration (49 USC 13902)
					387.7(a)	Inadequate or no financial responsibility

Company Information

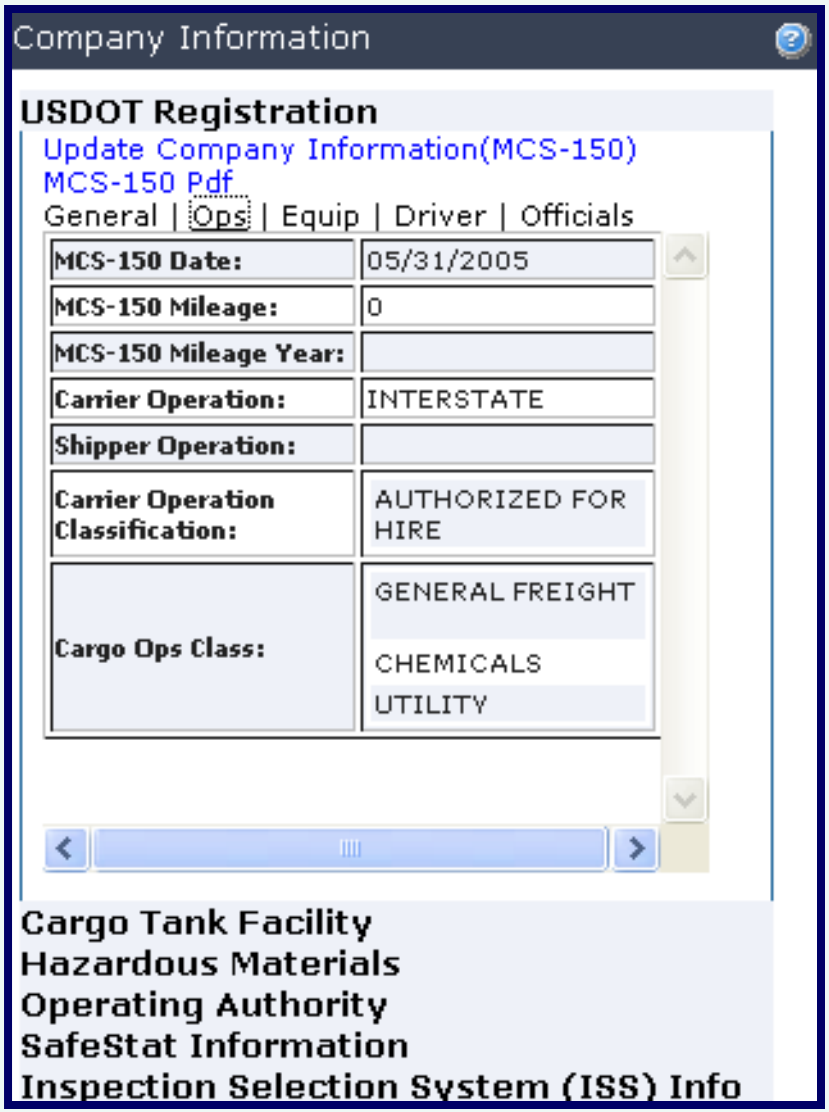
- In the Company Information portlet users can access information about their company's registration and operating authority with FMCSA
- Available information includes:
  - USDOT# Registration
  - Cargo Tank Facility
  - Hazardous Materials
  - Operating Authority
  - SafeStat Information
  - Inspection Selection System (ISS) Information

USDOT Information - General



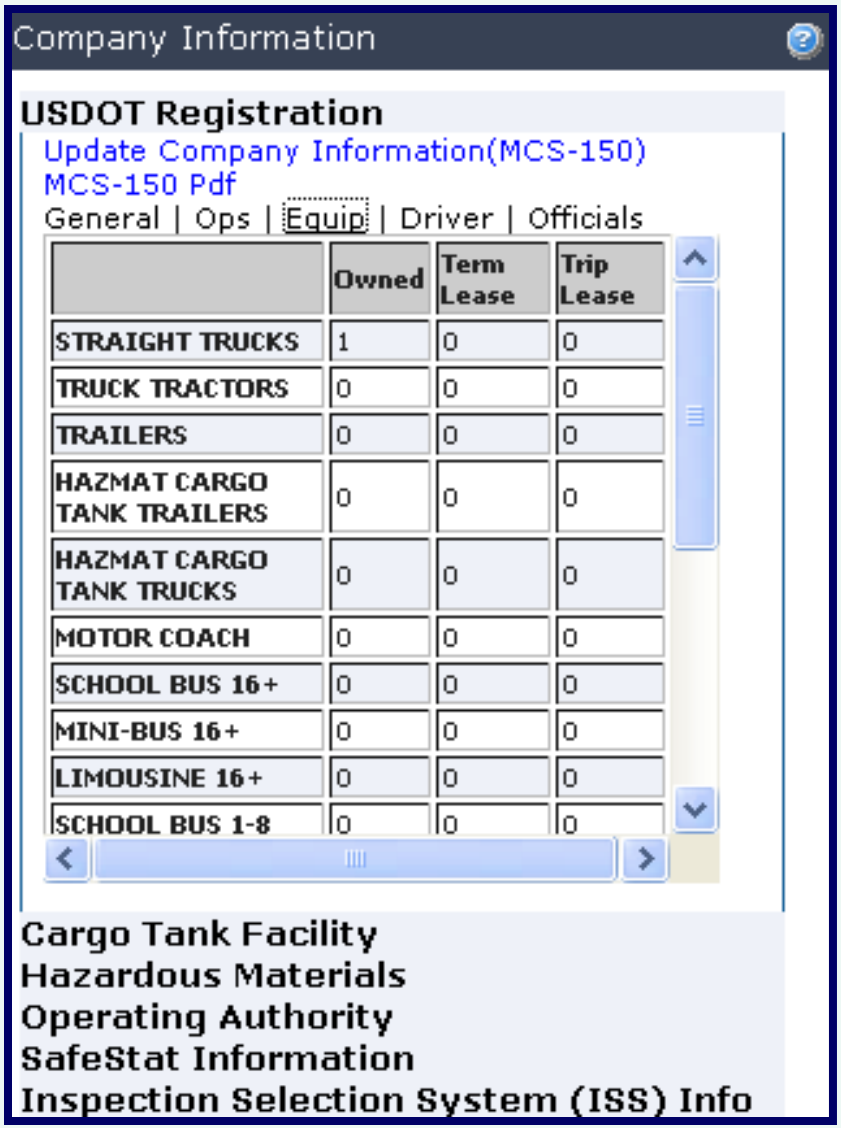
- **Company *USDOT Registration* information contains read-only MCS-150 information including:**
  - **General information**
  - **Operations information**
  - **Equipment information**
  - **Driver information**
  - **Officials**
- **The first tab under USDOT# Registration displays General information about the company**

USDOT Information - Operations



- **The Operations tab on the USDOT Registration view displays the company's operation classification information.**

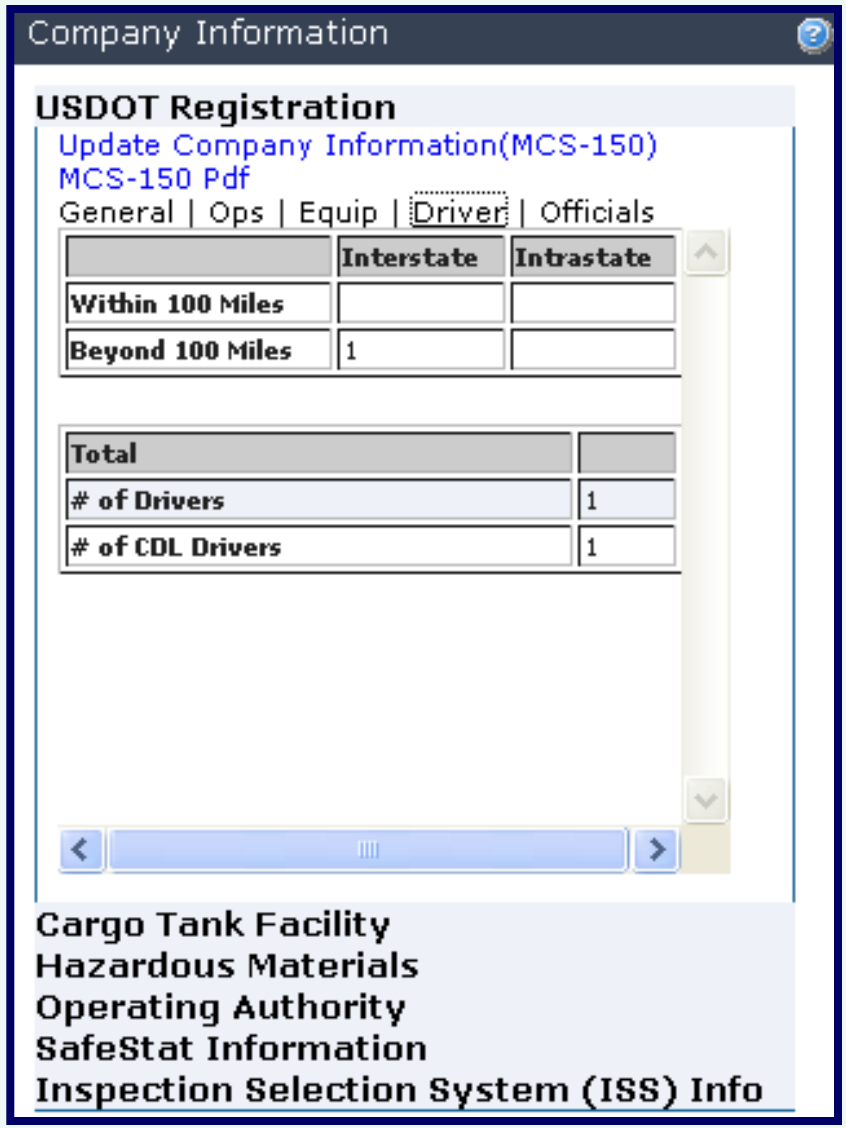
USDOT Information - Equipment



	Owned	Term Lease	Trip Lease
STRAIGHT TRUCKS	1	0	0
TRUCK TRACTORS	0	0	0
TRAILERS	0	0	0
HAZMAT CARGO TANK TRAILERS	0	0	0
HAZMAT CARGO TANK TRUCKS	0	0	0
MOTOR COACH	0	0	0
SCHOOL BUS 16+	0	0	0
MINI-BUS 16+	0	0	0
LIMOUSINE 16+	0	0	0
SCHOOL BUS 1-8	0	0	0

- **The Equipment tab on the USDOT Registration view displays a count of each type of vehicle the company operates**

USDOT Information - Driver

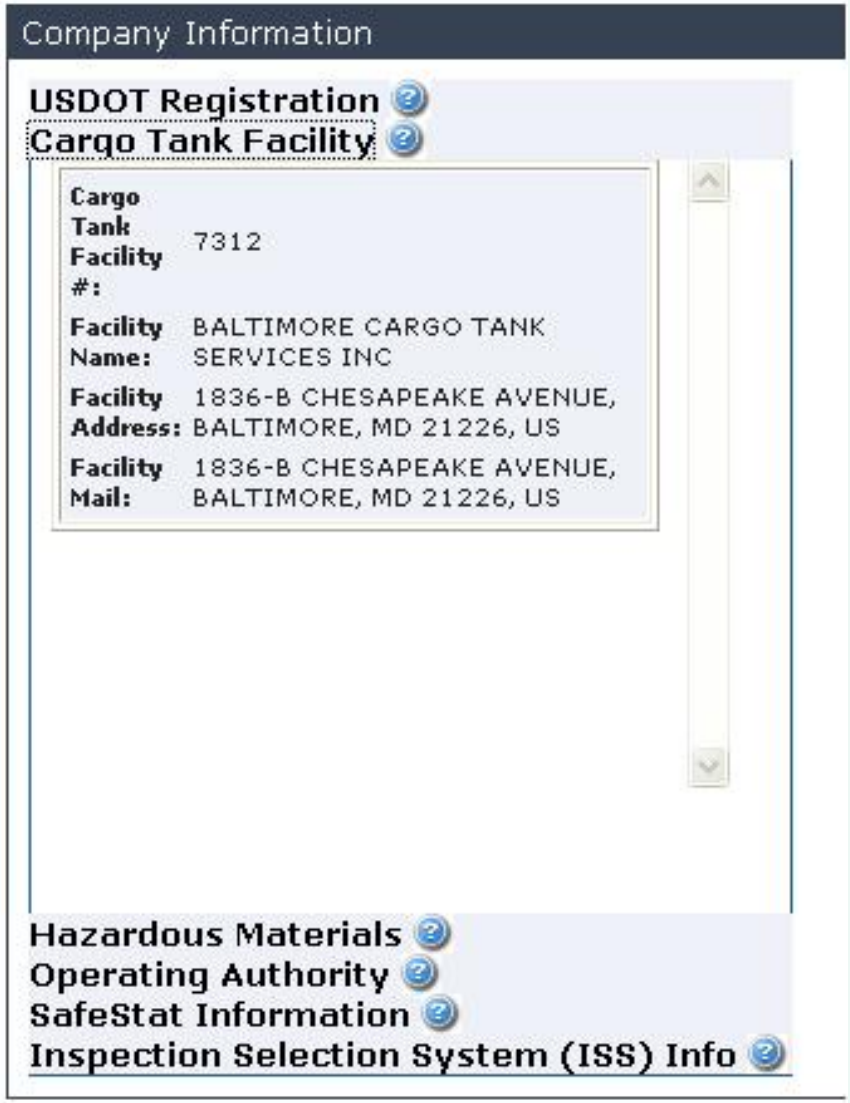


- **The Driver tab on the USDOT Registration view displays the number interstate and intrastate the company uses on average**

USDOT Information - Officials

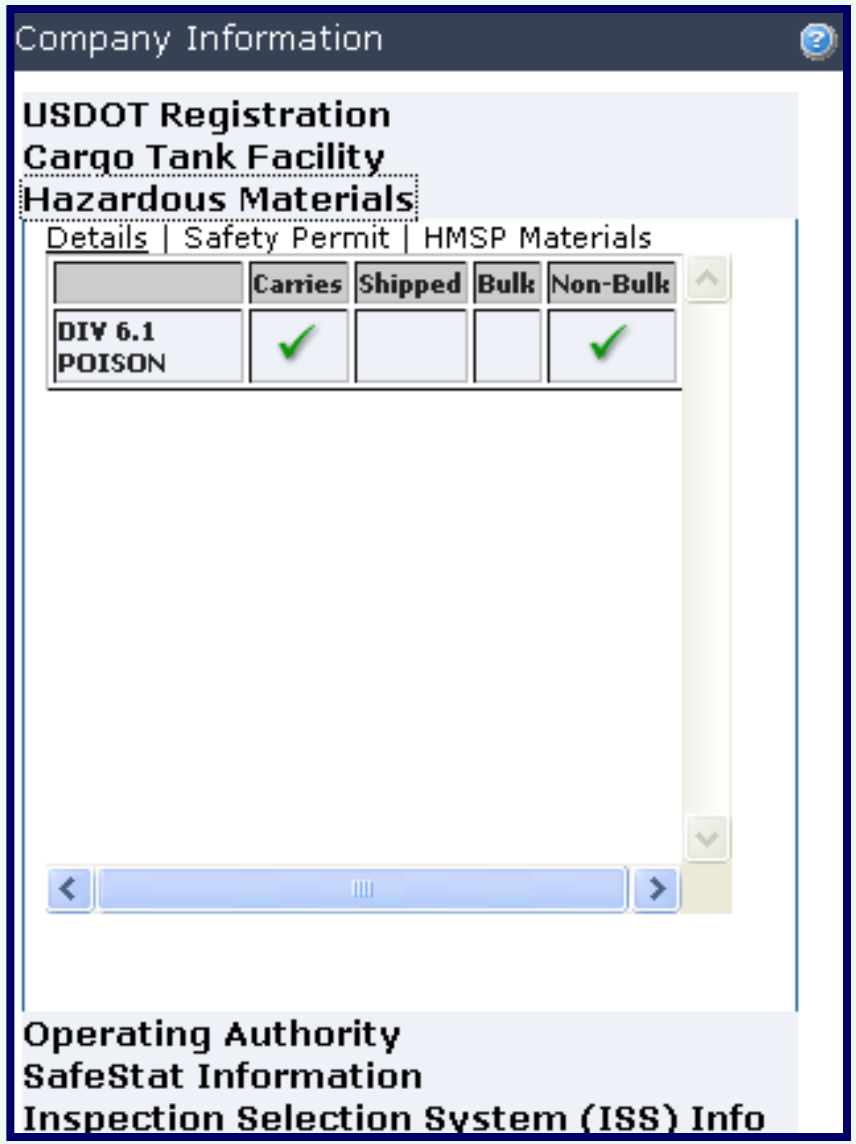
- **The Officials tab on the USDOT Registration view lists the registered company officials**

Cargo Tank Facility



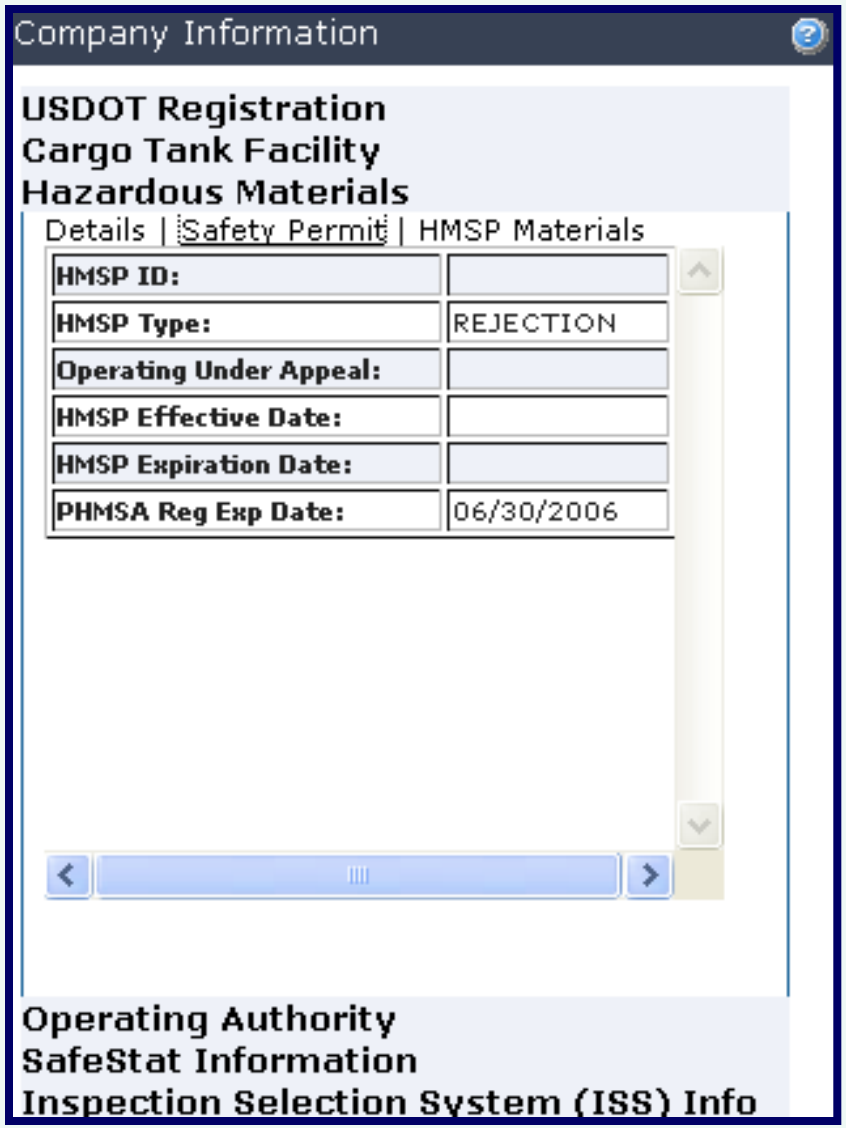
- **The *Cargo Tank Facility* view provides information on all Cargo Tank facilities owned by the company**

Hazardous Materials - Details



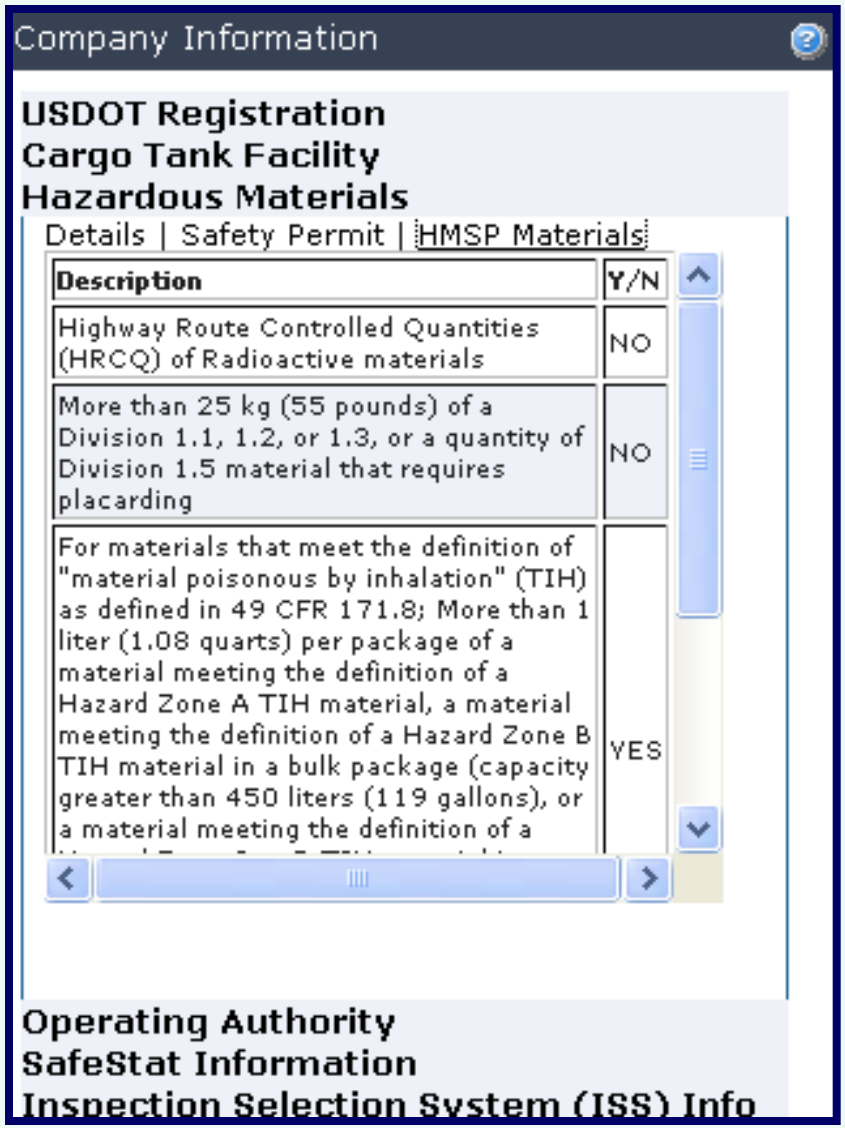
- **The Details tab on the *Hazardous Materials* view lists the full scope of hazardous materials that are carried or shipped by the company and whether the material is carried or shipped and in bulk or non-bulk form**

Hazardous Materials – Safety Permit



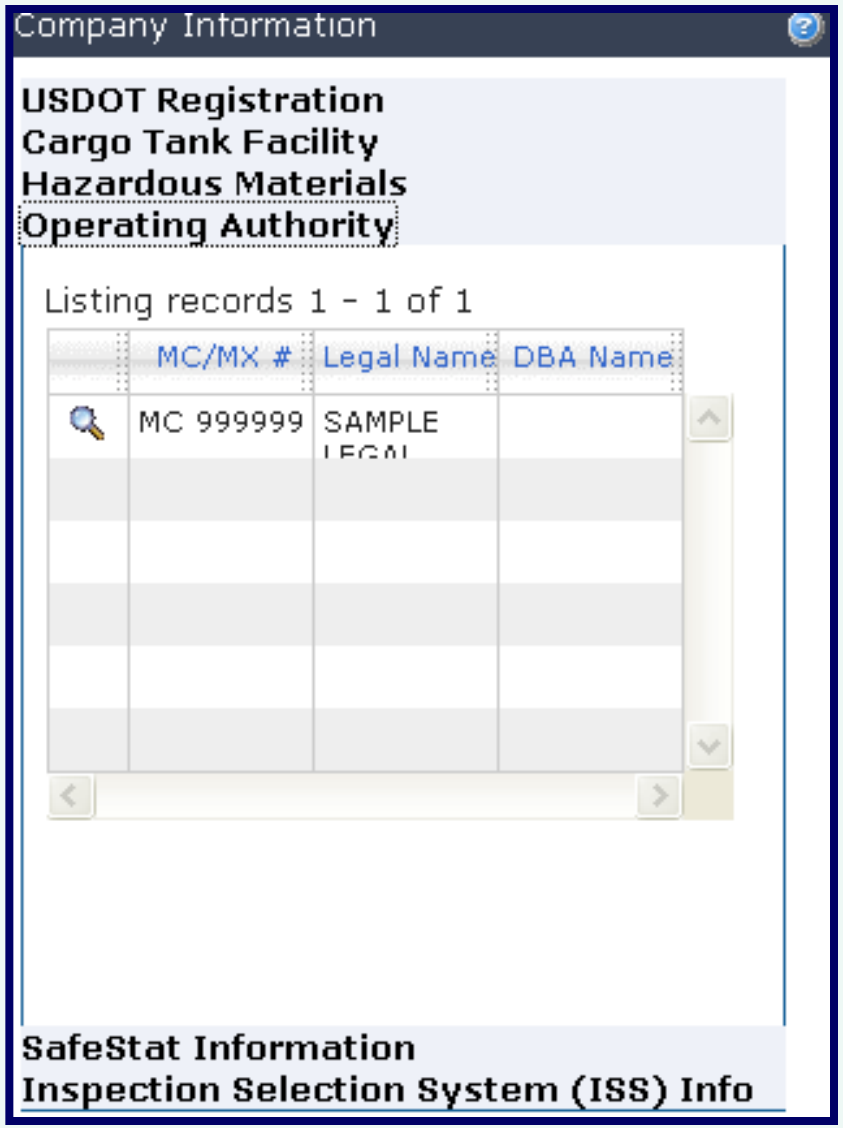
- The *Safety Permit* tab on the *Hazardous Materials* view displays the relevant data and dates for the company's Hazardous Materials Safety Permit

Hazardous Materials – HMSP Materials



- The *HMSP Materials* tab on the *Hazardous Materials* view provides a description of specific hazardous materials
- A Yes/No column indicates whether the company is allowed to carry specific hazardous materials under its permit

Operating Authority



- The *Operating Authority* view lists all MC/MX numbers associated with the company
- Click the magnifying glass next to each entry to access a Detail View for the MC/MX number

Operating Authority

- **The *Operating Authority* view features an available detailed view with additional information.**

Operating Authority - Detail View for: MC 999999

General Info

USDOT Number:	9999999
MC/MX Number:	MC 999999
Legal Name:	SAMPLE LEGAL NAME
SSN:	
EIN:	12-3456789
DBA Name:	
Telephone:	(123) 123-4567
Business Address:	1 TEST ST, CITY, TX 12345
Mailing Address:	P O BOX 1, CITY, TX 12345
RFC Number:	

Scope of Authority and Status

		Authority Type	Authority Status	Application Pending
Property:	YES			
Passenger:	NO	Common	NONE	NO
Household Goods:	NO	Contract	NONE	NO
Private:	NO	Broker	NONE	NO
Enterprise:	NO			

Insurance Details

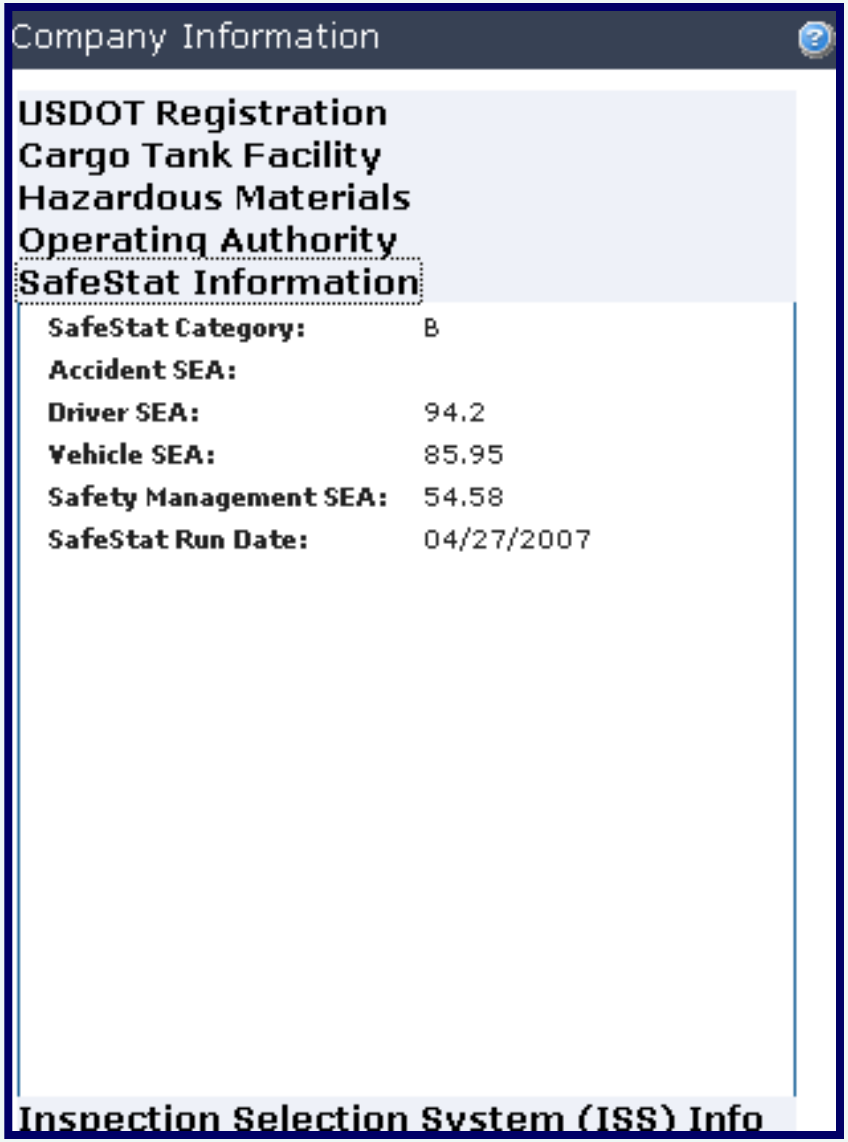
BOC-3	No	Insurance Type	Insurance Required	Insurance on File
Blanket Company Name		BPID	$750,000	$0
		Cargo	$5000	NO
		Bond	NO	NO

Form	Type	Insurance Carrier	Policy/Surety	Post Date	Coverage Min	Coverage Max	Effective Date	Cancellation Date

Please Note
If a carrier is in compliance, the amount of coverage will always be shown as the required Federal minimum ($5,000 per vehicle, $10,000 per occurrence for cargo insurance, and $10,000 for bond/trust fund). The carrier may actually have higher levels of coverage.

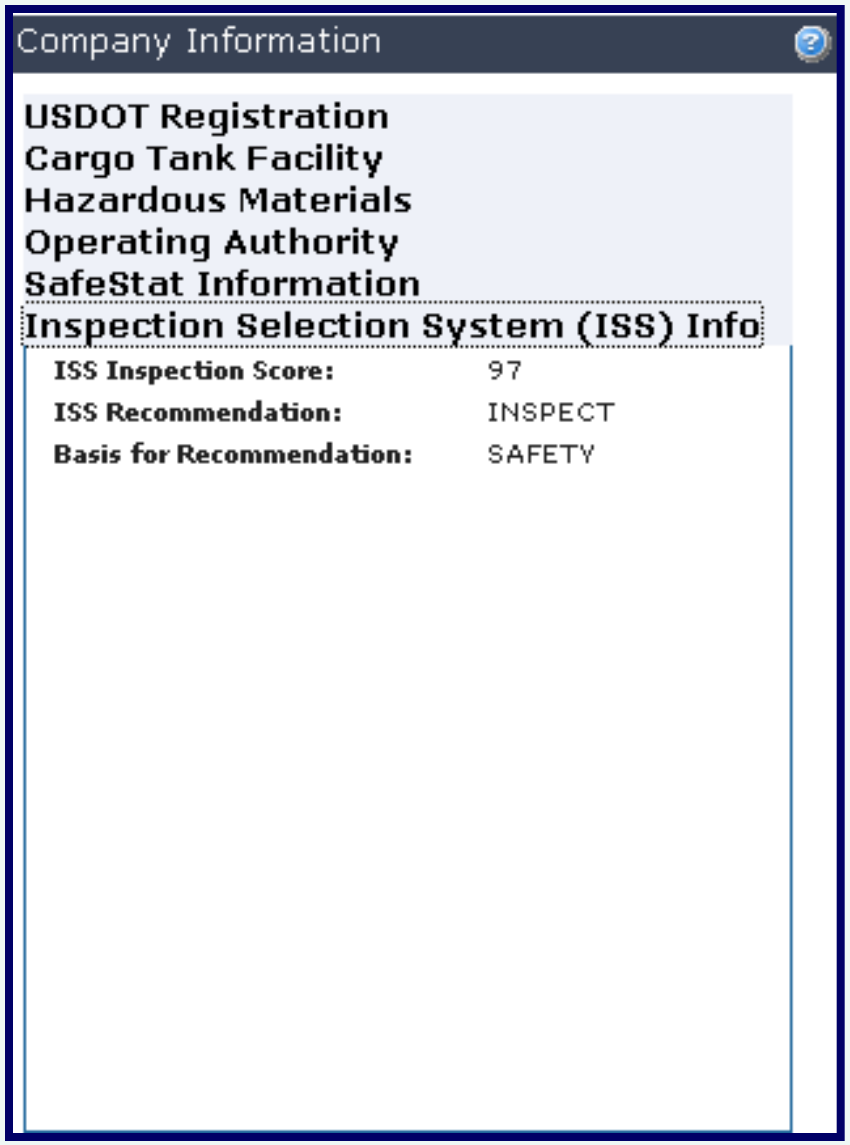
Close

SafeStat Information



- The *SafeStat Information* view lists the calculated values for the company based on their FMCSA activities such as inspections and crashes

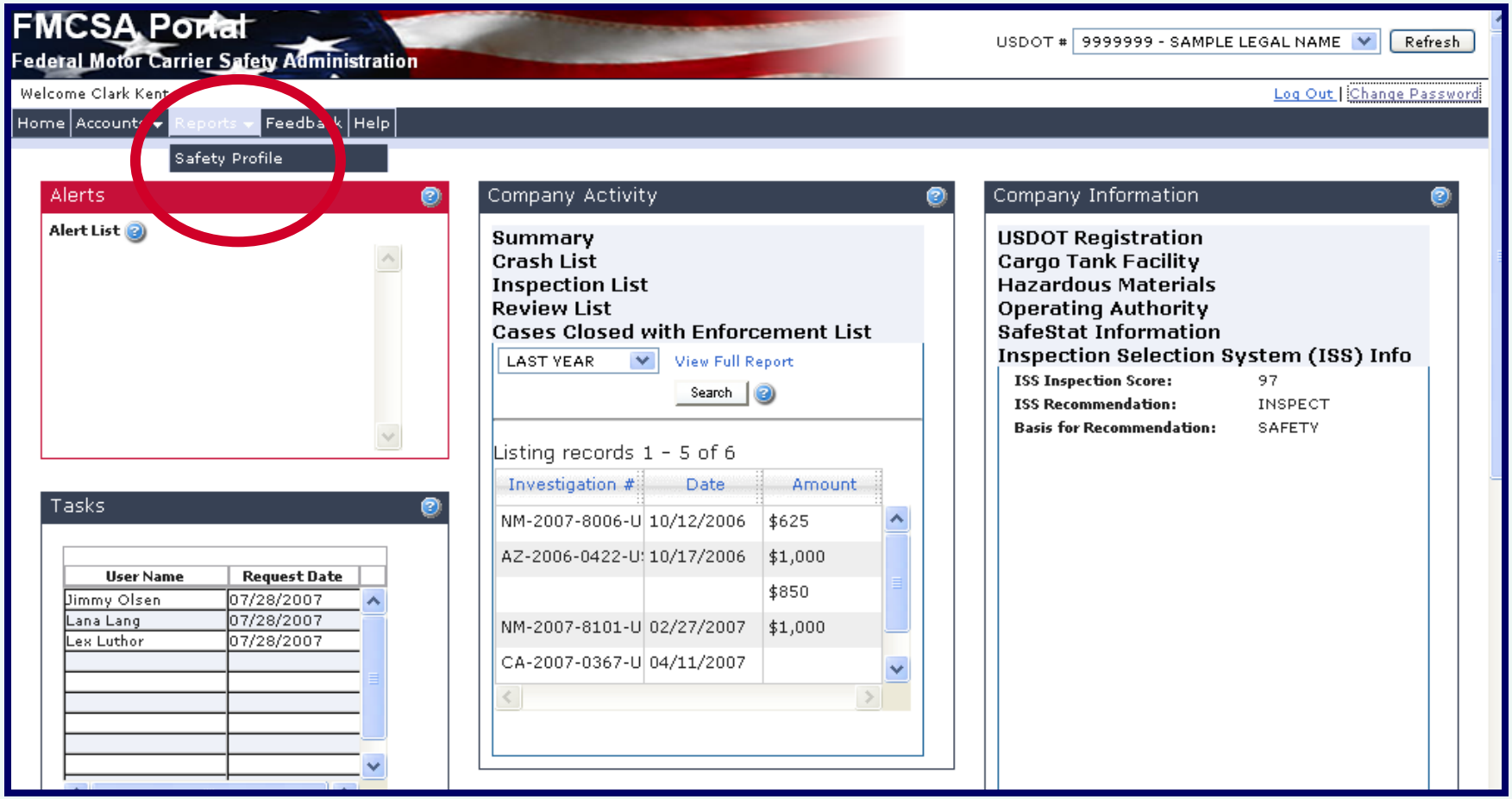
Inspection Selection System (ISS) Information



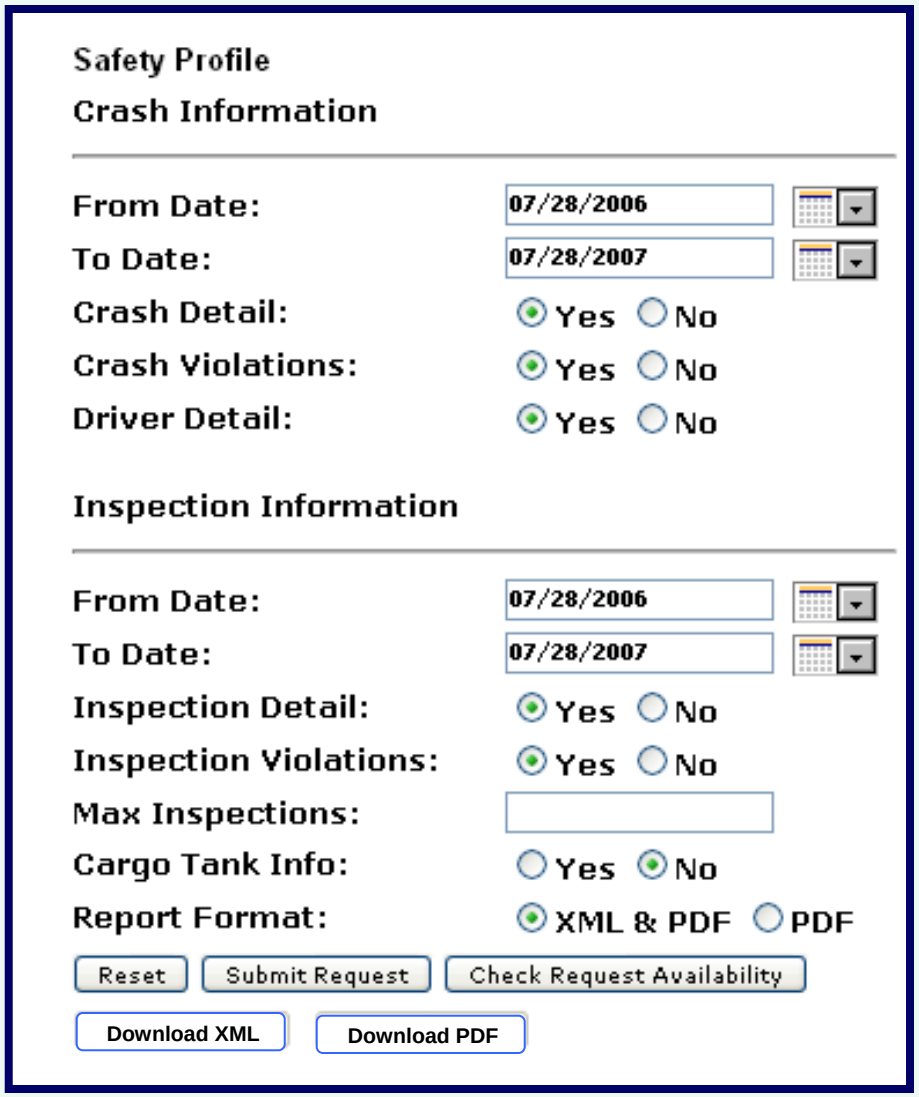
- **The *Inspection Selection System (ISS) Info* view displays the company's ISS score, the resulting ISS Recommendation and the Basis for Recommendation**

Accessing Safety Profile

- **Users may request a Company Safety Profile (or CSP) report under the Reports menu on the Company Home Page**



Submitting Safety Profile Report Request



- Users can submit a request for a Safety Profile Report by entering specific search parameters and clicking *Submit Request*
- To check the status of a report request click *Check Request Availability*
- Users receive email notification when reports are completed and available
- Download completed reports in XML or PDF format

Training and Account Registration for Portal Access

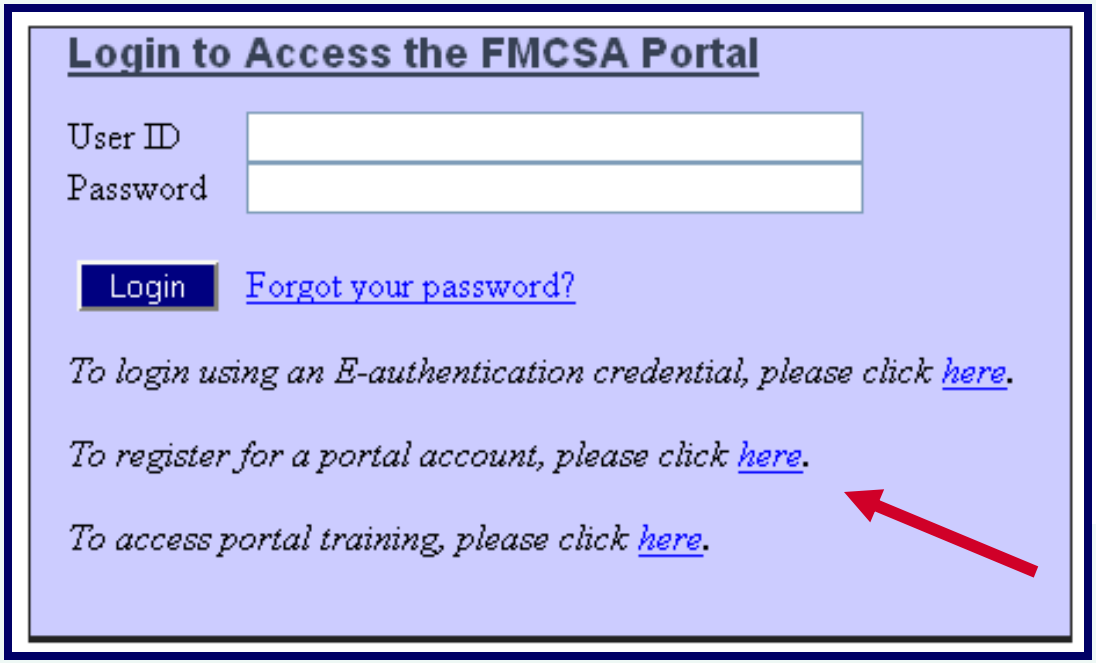
- Self-paced training is highly recommended for both the Company Official and Company Access roles
- By the time company users register for a Portal account, your company should have designated a Company Official to administer access to company safety records
  - This Company Official will process account registration requests online

Requesting an Account

- An FMCSA Company Official in possession of their USDOT Number PIN may request a Portal account as the authorizing official for their company's FMCSA data
  - Company Officials are responsible for approving or denying account request for access to the FMCSA data stored under their company's USDOT Number
- Any employee (or affiliate) of a company (that is registered with the FMCSA) may request a Portal account as a Company User
  - Company Users can request access to the FMCSA data stored under their company's USDOT Number(s)
- Users do not need to be actual employees of a company, but must be an individual who the company official will approve access rights

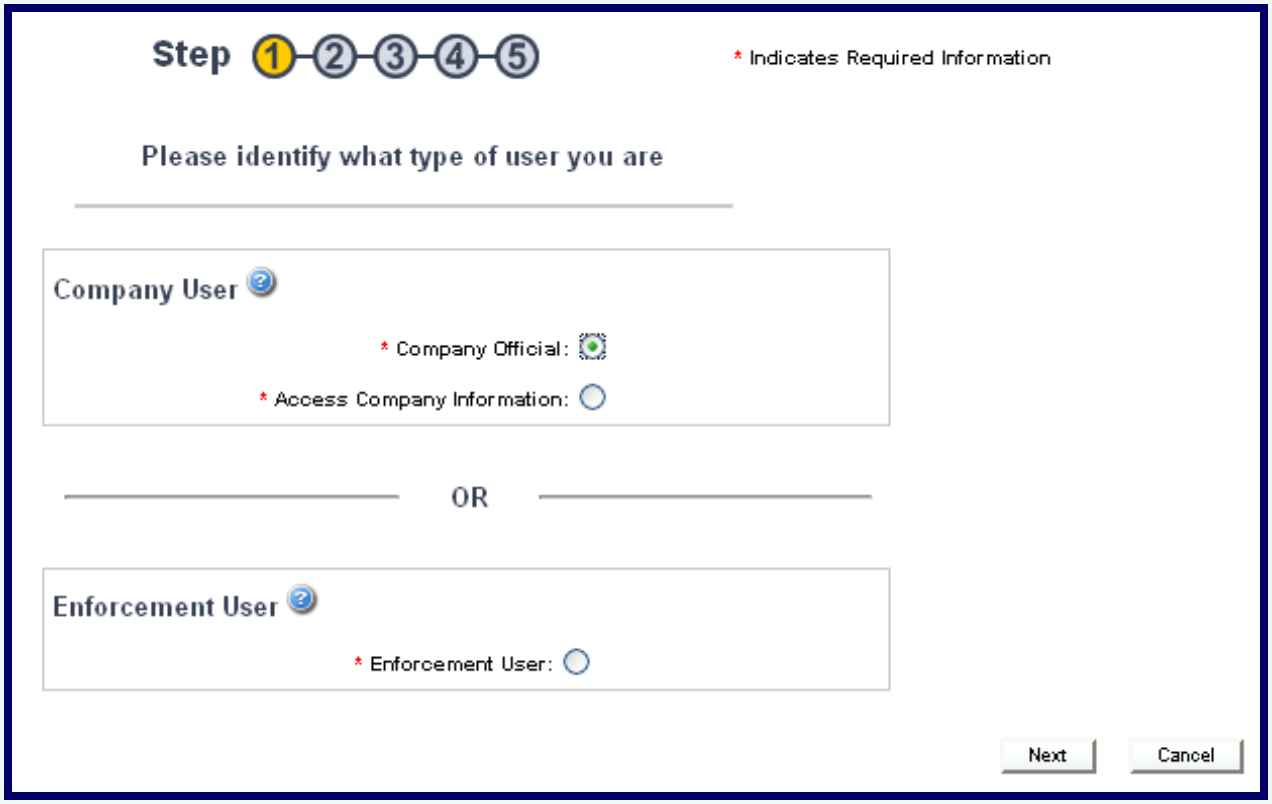
FMCSA Portal Account Requests Overview

- **All Company Officials may request a Portal account via the FMCSA Portal login page**



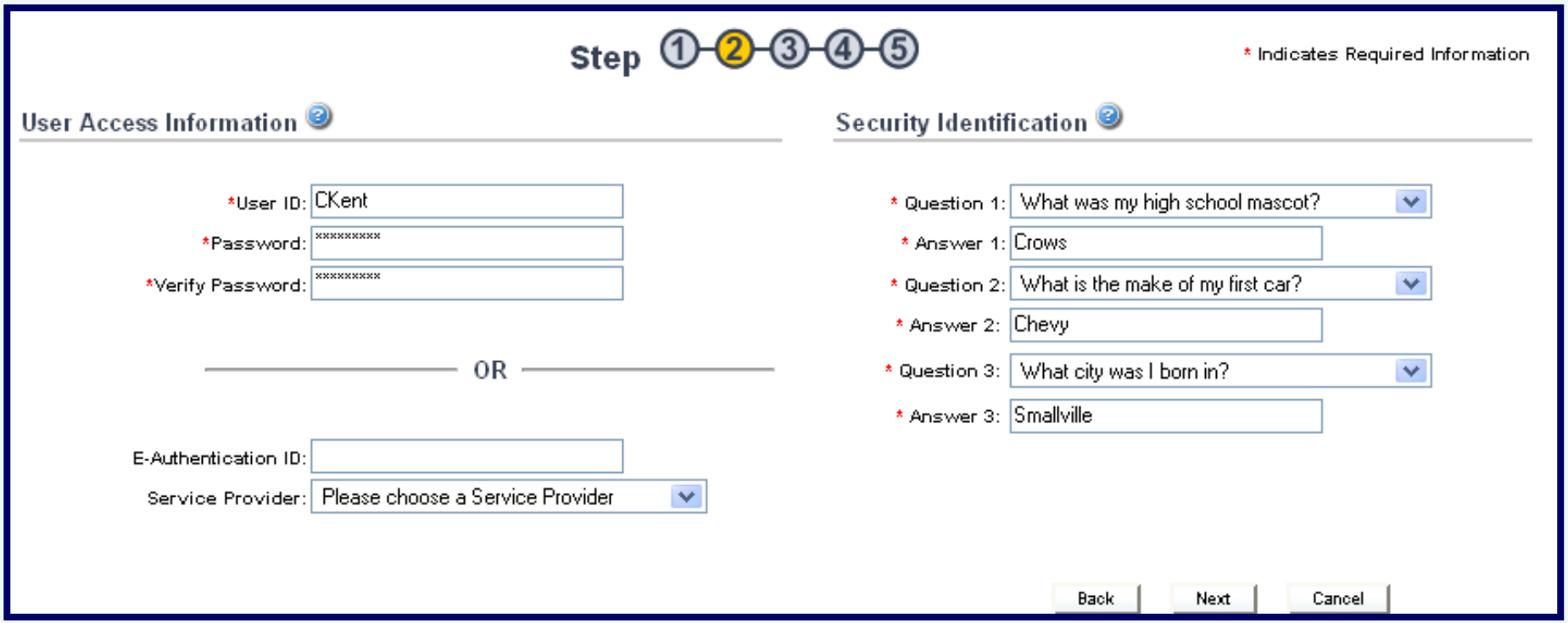
Request an Account – Step 1

- **In step one of the account request process Customer users identify themselves as a type of Company User**
  - **Users that will administer the access to their company safety information and are in rightful possession of the officially designated PIN for a company's USDOT# identify themselves by selecting Company Official**



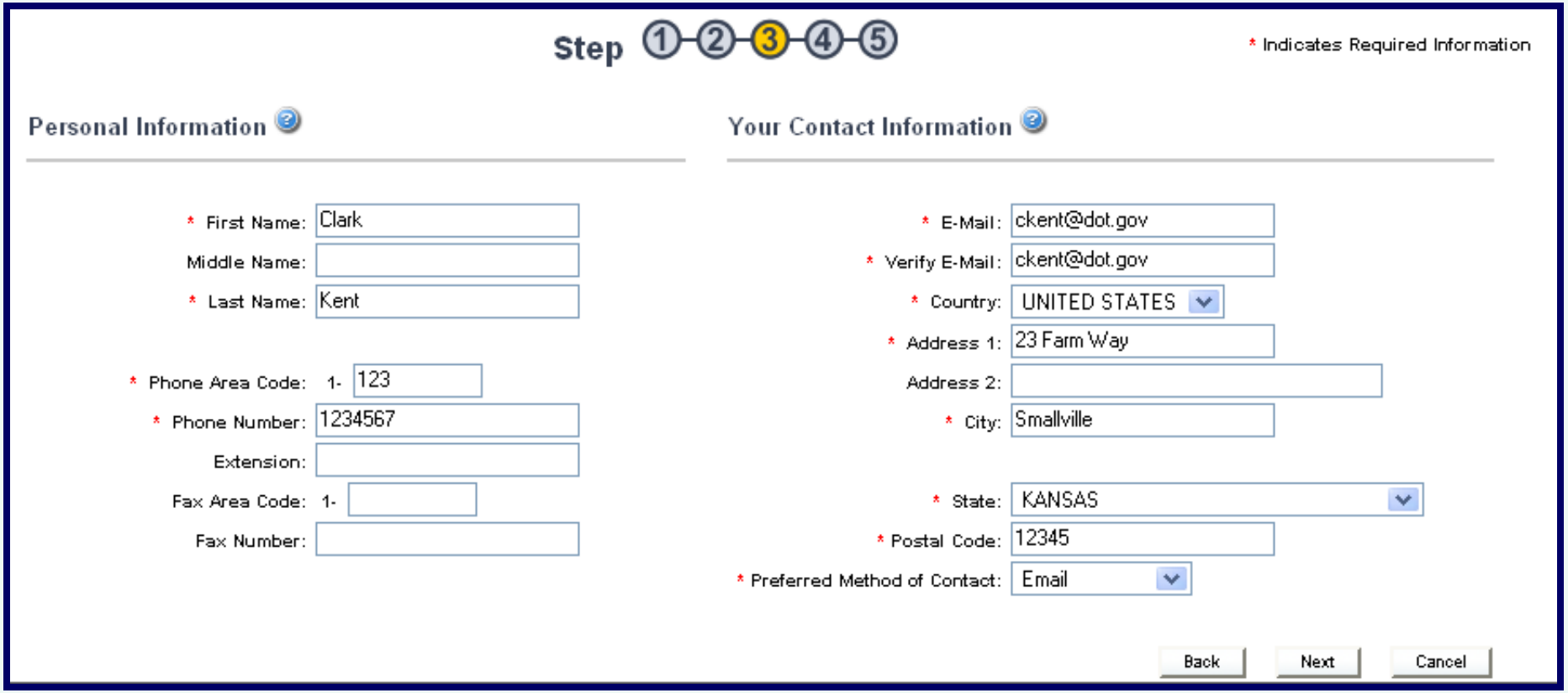
Request an Account – Step 2

- **In step two of the account request process users are required to enter their own security information, including their own Username and Password**



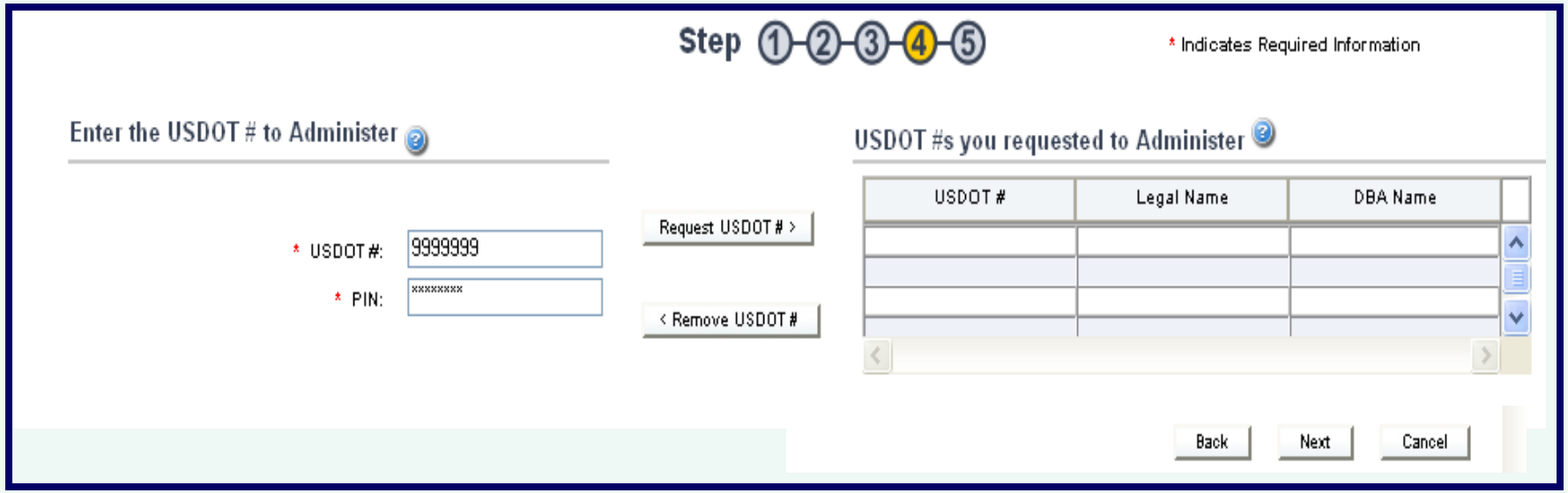
Request an Account – Step 3

- **In step three of the account request process users enter their User Information and Contact Information**



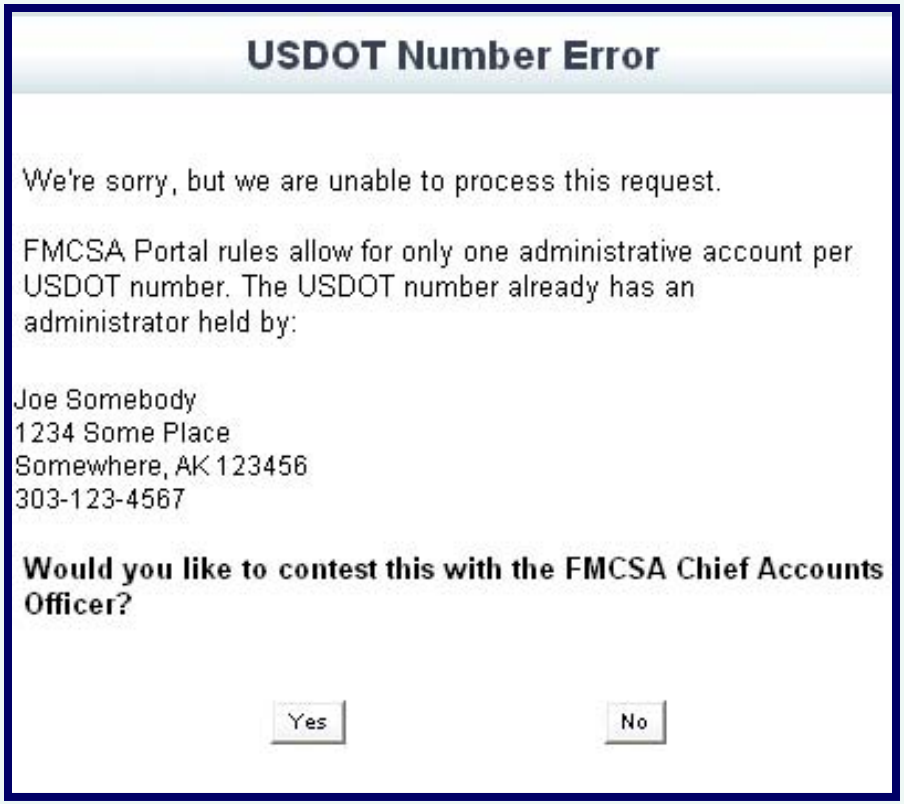
Request an Account (Official) – Step 4a

- In step four of the account request process enter the USDOT# and PIN for the company whose information you wish to administer user access



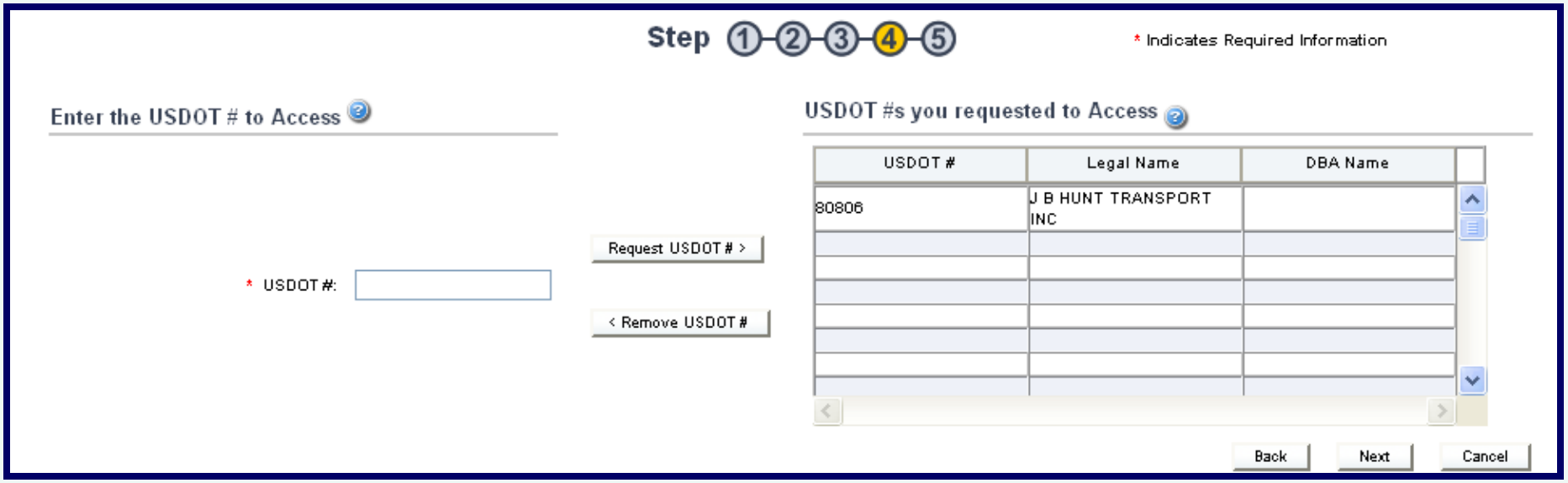
Request an Account (Official) – Step 4a (continued)

- **Each company can only have one Company Official**
- **If a user requests administrative access to a USDOT# that already has a Company Official registered in the Portal, a *USDOT Number Error* message will appear**



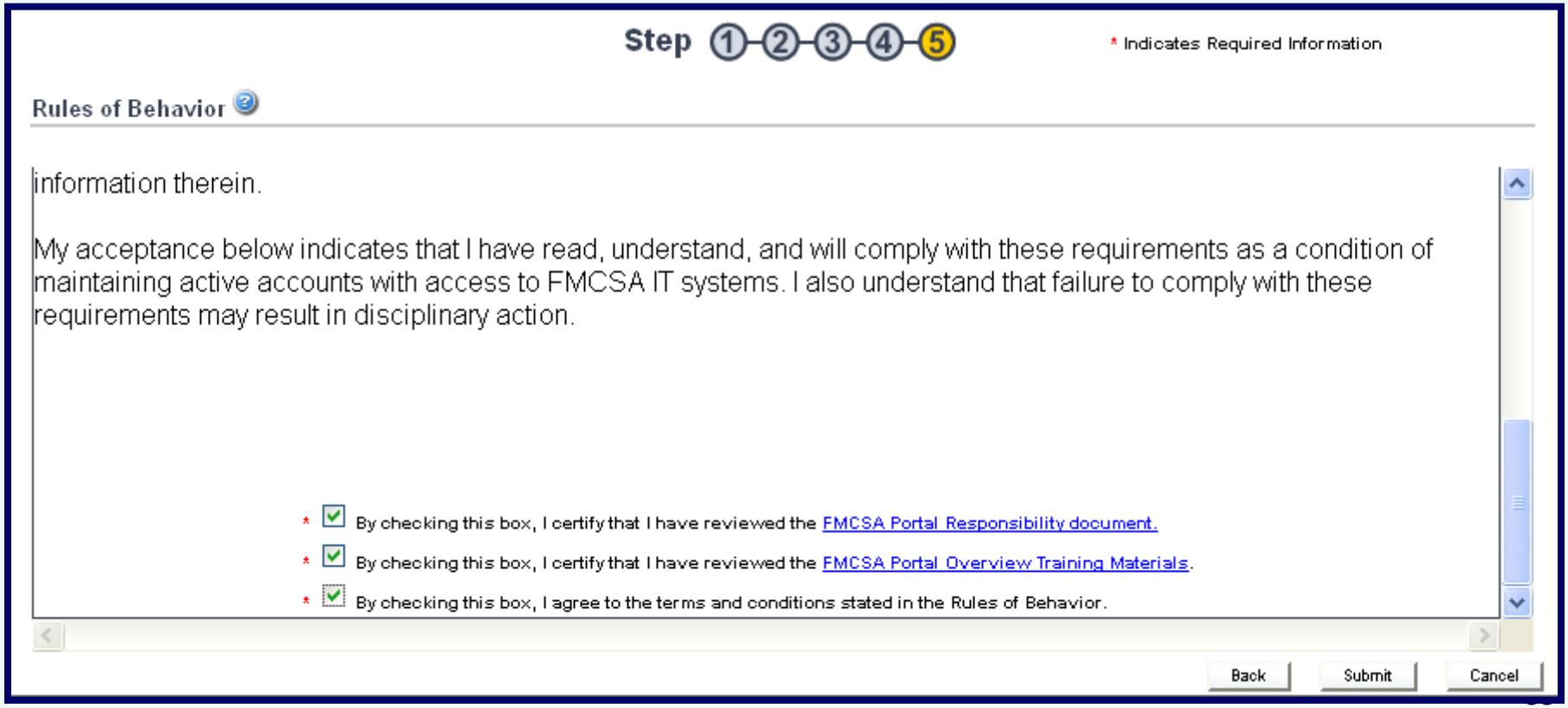
Request an Account (User) – Step 4

- In step four of the account request process users may request access to view the company safety information for a registered USDOT #



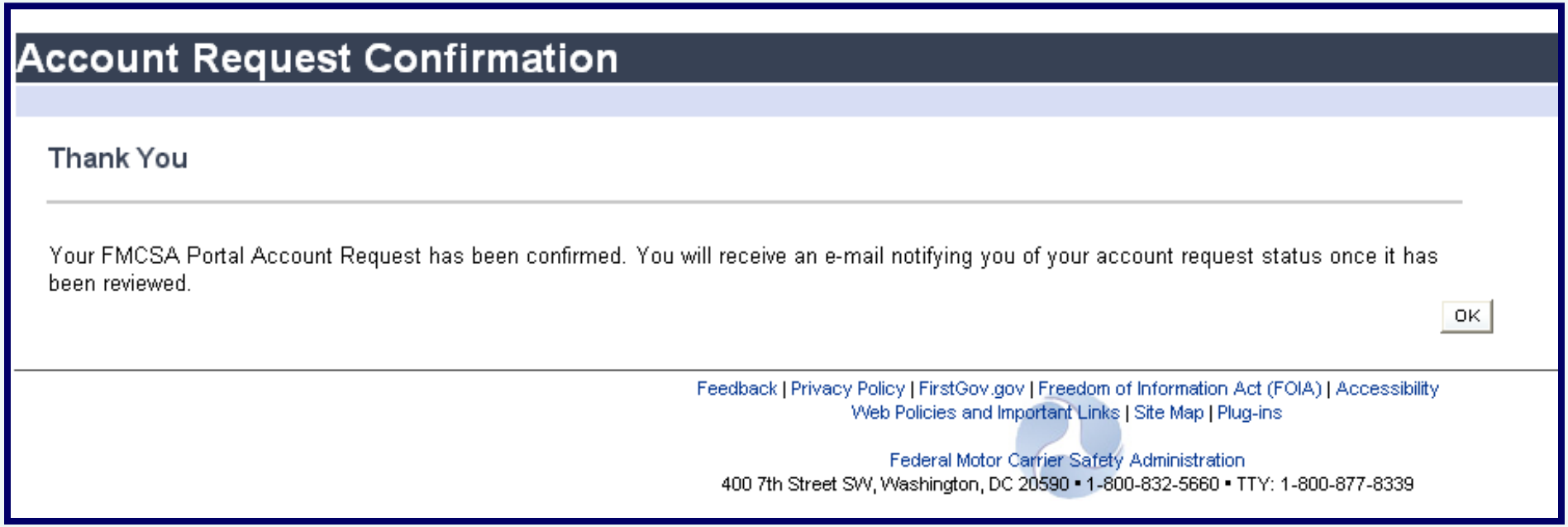
Request an Account – Step 5

- In step five of the account request process all users must certify that they have reviewed the FMCSA Portal training materials and must read and agree to the FMCSA Portal Rules of Behavior
- As administrative users, Company Officials must also read and acknowledge the Portal Responsibilities document



Request an Account - Confirmation

- **An Account Request Confirmation will appear at the end of the request process**



What to Expect Next

- Information regarding the Portal is available from the FMCSA home page, and the SAFER, A&I, and L&I Web sites
- Information will also be distributed through trucking associations and articles in trucking publications
- Visit the main FMCSA Web site for more information on COMPASS and the FMCSA Portal Access and Single Sign-On releases
  - http://www.fmcsa.dot.gov/compass

Contact Information

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compass@dot.gov